City of Milwaukee
Report of Audit Recommendation Follow-Up 2020

City of Milwaukee

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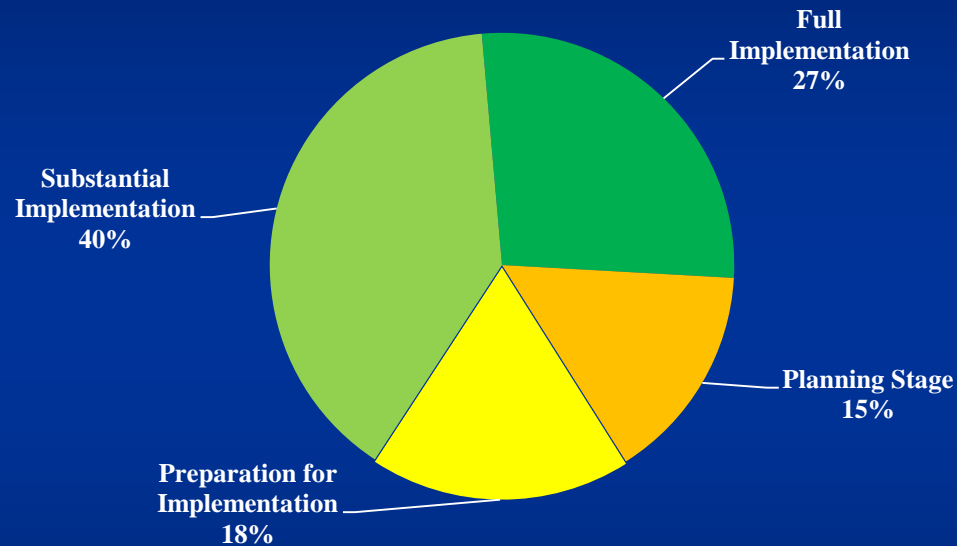
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Executive Summary

- There were 33 audit recommendations either open from prior years going into 2019 or relating to 2019 audits through October solicitation of remediation status

- 67% of the audit recommendations were either fully implemented or substantially implemented as of the end of 2019

- All 8 open recommendations categorized as high risk related to physical or data center security

- Internal Audit will increase follow up frequency from annually to tri-annually for 2020 in order to accelerate audit recommendation remedition

Status of Audit Recommendations

Status of All Audit Recommendations as of December 31, 2019

Full Implementation 27%

Substantial Implementation 40%

Planning Stage 15%

Preparation for Implementation 18%

- 67% of audit recommendations are either fully or substantially implemented
- 33% of audit recommendations are still in the planning or preparing for implementation stages

Full Implementation by Department

Recommendations Fully Implemented by Department (in 2019)

■ Total Recommendations (33)   ■ Recommendations Fully Implemented (9)
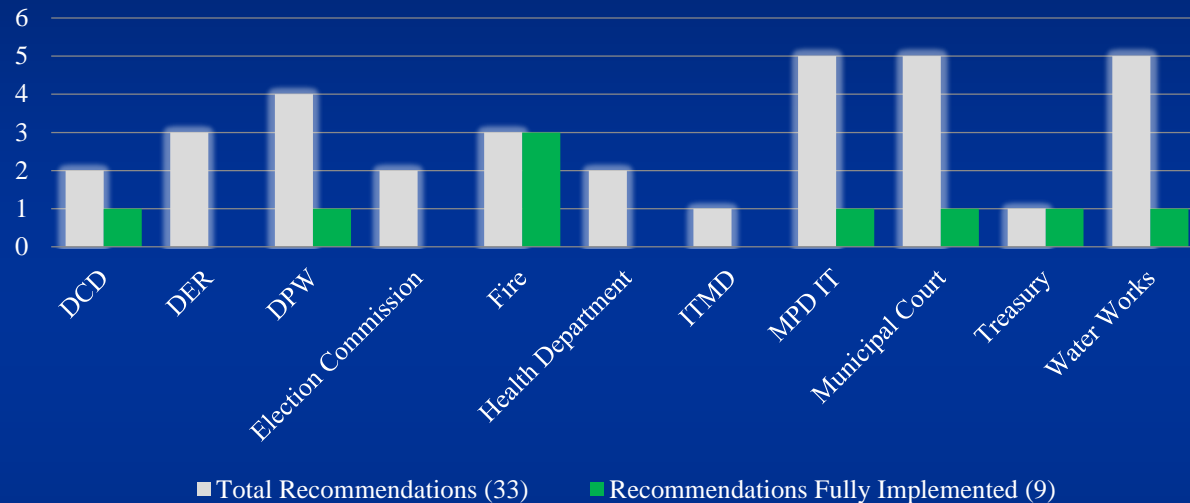
- MFD fully implemented all three recommendations
- Various departments achieved full implementation on one recommendation (DCD, DPW, MPD IT, Municipal Court, Treasury, Water Works)

Risk Rating of Open Recommendations

- 8 open recommendations are classified as high risk. All of the high risk recommendations are sensitive in nature (i.e., detail is not able to be shared) and relate to the following:
  - DPW Physical Security Assessment
  - ITMD Data Center
  - Municipal Court Data Center
- 7 open recommendations are classified as medium risk
- 9 open recommendations are classified as low risk

Next Step

- Increase frequency of follow-up in 2020 from annual to tri-annual follow up with departments to accelerate audit recommendation remediation