060621

Contract No. 06-061 (RA) C191006061

102 NORTH WATER STREET FINAL RIVERWALK DEVELOPMENT AGREEMENT

This Agreement is made this <u>21</u> day of <u>September</u>, 2006, by and among the City of Milwaukee ("City"), the Redevelopment Authority of the City of Milwaukee ("RACM") and Rivren Group, LLC, a Wisconsin limited liability company ("Developer").

Witnesseth:

Whereas, the Developer is the owner of certain property located at 102 North Water Street, Milwaukee, Wisconsin (the "Property") as legally described in the Legal Description (attached hereto as *Exhibit A*); and

Whereas, the Property fronts on the North bank of the Milwaukee River; and

Whereas, the Developer wishes to undertake construction of a riverwalk along the Property. ("Riverwalk Improvement") which will comply with the Milwaukee River Design Guidelines (attached hereto as *Exhibit B*). The Riverwalk Improvement is more particularly described on the Site Plan (attached hereto as *Exhibit C*) and will be adjacent to Developer's building to be constructed on the Property; and

Whereas, Developer will maintain and operate the Riverwalk Improvement, including making the Riverwalk Improvement available for use by members of the general public; and

Whereas, the Riverwalk Improvement will constitute a portion of the overall riverwalk system which is intended to cover a substantial portion of the Milwaukee River extending from the harbor entrance to the former North Avenue Dam area; and because of the Developer's willingness to make the Riverwalk Improvement available to members of the public, the Riverwalk Improvement will serve the public purpose of affording members of the public the opportunity to more fully enjoy the valuable river resource; and

Whereas, because of the public purpose served by the construction and operation of the Riverwalk Improvement, the City is willing to make a grant to the Developer in an amount not to exceed \$985,186 to be used by the Developer to fund approximately 50% of the eligible cost of construction the Riverwalk Improvement; and

Whereas, RACM, via Resolution No. 9856 adopted 9/21/2006 has approved this Agreement and authorized the proper officers of RACM to execute this Agreement on behalf of RACM; and

Whereas, the Common Council via Resolution No. <u>OGOGZI</u> adopted <u>9/26/2006</u> has approved this Agreement and authorized the proper City officers to execute the Agreement on the City's behalf; and

Whereas, the Developer has approved this Agreement;

11. When Riverwalk Improvement is completed, provide Commissioner with a complete set of "As Built" plans and specifications.

II CITY ACTIVITIES

The City shall make available to RACM an amount up to \$985,186 (the "City Grant") in order to allow RACM to supply the RACM Grant to Developer for reimbursement of up to 50% of the cost of constructing the Riverwalk Improvement.

III RACM ACTIVITIES

- A. Subject to the terms and conditions hereinafter set forth, RACM shall reimburse the Developer pursuant to the Developer budget and reimbursement schedule (attached herein as *Exhibit E*).
- B. Subject to the terms and conditions hereinafter set forth, RACM grants to Developer an amount not to exceed 50% of the cost of the Riverwalk Improvement, but in no case exceeding \$985,186 ("RACM Grant"). The RACM Grant is to be disbursed to the Developer pursuant to the conditions set forth in Section B and is to be used solely to fund the construction of the Riverwalk Improvement.
- C. No portion of the RACM Grant shall be disbursed to the Developer until:
 - 1. The Developer has received all federal, state and local agency approvals, including but not limited to the United States Army Corps of Engineers, the Wisconsin Department of Natural Resources, and the City's Board of Harbor Commissioners, and has complied with all applicable federal, state and local laws, including without limitation, the Americans with Disabilities Act, which are necessary to undertake construction of the Riverwalk Improvement.
 - 2. The Commissioner of the Department of City Development ("Commissioner") has approved the final plans and specifications for the Riverwalk Improvement.
 - 3. The Commissioner has approved the final construction budget for the Riverwalk Improvement.
 - 4. The Commissioner has approved all the contracts entered into by the Developer for the preparation of plans and specifications, and to undertake the construction of the Riverwalk Improvement.
 - 5. The Commissioner has received and approved the Certificate of Insurance.
 - 6. The Riverwalk Improvement's architect or engineer has certified in writing to the Commissioner that the Riverwalk Improvement has been completed in accordance with

- B. The City and RACM may make reasonable inspections, including but not limited to inspection by the City's Department of Public Works, Department of City Development, and Department of Neighborhood Services, of the Riverwalk Improvement during the period of construction thereof, provided that such inspections do not interfere with the progress of the work. In order to allow the City, RACM and City agencies to undertake these inspections in a meaningful fashion, the Developer shall provide a complete set of plans and specifications in respect of the Riverwalk Improvement, as well as any change orders and shop drawings related thereto.
- C. In the event that the Commissioner determines, as a result of any such inspections, that the Developer's contractor or subcontractor are not constructing the Riverwalk Improvement in accordance with the approved plans and specifications, the Commissioner shall promptly inform the Developer of such noncompliance with the plans and specifications; and the Developer shall, as soon as reasonably possible, require its contractor or subcontractors to remedy such noncompliance. The Commissioner may withhold payments of the RACM Grant, until such corrective measures are completed in a satisfactory manner.

VI RECORDS

- A. The Developer shall keep accurate, full and complete books and accounts with respect to the cost of constructing the Riverwalk Improvement, consistent with the approved budget, and shall include a provision in all of its contracts requiring its contractors and their subcontractors to do the same. All such books and accounts shall be maintained in accordance with generally accepted accounting principles consistently applied, and shall be kept for a period of six years subsequent to the completion of the Riverwalk Improvement.
- B. The City Comptroller shall have the right, upon reasonable notice to the Developer, its contractor or subcontractors as the case may be, to examine the books and accounts of the Developer, its contractor or subcontractors during normal hours of business.
- C. After substantial completion of the Riverwalk Improvement, the Developer shall submit to the Commissioner a complete set of "As Built" plans and specifications as well as a copy of all approved shop drawings.

VII EBE REQUIREMENTS

In contracting for the construction of the Riverwalk Improvement, the Developer shall comply with an 18% City Emerging Business Enterprise requirement (attached hereto as *Exhibit F*), as established by the Commissioner in accordance with Chapter 360, Milwaukee Code of Ordinances.

FOR THE DEVELOPER:

Rivren Group, LLC c/o Halling & Cayo, S.C. Attn: Scott N. Burns 320 E. Buffalo Street, Suite 700 Milwaukee, WI 53202

XII ASSIGNMENT

No party to this Agreement may assign any of its interest or obligations hereunder without the written consent of the other party, except that RACM and the City may each assign its respective rights hereunder to the other without the consent of the Developer.

[SIGNATURE PAGE TO FOLLOW]

$\mathbf{EXHIBIT}\;\mathbf{A}$

to

Riverwalk Development Agreement

Property

Lots 1, 2, 3 and 4, in Block 34, in Plat of the Town of Milwaukee, on the East side of the River, in the Southeast ¼ of Section 29, Township 7 North, Range 22 East, in the City of Milwaukee, Milwaukee County, Wisconsin. ALSO: Lots 1 and 2, in Subdivision of Lot 10, in Section 32, Township 7 North, Range 22 East, in the City of Milwaukee, Milwaukee County, Wisconsin.

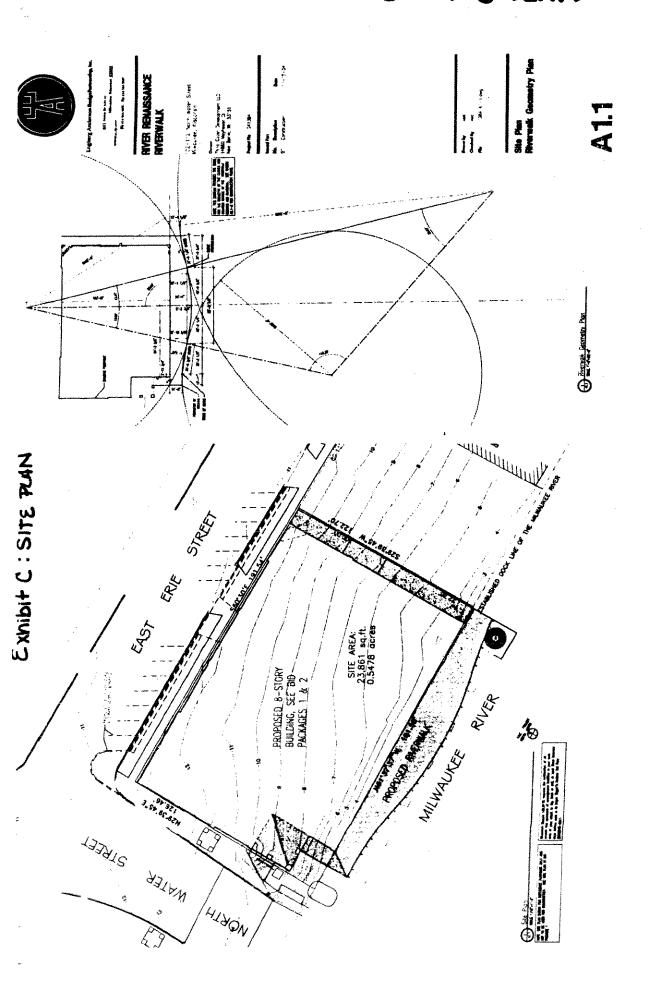
EXHIBIT B

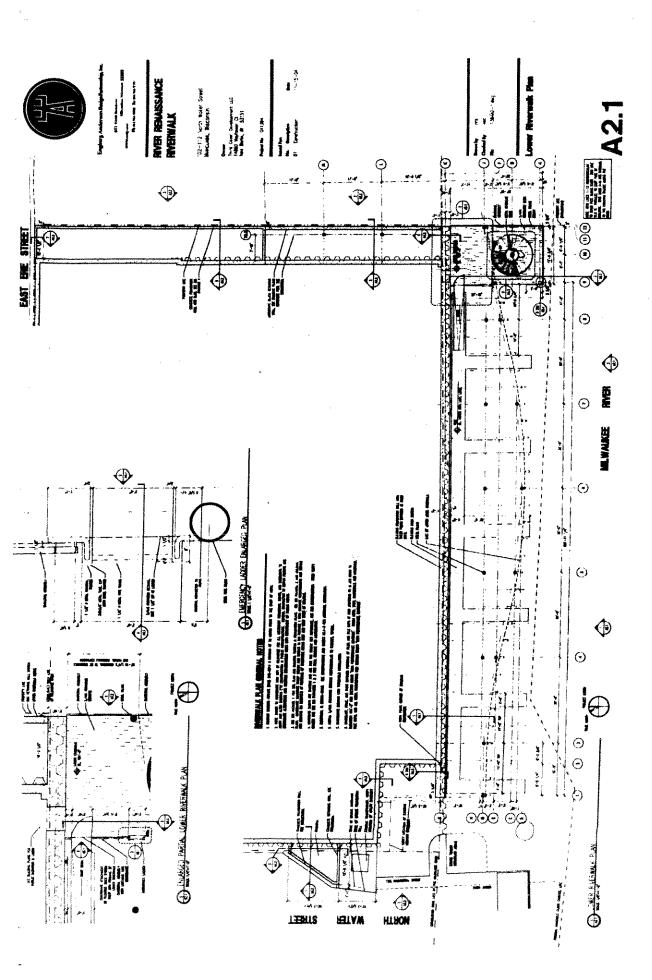
RiverWalk Design Guidelines

- 1. The Riverwalk shall provide for a continuous publicly accessible walkway to and along the water's edge. Fences or other security barriers blocking access to or along the water are prohibited.
- 2. The new Riverwalk system should connect with the public street system wherever the systems intersect.
- 3. Street stub ends shall be designed as public arrivals and main gateways from the River to the Historic District and vice versa.
- 4. The Riverwalk shall typically average 12 feet in width with a minimum unobstructed width of 8 feet and normally (per DNR) be built on the land immediately adjacent to the river.
- 5. Riverwalks may extend beyond the river's edge but shall not obstruct navigation or bridge maintenance.
- 6. Observation towers and platforms along the Riverwalk are desirable but shall be subject to architectural design review board approval and should be accessible to the public.
- 7. Bridging Opportunities for locating the Riverwalk with water on both sides may be proposed as an occasional alternative path integrated with conventionally placed segments.
- 8. To intensify the presence of the river, the public Riverwalk should be positioned as close to the water level as possible with due respect to professional judgment and discretion.
- 9. To encourage private investment with frontage along the river, the Riverwalk should be positioned with appropriate proximity to the adjacent building's floor elevations.
- 10. Existing view corridors to and from the Third Ward shall be preserved and enhanced along public ways.
- 11. The Riverwalk shall establish a sense of place by making a sequence of destinations linked by a river edge walk.
- 12. The Riverwalk shall be composed of an array of consistent elements that reoccur throughout its length.
- 13. All property that is adjacent to the Riverwalk and exposed to view from it shall be addressed with design improvements that will respond to this new amenity by establishing a finished engaging edge condition at the upland side.
- 14. Surface parking lots and parking structures as well as auto service uses along the river are strongly discouraged. Where surface parking occurs a landscaped edge shall visually screen the lot and provide a backdrop for articulated connections to the Riverwalk.
- 15. Where service alleys occur, consideration shall be given to configuring a public access point to the Riverwalk so that pedestrians can share the alley with sporadic service vehicle traffic. If vehicle traffic is constant and represents a hazard to pedestrians or if the service alley is consistently used for refuse storage provide Architectural Review Board approved screening so that the service alley is clearly separated from the Riverwalk.
- 16. Street level commercial uses overlooking the Riverwalk are strongly encouraged.
- 17. River-level commercial uses are encouraged, however, commercial access to river-level Riverwalk space will be reviewed on a case-by-case basis. A minimum 8-foot public passage shall always be maintained.
- 18. Where residential uses occur along the edge, steps, terraces, yards, balconies, gardens, greenhouses, courtyards, etc. shall provide separation of the public Riverwalk from private dwelling unit windows.
- 19. Where bridge abutments occur along the Riverwalk, stairs, handicap accessible ramps, terraced slopes, overlooks with places for people to stop, rest and enjoy the view shall provide grade change transitions and connections.

- 20. Where streets terminate at the river, public spaces such as plazas, courtyards or quadrangles with transition spaces along their edge such as facades, terraces, steps, entrances, storefront windows, balconies, etc. shall be designed to connect and extend the pedestrian environment of the Riverwalk into the city. Street facades are subject to the Design Guidelines for the Historic Third Ward District.
- 21. The upland edge adjacent to the Riverwalk should be articulated with layers of public and semi-public transition spaces that increase the number of activity settings and opportunities for people to occupy, use and enjoy the River's edge in all weather conditions.
- 22. The water's edge adjacent to the Riverwalk should offer unrestricted access to the tranquil experience of the flowing river and could promote a return to natural habitat formation. Long-term boat dockage under exclusive use or control at landscaped segments is prohibited. Transient boat docking shall be permitted at approved locations.
- 23. Where pedestrian spaces are wider than 16 feet urban space furnishings shall be designed to encourage people to occupy and animate settings within the space. These settings should incorporate seating.
- 24. Riverwalk proposals shall provide a minimum of one linear foot of seating per 50 square feet of open space.
- 25. Trees should be used to articulate and subdivide public space. They can provide both refuge and prospect for seating.
- 26. Shrubs, flowers, and grasses shall be used to enrich the sensory experience of Riverwalk users. Plantings for all seasons shall be provided.
- 27. Although continuous access may not be met due to limiting site conditions, each segment of the Riverwalk shall be accessible to the handicapped via a public way in accordance with Federal ADA requirements.
- 28. Lighting unique to the Riverwalk should enhance surfaces and objects along the way as well as light the pedestrian path and promote security for night use.
- 29. Articulated overhead features are encouraged as devices to define spaces and thresholds between urban places.
- 30. Art, ornamentation and surface enrichment in close proximity to pedestrians shall be integrated into Riverwalk spaces to add meaning and celebrate local heritage.
- 31. Locally prevalent materials like brick, stone, concrete, metal, glass, and wood shall be used to relate the Riverwalk's design to the Historic Third Ward's unique image.
- 32. Along the Riverwalk where design elements of different character meet, visual transitions shall be used to clearly integrate or resolve each element visually (aesthetically).

EXHIBIT C: SITE PLAN





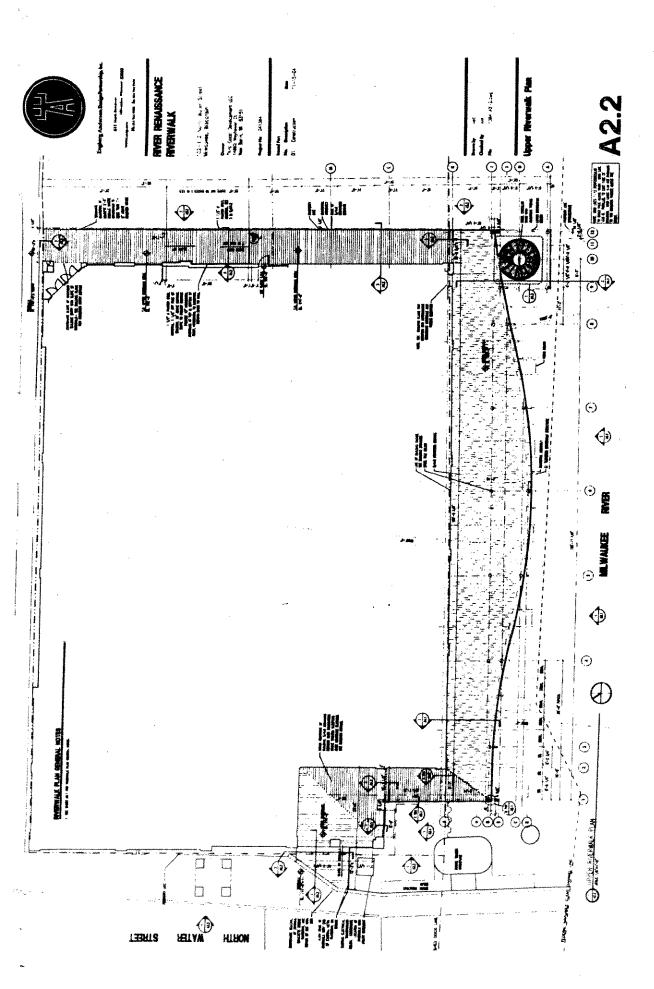


EXHIBIT D

GRANT OF EASEMENT AGREEMENT

(Riverwalk)

This Grant of Easement Agreement is made as of <u>Movember 17</u>, 2006, by and between Rivren Group, LLC ("Grantor") and the City of Milwaukee ("Grantee").

Whereas, Grantor is the owner of certain property located along the Milwaukee River in the City of Milwaukee, State of Wisconsin more particularly set forth on Exhibit 1 attached hereto (the "Property"); and

Whereas, Pursuant to the terms of a Riverwalk Development Agreement ("Development Agreement") by and between Grantor and Grantee, a certain riverwalk improvement as identified as Exhibit C to the Development Agreement and on Exhibit 2 attached hereto (the "Riverwalk Improvement") will be constructed on a portion of the Property and will become part of the Property; and

Whereas, The parties hereto acknowledge that the Riverwalk Improvement is part of a comprehensive, publicly accessible Riverwalk System (the "Riverwalk System") and that it is desirable that the components of the Riverwalk System be generally compatible in design and appearance and generally uniform in maintenance, operation and usage; and

Whereas, The Development Agreement imposes upon Grantor certain responsibilities with respect to the development, maintenance and repair of the Riverwalk Improvement; and

Whereas, In consideration of payment for a portion of the construction costs of the Riverwalk Improvement, the Development Agreement requires that the Grantor (i) convey to Grantee the easement rights specified in this Agreement, and (ii) agree to fully and timely perform the covenants, restrictions, undertakings and obligations set forth in this Agreement.

Now, Therefore, In consideration of the above recitals and the terms and conditions of the Development Agreement, Grantor and Grantee agree as follows:

- 1. Grantor hereby conveys to Grantee, upon completion of the Riverwalk Improvement and at any time thereafter, a nonexclusive easement upon and across the Riverwalk Improvement and, subject to the limitations set forth in Paragraph 3 of this Agreement and solely to the extent reasonably necessary to carry out the activities described in Subparagraph (b) below, upon and across other portions of the Property, for the following purposes:
- (a) Pedestrian access, for the benefit of the public, across the entire length of the Riverwalk Improvement in accordance with the terms of this Agreement, provided, however, that the public, pedestrian access granted herein across the designated walkway shall exclude any vendor or other commercial activities or operations not expressly permitted by Grantor; and

Riverwalk System or from the Milwaukee River and shall not unreasonably or materially disrupt or interfere with access to the Property and the operations of Grantor and any tenant, licensee or occupant on the Property. Grantee shall notify Grantor in advance of Grantee's needs to enter upon the Property, specifying the scope and duration of such entry.

- 4. Grantor shall, at all times, make the nonexclusive easement area of the Riverwalk Improvement available for use by members of the public, except for such times as such walkway must be closed for maintenance or repair or to avoid the acquisition of adverse or prescriptive rights. Grantor shall have the right periodically (i.e., not more than once a year and not more than 24 hours at a time) to close off the Riverwalk Improvement in order to prevent the acquisition of any adverse or prescriptive rights.
- 5. Grantee shall have the right to formulate reasonable rules and regulations regarding the use of the nonexclusive easement area of the Riverwalk Improvement by the public. Such rules and regulations shall be generally uniform and consistent with those applicable to riverwalks throughout the Riverwalk System and shall be effective upon delivery of a copy of same to Grantor. Grantor shall be responsible to expend reasonable efforts for the enforcement of such rules and regulations as they pertain to the Riverwalk Improvement. Grantee covenants that it shall require all grantors of riverwalk easements to Grantee to expend reasonable efforts for the enforcement of such rules and regulations. Grantor shall have the right to promulgate and enforce its own rules and regulations governing the use of the Riverwalk Improvement by the public; provided, however, Grantor's rules and regulations shall not be inconsistent nor conflict with the terms of Exhibit 3 or any rules and regulations promulgated by Grantee. In the event of any such conflict, the terms of Exhibit 3 or the rules and regulations promulgated by Grantee shall control.
- 6. Grantee shall have the right from time to time, and upon at least 72 hours prior written notice to Grantor, to enter upon the Riverwalk Improvement to install and remove Decorations (as hereinafter defined). Such installations and removals shall not unreasonably or materially interfere with the lawful use of the Property by Grantor or any tenant, licensee or occupant of the Property and shall be at Grantee's sole expense.
- 7. Grantor shall pay for all electricity, water and other utilities used for lighting, cleaning and watering of flowers and other plant materials on the Riverwalk Improvement (including as such may be necessary for Decorations and as such may be used for maintenance and repairs to the Riverwalk Improvement).
- 8. Grantor shall not make any structural alterations or modifications to the Riverwalk Improvement or make any changes to the color scheme of the Riverwalk Improvement as originally installed without the prior written consent of Grantee. Further, Grantor shall not install any decorative elements or attach any fixtures ("Decorations") to or upon the Riverwalk Improvement without the prior written consent of Grantee. Any request by Grantor for installation of Decorations must be in writing, and Grantee shall approve or disapprove such request in writing within 15 business days following receipt. Failure of Grantee to deliver a written response within such time period shall constitute approval of the request. Other than installation and removal of Decorations as provided herein, Grantee shall not make any changes to the Riverwalk Improvement without the prior written approval of Grantor.

RIVREN GROUP, LLC By: STATE OF WISCONSIN) MILWAUKEE COUNTY before me on October 10 2006 by This instrument of Rivren Attorney at Law Group, LLC. stary Public, State of Wisconsin My commission: **GRANTEE:** CITY OF MILWAUKEE Mayor Countersigned: Comptroller STATE OF WISCONSIN))ss.

MILWAUKEE COUNTY

GRANTOR:

EXHIBIT 1

PROPERTY DESCRIPTION US TITLE AND CLOSING SERVICES, LLC

The land referred to in this Commitment is described as follows:

Lots 1, 2, 3, and 4, in Block 34, in Plat of the Town of Milwaukee, on the East side of the River, in the Southeast ¼ of Section 29, in Township 7 North, Range 22 East, in the City of Milwaukee, Milwaukee County, Wisconsin.

Also, Lots 1 and 2 in Subdivision of Lot 10, in Section 32, in Township 7 North, Range 22 East, ion the City of Milwaukee, Milwaukee County, Wisconsin.

Together with lands lying between all of the above-described lands and the Dock Line of the Milwaukee River.

EXHIBIT 3

Maintenance Standards for the Riverwalk Improvement

- 1. Open for use at all times except as it relates to adverse possession and times of maintenance and repair.
- 2. Keep property generally clean of letter on a daily basis. Empty trash receptacles as necessary.
- 3. Keep benches and other amenities in good, safe repair at all times.
- 4. Paint railings, benches and other amenities as necessary to maintain a pleasing aesthetic quality, consistent with approved color schemes. Remove graffiti as practical (as weather permits)
- 5. Properly maintain all landscaping in a manner reasonably acceptable to the Commissioner of the Department of City Development of the city of Milwaukee.
- 6. Keep all lights in operating condition.

River Renaissance River Walk

\$118		MANAGEMENT ACCOUNTS
7	Install Paralless Dect. Pressing	TO THE PROPERTY OF THE PROPERT
06.285	Install Procured Database	
64216	The state of the s	
\$4215		
1	THE RESIDENCE AND ASSESSMENT AND ASSESSMENT AND ASSESSMENT ASSESSM	
1		NOVI K
\$966		
Ĭ		
18005	Public Elevator facurity System	APRIKINGT
12006		
2 947 1	Marchineses F. F.& E. Assestants of Description	
13016	Complete Planting Ducks	
3	The Branch of Manual	APPROXIMEN &
14885	Imperior Bluerwik Lievaler	
1526	The Property American Statement of the Contract of the Contrac	
154		ATTIONS ATTIONS
	The state of the s	NAVY CORP. MAY VERENT OF THE PROPERTY OF THE P
	WAX American	- Anticont A
TABLES.	Mark Riversite Lighters - Rough and Philip	
16062	WI RECEIPTED	7.001
16	1493 Verner for Dieses Poure Stations	
7	The last last library beautiful.	
į		
	Early tar Early pair Early pair Early early pair	
Æ8.	They was but	VJS Construction Services
	Antonimiesty Day. Softy mislentone power Finance project	
	The second secon	



W23.8 (1884) Fannade Come Want Francis Michael 18672 Francis Michael 1867 Francis Michael 1867 Francis Languages Languages Languages Languages Languages Visus (Languages)

River Remassance 102 North Water Street Milwanker, Wisconsin

1677	200,460	99798	98419	93	200	\$1.500	•		2013	95500	8	X		83,000		97746	\$22.50	\$2,360	Section .
Directuries and Signage for Public Klevester For Extlagatishers for Public Riverwenik Arning for Public Elevator Bydrundic Elevator for Fulski: Riverwalk	Painting for Public Elevator Miss. painting for Riverwalk	Stalesad Comerves for Public Clevestor	Chamber Marketon & State of the Cartier Market City	Cartificial by Cartificial for You'vell Street Act	Street MA Co. Thirty Williams	Short Mambrusa, Waterproofing for Public Lievanie	Public Plaister Allivenaces	Security System for Public Elevator tool 3 Camerus	Rough & Fladab Carpensry Perallisms Girdsen, Purities & Iron Woord	Vietal Faderications; for Public Alexander	Steel Boardwilk Ralls, Spirel Stein Gans & Gwardrails for Public Everwalk Reinforcing for Public Eleventr Gans and Gwardrails for Public Boostar Gans and Gwardrails for Public Boost Slips	Microscy East Walkway Retaining Wall	Cart is Caster & Sidowales Demosition of Bridge Wall Form Lines for Dockwall @ \$5.00/ef	Constrees Cast Public Riverwalk Foodings, Foundation Wells, 5" stab on grade,	A Planeter Bosson		Recentation & Backfill Rest Had Support for Public Riverwalk	Riverwalk Pipe Piting Steet Piling	Library
or 20 or 80	* *		*		- 44	Ę		=							7,500 1,700	_			T
							5,000	, and t	134,341		3477.18	anta.		14,227		¥			Cont of Missource to Public Right of Way
	*****	**							£ 7,711				Ĭ		-				Out Diseases to Paids
M									onco anteriore p	TATOLUM TO								Jane	Cast of Short 7the
and the second s		V m.k. vi. mi					********					***************************************						5 30,000 5 30,000	74K 74%
				*****	*****	ann art			147751		; ;		# Y						Oct 2000
	1 14,578					~~	M, 18/.	art transmin	and the second s		7,210								Dec 3804
**	o an amount		~~~~							==				***************************************			nor - was not be the state of		April 2807
ž			10	***						1,58		:	**************************************				*		-
â e e		Š	¥				3,3	<u></u>	נודקד					si,,ia			¥		May 2067
						•					ě	-				ř			Japan 1997

HV/s-citrispension/LARRYGODGF45-PowerValle-Bensissance/Budge4 Set UpinR Rheneum Coets City (p.19-os

EXHIBIT F

EMERGING BUSINESS ENTERPRISE AGREEMENT

FOR

THE RIVERWALK IMPROVEMENT

102 NORTH WATER STREET "RIVER RENAISSANCE"

This Emerging Business Enterprise Agreement is entered into by and between the CITY OF MILWAUKEE (hereinafter the "CITY"), and Rivren Group LLC (hereinafter "DEVELOPER").

WHEREAS, the aforementioned parties to this Agreement acknowledge and understand that this Agreement shall become part of any development and/or financing agreement to be signed by the aforementioned parties.

WHEREAS, DEVELOPER acknowledges that the CITY have established policies regarding the utilization of Emerging Business Enterprises ("EBEs") which are consistent with Chapter 360 of the Milwaukee Code of Ordinances (Copy available upon request).

WHEREAS, DEVELOPER agrees that the provision of the above-referenced offer was conditioned upon the DEVELOPER and its agents agreeing to reach a requirement of 18% EBE participation in the construction of said Riverwalk Improvement (hereinafter "PROJECT").

I. DEFINITIONS

- A. EMERGING BUSINESS ENTERPRISE ("EBE") is a small business concern that is owned, operated and controlled by one or more individuals who are at a disadvantage, as defined in Chapter 360 of the Milwaukee Code of Ordinances. The individuals must have day-to-day operational and managerial control, interest in capital, financial risks and earnings commensurate with the percentage of their ownership. Emerging Business Enterprises are certified as such by the City of Milwaukee Certification Program. It is important to note that those businesses that were certified under the old name (Disadvantaged Business Enterprise (DBE)) will continue to qualify for the program.
- B. JOINT VENTURE is an association of two (2) or more persons or businesses to carry out a single business enterprise for profit for which purpose they combine their property, capital, efforts, skills and/or knowledge.

- 7. Negotiate in "good faith" with interested EBEs, not rejecting EBE bids as unqualified or too high without sound reasons based on a thorough review of the bid submitted and maintain documentation to support the rejection of any EBE bid. Bids that are not cost effective, and/or time prohibitive will be considered "rejectable" bids. (See Exhibit 2 Bid Rejection Form).
- 8. Utilize the services available from public or private agencies and other organizations in identifying EBEs available to perform the work.
- 9. Include in PROJECT bid documents and advertisements an explanation of PROJECT requirements for EBE participation to prospective contractors and subcontractors.
- 10. As necessary and when ever possible, facilitate the following:
- a) Joint ventures, limited partnerships or other business relationships intended to increase EBEs' areas of expertise, bonding capacity, credit limits, etc.
- b) Training Relationships
- c) Mentor/protege Agreements
 - B. If the DEVELOPER completes the aforementioned activities and demonstrates "good cause" for not meeting the 18% requirement for EBE participation, it shall be deemed that the DEVELOPER has acted in "good faith" to achieve the requirement.
 - C. If at any point during this contract term, the DEVELOPER meets or exceeds the 18% EBE requirement in conjunction with said PROJECT, it shall be deemed that the DEVELOPER has achieved or exceeded the CITY's EBE requirement, for the purposes of fulfilling the terms of this Agreement.
 - D. Contract or subcontract amounts awarded to EBE suppliers, that do not manufacture products they supply, may only be counted for up to 20% of the 18% EBE participation requirement.
- III. DEVELOPER agrees to report to the City's EBEP Manager on Developer's utilization of EBEs in its contracting activities of the aforementioned PROJECT, pursuant to Chapter 360 of the Milwaukee Code of Ordinances. In order to monitor the Project's EBE participation, the CITY requires, and DEVELOPER agrees to take the following steps:
 - A. Provide a list of all categories of work on the above-described PROJECT with budget allowances, for which bids will be solicited and highlight those categories, based upon Developer's knowledge and experience, which are conducive to EBE participation one month prior to any bids being solicited or awarded. (See Attachment: Example 1)
 - B. Provide the City's EBEP Office with documentation supporting efforts extended to solicit bids from EBEs. Upon request, DEVELOPER shall make information related to EBE bids available to the City's EBEP Office.

Mast City Attorney

EXHIBIT A EBE MARKETING PLAN

PUBLICATIONS/ADVERTISING CONTACTS

Milwaukee Times	
(Published weekly)	
1938 North King Drive	
Milwaukee, WI 53212	
Tele. No: (414) 263-5088	
Fax: (414) 263-4445	
Contacted yesno	
Contact Person	
Date and Time	
The Milwaukee Courier	
(Published weekly)	
2431 West Hopkins Street	•
Milwaukee, WI 53206	
Tele No: (414) 449-4860	
Fax: (414) 449-4872	
Contacted yes no	
Contact Person	•
Date and Time	
NEED AND CONTRACTOR OF T	
Milwaukee Community Journal, Inc.	•
(Published twice weekly)	
3612 North King Drive	
Milwaukee, WI 53212	
Tele No: (414) 265-5300	
Fax: (414) 265-1536	
Contacted yes no	
Contact Person	
Date and Time	
The Spanish Times, Inc.	Daily Reporter
(Published weekly)	(Published daily M-F)
425 W. National Ave.	704 West Wisconsin Avenue
Milwaukee, WI 53204	Milwaukee, WI 53233
Tele No: (414) 672-0929	Tele No: (414) 276-0273
Fax: (414) 672-9900	Fax: (414) 276-8057
Contacted yesno	Contactedyesno
Contact Person	Contact Person
Date and Time	Date and Time

CITY OF MILWAUKEE - DEPARTMENT OF ADMINISTRATION EMERGING BUSINESS ENTERPRISE PROGRAM EBE PARTICIPATION FOR SUBCONTRACTORS AND/OR MATERIAL SUPPLIERS

PRIME CONTRACTOR'S NAME:_

OR NUMBER:	for this	ioi uns project.	OWNER/REPRESENTATIVE BIOMATURE OF ACKNOWLEDGMENT		-		
TOTAL EBE AMOLINT:	al supplier(e)		AMOUNT				
	Flease list below all proposed subcontractor(s) and/or material supplier(s) for this	A SECTION ASSESSMENT	MATERIAL SUPPLIED				
TOTAL BID AMOUNT:	Proposed subcontr	SUB-CONTRACTORIOR	SUPPLEA				
	elow a	25					
START DATE:	Flease list	ADDRESSICONTACT PERSON AND PHONE MARBER	1	2.			Authorized Signature.

RETURN THIS FORM TO DOA-EMERGING BUSINESS ENTERPRISE PROGRAM THIS FORM MUST BE SUBMITTED WITH THE PRIME CONTRACTORS BID. Print Name & Title:

Reviewed By:

Reviewed By:

PROJECT MANAGER

Date:

BUSINESS ANALYST SENIOR (DO

(DOA) - EBE Program

Date:

REF: EBE FORMS/ FORM A EBE PARTICIPATION DCD.DOC

EMERGING BUSINESS ENTERPRISE (EBE) SOLICITATION FORM

Name & Address of EBE Firm	
Name of Individual Contacted	Phone Number
Type of Work	Date and Time of Contact
Quotation or Proposal Received	
REMARKS: THESE SHOULD INCLUDE	E ANY FOLLOW UP ACTIONS. IN THE EVENT THAT
THE EMERGING BUSINESS ENTERPRI	ISE WILL NOT BE UTILIZED, INCLUDE AN
EXPLANATION OF THE REASON (s) W	HY THE FIRM WILL NOT BE USED. FOR EXAMPLE: IF
THE ONLY REASON FOR NON-UTILIZ	ATION WAS PRICE, THE EXPLANATION SHOULD
REFLECT WHAT STEPS WERE TAKEN	TO REACH A COMPETITIVE PRICE LEVEL.
REMARKS:	
'	

Revised 8/2006 EBEP eb

Emerging Business Enterprise Program (EBEP) Rejection Form

Name & Address of EBE Firm	Type of Work	Bid Submitted	Actual Award	Reason for bid rejection	Approved by
		त्रक्षन kg			
T T					
			-		
5			-	•	
m					
	·				
•					
	· ·				·
***************************************	***************************************				
					-

	•	,			
5.					www.nt.st.
					····

DIRECTIONS FOR EBE MONTHLY REPORT (FORM D)

- 1. List the month that the report is being submitted. Note: If this is the final report, check yes and submit Form E Subcontractor Payment Certification Form.
- 2. Prime contractor's or firm's registered company name.
- 3. List full registered business address to include city/state, zip code and telephone number.
- 4. Brief description service performed and/or material supplied on this contract.
- 5. List the official Purchase Order or Contract Number, as represented on the contract or purchase ord
- 6. List the project number as represented on the front page of the contract.
- 7. List the start date of the project.
- 8. List the total dollars awarded to the prime contractor.
- 9. List the completion date of the project.
- 10. Prime contractor's total dollar amount paid to each EBE firm(s), for the month being reported, for serviperformed and/or materials supplied.
- 11. List the EBE percentage goal on this project and the dollar amount.
- 12. List the name of the name, title and phone number of the individual who prepared the report.
- 13. Provide the authorized signature and title of the individual who approves the report.
- 14. List the date that the report is completed.

THIS REPORT IS DUE THE 20TH OF EVERY MONTH FOR THE PREVIOUS MONTH'S ACTIVITY.
FAILURE TO RETURN THIS FORM BY THE SPECIFIED TIME MAY CAUSE A DELAY IN PAYMENTS.

Ref: EBECentral/EBE Forms/Monthly Report -FormD.doc

DEPARTMENT OF ADMINISTRATION EMERGING BUSINESS ENTERPRISE PROGRAM

Form D - EBE MONTHLY REPORT

(1) Ke	port for	the Month of (Fins	al: yes no	_)			
(2) Prime Contractor/Firm				. •			
(3) Full Address & Phone Num	iber:						
(4) Description of service perfo	rmed and	d/or material supplied					
(5) Purchase Order/Contract#		(6) Project Number					
(7) Start Date:	(8)	8) Prime Contractors Total \$:					
(9) Completion Date:	(10)	0) Prime Contractor YTD \$:					
(11) EBE % goal and	\$ goal						
List-all EBE subcontractor firm(s) the month. This form shall be signed Certification Form (Form E).	utilized in ed and ret	connection with the above contract, eith urned. If this represents the final repo	er as service perfor rt, be sure to attac	rmed and/or supplier for th EBE Payment			
NAME OF EBE FIRM(s)		SERVICE PERFORMED/ MATERIAL SUPPLIED	AMOUNT PAID FOR THE MONTH	TOTAL \$ PAID Y-T-D			
	·						
TOTAL PAID TO EBE(s)							
we hereby certify that I/we have	read the	above and approved this information	to be precise and	confirmed.			
2) Report Prepared By:		773.4					
·	une)	(Title)	(Phone	Number)			
3) Authorized Signature:(Na	me)	(Title)		•			
_		(14) Dota					

Note: This form should be submitted no later than the 20th of every month to DOA-Emerging Business Enterprise Program, Room 606, City Hall, 200 E. Wells, Room 606, Milwaukee, WI or fax to (414) 286-8752.

CITY OF MILWAUKEE – DEPARTMENT OF ADMINISTRATION EMERGING BUSINESS ENTERPRISE PROGRAM

EBE SUBCONTRACTOR PAYMENT CERTIFICATION

EBE Subcontractor's Firm Name:	
Prime Contractor Name:	
Prime Contractor's Bid or RFP#: This certificate is to be signed by the EBE subcocontract, either for service performed, and/or as a FORM D (EBE Monthly Report) and return to:	Purchase Order or Contract #
Emerging Busi City H 200 Milwa	nt of Administration ness Enterprise Program Iall — Room 606 East Wells St ukee, W 53202 o 414-286-8752)
I(PLEASE PRINT NAME) \$ from:	hereby certify that our firm has received
for subcontract work performed and/or material su	(PRIME CONTRACTORS NAME) pplied on the above contract.
Signature & Title	Date:
Signature & Title	Subcontractor Date:
	Prime Contractor

NOTE: THIS FORM MUST BE ATTACHED TO PRIME CONTRACTORS FINAL FORM D
(EBE MONTHLY REPORT)