



Office of the Comptroller

W. Martin Morics, C.P.A.
Comptroller

Michael J. Daun
Deputy Comptroller

John M. Egan, C.P.A.
Special Deputy Comptroller

Craig D. Kammholz
Special Deputy Comptroller

October 21st, 2011

The Honorable Common Council
Committee on Finance and Personnel
City of Milwaukee

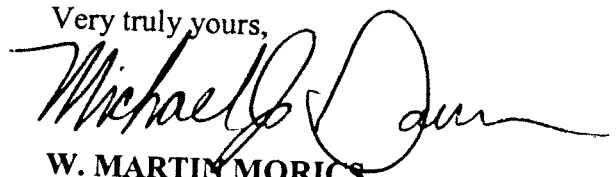
Re: Common Council Contingent Fund Status

Dear Committee Members:

Attached is the current Common Council Contingent Fund Status report as updated by the recent Common Council resolutions adopted.

If you have any questions concerning this report, please contact Trang Dinh of my staff at extension 2293.

Very truly yours,



W. MARTIN MORICS
Comptroller

WMM:td

Attachment

CC: City Clerk
Budget Office
LRB

2011 COMMON COUNCIL CONTINGENT FUND

Status on 10/21/2011

Funds Appropriated

2011 Adopted Budget 5,000,000.00

Transfers authorized by prior Council meetings

Journal ID	Date	Year	Class	Bud Ref	Amount	Description
238874	1/1/2011	2011	C001	2011	5,000,000.00	2011 Approved Budget
242301	2/8/2011	2011	C001	2011	(636,626.35)	Res. 101189 2/8/11 CF to S163

Remaining Reserved Commitments Authorized by prior Council meetings

None -

Total Transfers & Reserved (636,626.35)

Balance Available on October 21st, 2011 4,363,373.65

% Expended/Reserved Current status 13%

Comparative Balance Available on October 21st of prior years

	<u>Balance</u>	<u>Budgeted</u>	<u>% Expended</u>
2006	4,497,000	5,500,000	18%
2007	2,372,000	5,500,000	57%
2008	1,368,349	5,000,000	73%
2009	3,987,325	5,000,000	20%
2010	5,000,000	5,000,000	0%
Average of prior years	3,444,935	5,200,000	34%



City of Milwaukee

City Hall
200 East Wells Street
Milwaukee, WI 53202

Meeting Agenda FINANCE & PERSONNEL COMMITTEE

ALD. MICHAEL J. MURPHY, CHAIR

Ald. Robert J. Bauman, Vice-Chair

Ald. Joe Dudzik, Ald. Milele A. Coggs, and Ald. Nik Kovac

Staff Assistant, Tobie Black, 286-2231; Fax: 286-3456,

tblack@milwaukee.gov

Legislative Liaison, Jim Carroll, 286-8679,

jcarro@milwaukee.gov

Wednesday, October 26, 2011

9:00 AM

Room 301-B, City Hall

AMENDED 10-25-11- Item previously numbered #14 (File 110876) has been removed.

1. [110880](#) Communication from the Department of Administration - Budget and Management Analysis Division regarding vacancy requests, fund transfers and equipment requests.
Sponsors: THE CHAIR
2. [110846](#) Communication from the Department of Employee Relations relating to classification studies scheduled for City Service Commission action.
Sponsors: THE CHAIR
3. [110641](#) Resolution authorizing the City Comptroller's Office to execute employee payroll deductions for volunteer contributions to the City for pension liabilities.
Sponsors: Ald. Zielinski
4. [110742](#) Resolution relative to acceptance and funding of a Truancy Abatement and Burglary Suppression Grant.
Sponsors: THE CHAIR
5. [110801](#) Resolution directing the Commissioner of Public Works to execute a revised project agreement titled "State/Municipal Agreement for a Highway Improvement Project", with the Wisconsin Department of Transportation, for the improvement of North Port Washington Avenue from North Martin Luther King, Jr. Drive to North City Limits, with 80% Federal and State aid, under the STP Urban Improvement Program; with an estimated total cost of \$3,005,000, which is an increase of \$500,000, and a total estimated increase in construction cost of this project is \$500,000 of which the City's share is \$100,000 and grantor's share is \$400,000.
Sponsors: THE CHAIR
6. [110838](#) Resolution authorizing the Commissioner of Public Works to execute Revised Project Agreements titled "State/Municipal Agreement for a Highway Improvement project" between the City of Milwaukee and Wisconsin Department of Transportation associated with the North 45th Street Bridge over Menomonee River and the West

North Avenue Bridge over Canadian Pacific Rail Road with 80 percent Federal and State aid under the Local Bridge Replacement and Rehabilitation Program and to fund the revised design estimate with the City of Milwaukee's Share being \$81,800 and the grantor's share being \$327,200.

Sponsors: THE CHAIR

7. [110841](#) Substitute resolution authorizing the City Comptroller to transfer funds to various State or Federal Aid project subaccounts for the estimated remaining Wisconsin Department of Transportation and City of Milwaukee's preliminary engineering and construction costs being \$140,000 with the City's share being \$140,000 and the grantor's share being \$0.00.

Sponsors: THE CHAIR

8. [110747](#) A substitute charter ordinance relating to retirement benefits for employees represented by the Milwaukee Police Supervisors' Organization and Milwaukee Professional Firefighters' Association, Local #215.

Sponsors: THE CHAIR

9. [110874](#) Substitute resolution relating to the plan design of the City's health insurance benefits program and the health insurance premium contribution rates for City employees in the police and fire service.

Sponsors: THE CHAIR

10. [110881](#) Communication from the Budget & Management Division pertaining to potential uses of the Compensation and Fringe benefit reserve special purpose account.

Sponsors: THE CHAIR

11. [110479](#) Resolution authorizing a contingent fund appropriation and expenditure of funds in an amount not to exceed \$100,000 to pay a special assessment for interest on an outstanding loan to the federal unemployment trust fund.

Sponsors: THE CHAIR

12. [110792](#) Resolution reserving up to \$400,000 from the 2011 Common Council Contingent Fund for expenditures relating to land management of city-owned property.

Sponsors: THE CHAIR

13. [110820](#) Substitute resolution authorizing up to \$659,000 of contingent borrowing for the Villard Square Library capital project.

Sponsors: THE CHAIR

14. [110717](#) Resolution authorizing the sale and issuance of up to \$71,010,000, Sewerage System Second Lien Revenue Bonds.

Sponsors: THE CHAIR

15. [110718](#) Resolution authorizing the sale and issuance of General Obligation Refunding Notes and Bonds.

Sponsors: THE CHAIR

16. [110736](#) Communication from the Comptroller's Office relating to the City of Milwaukee Comparative Revenue and Expenditure Report.
Sponsors: THE CHAIR
17. [110411](#) Communication from Comptroller's Office transmitting a report titled Audit of Milwaukee Police Department Cash Handling Procedures.
Sponsors: THE CHAIR
18. [110513](#) Communication from Comptroller's Office transmitting a report titled Audit of Milwaukee Water Works Cashiering Controls.
Sponsors: THE CHAIR
19. [110661](#) Communication from Comptroller's Office transmitting a report titled Audit of Health Department Cashiering Controls.
Sponsors: THE CHAIR
20. [110784](#) Communication from the Wisconsin Division of Transportation Investment Management relating to the 2012 General Transportation Aids and Connecting Highway Aids Estimates.
Sponsors: THE CHAIR
21. [110878](#) Communication from the Wisconsin Department of Revenue relating to state aid payments due to exempted business computers from being subject to property taxes.
Sponsors: THE CHAIR
22. [110723](#) A substitute ordinance to further amend the 2011 rates of pay of offices and positions in the City Service.
Sponsors: THE CHAIR
23. [110855](#) A substitute ordinance to further amend the 2011 offices and positions in the City Service.
Sponsors: THE CHAIR
24. [110303](#) Communication from the Department of Administration relating to the fiscal impact of the City's Local Business Enterprise Contracting Program.
Sponsors: THE CHAIR
--May be placed on file as no longer needed.

This meeting will be webcast live at www.milwaukee.gov/channel25.

Common Council members who are not members of this committee may attend this meeting to participate or to gather information. This meeting may constitute a meeting of the Common Council or any of its standing committees although no formal action will be taken at this meeting.

Upon reasonable notice, efforts will be made to accommodate the needs of persons with disabilities through sign language interpreters or auxiliary aids. For assistance contact the Legislative Services ADA Coordinator at 286-2998, (FAX)286-3456, (TDD)286-2025 or by writing to Room 205, City Hall, 200 E. Wells Street, Milwaukee, WI 53202.

Parking for persons attending City Hall meetings is available at reduced rates (5 hour limit) at the Milwaukee Center (southwest corner of E. Kilbourn Ave. and N. Water St.) Parking tickets must be validated in Room 205, (City Clerk's Office) or the first floor Information Booth in City Hall.

Persons engaged in lobbying as defined in s. 305-43-4 of the Milwaukee Code are required to register with the City Clerk's License Division. Lobbyists appearing before a Common Council committee are required to identify themselves as such. More information is available at www.milwaukee.gov/lobby.



Legislation Details (With Text)

File #: 110880 **Version:** 0

Type: Communication to Finance **Status:** In Committee

File created: 10/11/2011 **In control:** FINANCE & PERSONNEL COMMITTEE

On agenda: **Final action:**

Effective date:

Title: Communication from the Department of Administration - Budget and Management Analysis Division regarding vacancy requests, fund transfers and equipment requests.

Sponsors: THE CHAIR

Indexes: VACANCY REQUESTS

Attachments: 10-26 Vacancy Agenda, 10-14-11- Approved Special Agenda-Schedule B, 10-14-11 Vacancy Agenda- Schedule B, Hearing Notice List

Date	Ver.	Action By	Action	Result	Tally
10/11/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/13/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/14/2011	0	FINANCE & PERSONNEL COMMITTEE	HELD IN COMMITTEE	Pass	5:0
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number
110880
Version
ORIGINAL

Reference

Sponsor
THE CHAIR

Title
Communication from the Department of Administration - Budget and Management Analysis Division regarding vacancy requests, fund transfers and equipment requests.

Drafter
CC-CC
TB
10/13/11

**AGENDA OF ITEMS TO BE CONSIDERED
BY THE COMMITTEE ON FINANCE AND PERSONNEL**

DATE: October 26, 2011

TIME: 9:00 A.M.

PLACE: Committee Room 301-B
City Hall

SCHEDULE A: Vacancy Requests

SCHEDULE B: Fund Transfers

SCHEDULE A - VACANCY REQUESTS

Finance & Personnel Committee Meeting: October 26, 2011

CSC-Status - Under Civil Service
Unless Noted as Exempt (E)

Funding Source - 100% Operating
Budget Unless Otherwise Indicated

I.D. No.	Department and Position	Pay Range	Date Vacant	Number of Positions With Same Title					CSC Status and/or Funding Source	Int/ Ext Fill	Code
				Authorized	Filled excl. this pos.	Recomm. Authori- zation	Vac. Prev. Appr.	Other Vac.			
	<u>PROPERTY TAX LEVY SUPPORTED POSITIONS</u>										
110457	<u>ASSESSOR</u> Property Assessment Technician	530	8/5/11	1	0	1	0	0		Int/Ext	x-3
	<u>HEALTH DEPARTMENT</u>										
110458	Administrative Assistant IV	550	6/15/10	1	0	1	0	0		Int/Ext	x-2b
110459	Program Assistant I	460		2	1	1	0	0		Int	x-2b
110460	Accounting Assistant II	445	12/2/11	5	4	1	0	0		Int/Ext	x-2b
	<u>LIBRARY</u>										
110461-62	Library Reference Asst. (2 positions)	504	12/31/10 8/19/10	17	15	2	2	0		Ext	x-2b
110463	Library Youth Educator	592	8/3/11	6	5	1	0	0		Ext	x-2b
	<u>POLICE</u>										
110464	Office Assistant III	425	12/12/10	26	23	1	0	2		Int	x-3
	<u>DPW-OPERATIONS DIVISION</u>										
110465	Communication Assistant III	445	10/29/11	2	1	1	0	0		Int/Ext	x -b
	<u>NON-PROPERTY TAX LEVY SUPPORTED POSITIONS (Enterprise Funds, Grants)</u>										
	<u>EMPLOYEES' RETIREMENT SYSTEM</u>										
110467	ERS Functional Applications Manager	11	NA	1	0	1	0	0	Pension Trust	Ext	x-6
110470	Office Assistant III	425	4/3/2011	2	0	1	0	1	Pension Trust	Int/Ext	x-6
	<u>DPW-WATER WORKS</u>										
110468	Water Distribution Repair Worker II	252	11/9/11	26	20	1	2	3	Water Works	Int	x-6

BMA 30 SCHEDULE B - FUND TRANSFERS AND/OR EQUIPMENT REQUESTS

Finance and Personnel Meeting: October 26, 2011

Department Account Name	Amount of Transfer		Reason
	From	To	
DEPT. OF CITY DEVELOPMENT Economic Development Marketing Salaries	\$40,000.00	\$40,000.00	Final 2011 CDBG budgets were not finalized until mid 2011 due to the federal government not passing a final budget. The resulting federal budget reduced funding for CDBG programs by approximately 10%. DCD has held back expenditures to ensure there are available funds in the Economic Development Marketing Special Fund for transfer to cover reductions in grant salaries.

SCHEDULE C - GENERAL MATTERS

1. Miscellaneous Matters

PW FILE NUMBER: 110880

[illegible]



Legislation Details (With Text)

File #: 110846 **Version:** 0
Type: Communication **Status:** In Committee
File created: 10/11/2011 **In control:** FINANCE & PERSONNEL COMMITTEE
On agenda: **Final action:**
Effective date:

Title: Communication from the Department of Employee Relations relating to classification studies scheduled for City Service Commission action.

Sponsors: THE CHAIR

Indexes: CITY SERVICE COMMISSION, POSITIONS ORDINANCE, RATES OF PAY, SALARY ORDINANCE, WAGES AND BENEFITS

Attachments: Letter from Dept of Employee Rel and Job Eval Reports-CSC Meeting October 26, 2011, Fiscal Note and Spreadsheet-CSC Meeting October 26, 2011, Hearing Notice List

Date	Ver.	Action By	Action	Result	Tally
10/6/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number
110846
Version
ORIGINAL
Reference

Sponsor
THE CHAIR
Title

Communication from the Department of Employee Relations relating to classification studies scheduled for City Service Commission action.

Drafter
CC-CC
TB
10/6



Department of Employee Relations

October 20, 2011

Tom Barrett
Mayor

Maria Monteagudo
Director

Michael Brady
Employee Benefits Director

Troy M. Hamblin
Labor Negotiator

To the Honorable
The Committee on Finance and Personnel
Common Council
City of Milwaukee

Dear Committee Members:

Re: Common Council File Number 110846

The following classification and pay recommendations were approved by the City Service Commission on **October 26, 2011**.

In DPW-Operations, one position of Technical Services Supervisor, SG 04 currently held by Ian Brown was recommended for reclassification to Urban Forestry Technical Services Manager, SG 09.

The job evaluation reports covering the above positions, including the necessary Salary and Positions Ordinance amendments, is attached.

Sincerely,

Maria Monteagudo
Employee Relations Director

MM:fcw

Attachments: Job Evaluation Report
Fiscal Note

C: Mark Nicolini, Renee Joos, James Carroll, Troy Hamblin, Nicole Fleck, Ghassan Korban, Preston Cole, Dan Thomas, David Sivyer and Ian Brown

JOB EVALUATION REPORT

City Service Commission Meeting Date: October 11, 2011
Department: DPW-Operations Division

Present	Request	Recommendation
Technical Services Supervisor SG 04 (\$44,194 - \$61,871) Incumbent: Ian Brown	Study of Position	Urban Forestry Technical Services Manager SG 09 (\$60,809 - \$85,129)

Action Required

In the Salary Ordinance, under Salary Grade 09, add the title "Urban Forestry Technical Services Manager" with footnote designation "3f" and under Salary Grade 04, delete the title "Technical Services Supervisor".

In the Positions Ordinance, under Department of Public Works – Operations Division, Forestry Section, Field Operations, delete one position of "Technical Services Supervisor" and add one position of "Urban Forestry Technical Services Manager".

Background

In June of last year the Forestry Services Manager, David Sivyier, requested that the position of Technical Services Supervisor be studied for appropriate pay level. The initial request was for the position to be raised to Salary Grade 07, equivalent to other first-line supervisors in the Division. After further consideration, Mr. Sivyier requested that the position be considered for reclassification to Salary Grade 9, 10, or 11 because the job's level of responsibility had increased so dramatically during the last four years, citing the following: the new responsibility of managing the City's 3,000 vacant lots at a budgeted cost of \$1 million; developing and managing a new large-scale chemical treatment program to combat the emerald ash borer encompassing a staff of 18 and a budget of \$913,000; and significantly expanding the Urban Forestry Internship Program from 6 or 8 students to 20 students annually.

In studying this request, the following documents were reviewed: a request letter from the Forestry Division; a revised request from the Forestry Services Manager regarding the position's pay level and rationale for the request; a revised job description written by the Division; a job analysis questionnaire completed by the employee performing the job and reviewed by his immediate supervisor; and examples of work completed by the employee performing the job. Following the review of these documents and an interview with the Technical Services Supervisor, discussions were also held with David Sivyier, Forestry Services Manager, the employee's immediate supervisor.

Duties and Responsibilities

This position heads the Technical Services Area of the Forestry Section and currently reports to the Forestry Services Manager ("city forester") who is responsible for planning, directing, and implementing all of the City's arboricultural and horticultural programs. The basic purpose of the job under review has evolved into one that now manages programs, incorporates best practices in arboriculture and horticulture into the Forestry Section, and expands the use of information technology. The total operating budget for which this manager is responsible is approximately \$1.8 million. The programs managed for the Forestry Section require knowledge in various

aspects of arboriculture and horticulture in addition to more technical areas such as forest inventory, remote sensing, land surveying, GPS technology, integrated forest resource management, and silviculture (the care and cultivation of forest trees). In addition, it is critical that the employee performing the job be able to develop and deliver training programs to employees.

The major areas of responsibility for the Technical Services Supervisor are as follows:

- Research and development
- Employee training and development
- Vacant lot maintenance, code enforcement, urban forest protection
- Plant health care management
- Management and administration

Research and Development: Duties associated with this area include the following:

- Designing, developing and evaluating new methods, materials, strategies for pest management, plant health care, and urban forest management
- Serving as liaison with cooperative research groups, colleges and universities, private companies, and governmental agencies
- Designing and evaluating forestry-related software
- Coordinating and managing field operations related to emerald ash borer readiness and response, including risk assessment, risk management, outreach to the public, and wood waste utilization.

Employee Training and Development - Duties in this area include the following:

- Planning, organizing, and creating field and classroom training in landscape management and arboriculture for all new Urban Forestry Specialist Trainees
- Developing, presenting, and coordinating a comprehensive in-service training program for forestry personnel
- Code Enforcement, Forest Protection, Vacant Lot Maintenance
- Supervising six full-time and four seasonal Forestry Technicians who enforce the City's noxious weed ordinance, and investigate and enforce ordinances related to public right-of-way encroachments, hazardous and diseased trees on both public and private properties.
- Supervising two full-time Program Assistants performing inspections
- Defending appeals related to code enforcement violations and orders
- Coordinating and regulating contractor activities impacting city landscaping and trees
- Developing and administering contracts for code enforcement and city-owned vacant lot maintenance

Code Enforcement, Forest Protection, And Vacant Lot Maintenance - Duties in this area include the following:

- Administers contracts related to the maintenance of City-owned vacant lots
- Supervises six full-time and four seasonal Forestry Technicians who enforce the noxious weed ordinance; investigate public right-of-way encroachments, and investigate hazardous and diseased trees on public and private properties.
- Supervision of 2 Program Assistants who perform inspections and monitor the work of contractors responsible for maintaining 3,000 City-owned vacant lots
- Represents DPW in appeals related to code enforcement violations and orders

Plant Health Care Management - Duties in this area are as follows:

- Diagnosing and treating pest problems including insects, diseases, abiotic disorders and weed identification
- Developing and recommending action plans for invasive and exotic pests
- Monitoring pest incidence and population levels
- Coordinating efforts with state and federal authorities regarding federal quarantine and control programs for exotic and invasive landscape pests
- Monitoring pesticide use; maintaining pesticide application records, current pesticide label handbooks, and pesticide manuals
- Researching and recommending pesticides and their appropriate use

Management and Administrative Support - Duties within this area include the following:

- Developing documentation to support requisitions for equipment and supplies
- Assisting District Managers with administrative and managerial duties to ensure adequate coverage at the district level
- Recruiting, interviewing, and hiring Urban Forestry Interns
- Coordinating Intern activities related to research and landscape management
- Preparing technical and administrative reports and documents
- Investigating and resolving sensitive and complex complaints and service requests from citizens and elected officials
- Participating in snow and ice control operations at the district level and coordinating responses to tree emergencies

Knowledge, Skills, Abilities, and Attributes (KSAs)

Notable KSAs needed for successful job performance include the following:

- Thorough knowledge of the modern principles, practices and techniques of urban forestry
- Good knowledge of the modern principles, practices and techniques of horticulture
- Good knowledge of the practices, tools, terminology, equipment and materials used in arboriculture including tree and shrub planting, maintenance, and removal
- Ability to respond to diplomatically and authoritatively to problems and issues from the public, state and federal representatives, managers, and elected officials
- Ability to hire, train, supervise, discipline, motivate, evaluate, and coach employees.
- Ability to research, create, coordinate, and present training program in arboriculture and horticulture
- Ability to create and administer contracts and oversee the work of contractors
- Ability to establish and maintain effective business relationships
- Ability to communicate effectively, both orally and in writing;
- Ability to use specialized industry-related software as well as standard office software and hardware

It should be noted that these KSAs represent the most notable competencies required for successful job performance and are not necessarily the same as those required for entry into the job. For that reason, they have not been assessed for purposes of staffing.

The minimum requirements for the job, as stated in the most recent job posting for the position in 2001, were a bachelor's degree in forestry, arboriculture, horticulture or closely related field, work experience in caring for trees, shrubs, ornamental plants, flowers, and laws, and work experience in forestry/arboriculture research, and employee training and development. When

the position was listed in sources targeted to arboriculture professionals, the notice stated that a master's degree was preferred.

Changes to the Position

As stated in the revised job description for the position and verified in an interview with the employee performing the job, the duties and responsibilities associated with this position have expanded significantly during the last four years. In 2001, the essential functions of the job consisted of the following:

- Developing, presenting, and coordinating in-service classroom training and field training in arboriculture and horticulture for Forestry personnel
- Serving as a liaison with universities and other agencies for cooperative research projects
- Designing, developing, and evaluating new arboricultural procedures and materials, pest management strategies, pesticide application, and safety equipment
- Disseminating information to the public through press releases
- Providing field diagnoses and remedies for pest problems using Plant Health Care principles
- Supervising certain pesticide programs and monitoring pesticide usage
- Coordinating state, and federal quarantine and control programs
- Assuring compliance with local, state, and federal pesticide and hazardous material regulations
- Advising the Forestry Division on specifications for the procurement of supplies and materials

Beginning in about 2004, the following duties and responsibilities were added to the position.

Code Enforcement and Hazard Tree Abatement

In 2004 the Technical Services Supervisor became responsible for code enforcement programs regarding noxious weeds, snow removal, and hazardous tree abatement and the addition of six Urban Forestry Technicians. At this time the reporting relationship for the position changed from reporting to a District Manager to reporting to the Forestry Services Manager. Each year, the Forestry Division cites some 3,500 properties for violations of the noxious weed ordinance and some 9,400 properties for violations of the snow removal ordinance.

Urban Forestry Intern Training Program

Prior to 2007, the Forestry Division's Intern program was relatively small, with six to eight Interns per year. Since that time, the Division has expanded its outreach for Interns and also expanded opportunities for Interns. As a result, the Forestry Division now has some 20 Interns who receive classroom and field training and perform duties such as taking inventories of trees at specified locations, speaking to residents about emerald ash borer, and injecting trees to guard against the emerald ash borer.

Maintenance of Vacant City-Owned Lots.

In 2008 the responsibility for maintaining the City's 3,000 vacant lots was transferred from the Department of Neighborhood Services to DPW and the responsibility for managing this program was assigned to the Technical Services Supervisor. The City's vacant lots are maintained by private contractors who remove snow from sidewalks, control weeds, mow lawns, remove trash, and remove hazardous trees. When this responsibility was acquired, the Forestry Services Manager and the Technical Services Supervisor made significant changes to the requirements

for contractors, performance standards for contractors, and the systems used to monitor vacant lots. As a result of these changes, 80% of violations were identified prospectively, prior to being reported by residents, thus reducing requests from residents for service by 78%.

Emerald Ash Borer (EAB) Treatment Program

During the past two years, the Technical Services Supervisor independently researched, developed, and implemented a large-scale chemical treatment program to reduce the public safety risks associated with the City's 33,000 ash trees on City streets. This program requires considerable planning with other managers and coordination of staff (18 employees) and supplies to ensure that 13,000 to 15,000 trees are injected over each growing season. This program has an annual budget of \$913,000.

Expansion of Information Technology

The employee performing the job has significantly expanded the use of information technology to streamline business processes and apply specialized software to add information regarding trees to the City's GIS information. Through creative use of GIS mapping on the part of the employee performing the job, the City now has a GIS layer that shows the location, species, and condition of all City-owned trees. In addition, through the use of software and specialized modeling, the Division calculated the economic value of the City's urban forest in terms of structural value (3.4 million trees at \$1.43 billion) pollution removal (\$2.59 million per year) and other factors.

Analysis

There is no doubt that the responsibilities associated with this position have increased dramatically during the last seven years to a degree rarely seen in the City service. In considering an appropriate pay level for this position the level of responsibility and knowledge/skill required were compared to the following positions in the Forestry Section.

- Urban Forestry District Manager, SG 11
- Greenhouse and Nursery Manager, SG 08
- Urban Forestry Manager, SG 07
- Shop and Maintenance Supervisor, SG 07

Two Urban Forestry District Managers, SG 11 are each responsible for planning and managing all arboriculture and horticultural programs for three Forestry Districts encompassing 200,000 street trees, 122 miles of boulevards, and numerous landscapes, green spaces, and beautification projects. These District Managers supervise Urban Forestry Managers, SG 07, who plan and supervise the work of crews on a daily basis. These first-line supervisors organize and assign work to crews daily; assess productivity and quality of work performed; train employees; investigate and resolve routine citizen and Aldermanic service requests; and respond to emergency conditions.

The Greenhouse and Nursery Manager, SG 08, is responsible for managing a 160-acre municipal tree/shrub nursery and its greenhouse flower production facilities. This facility provides plant material to the Forestry Section and sells materials to other City-related organizations, such as Summerfest, and local municipalities. This position supervises from 6 to 12 employees, depending upon the season and is responsible for \$2.5 million worth of plant material.

Although the nature of work performed by the Shop and Maintenance Manager differs significantly from that of the position under study, it is included because it is an integral part of

the Forestry Section's operations. As the title suggests, this position manages all repair and replacement programs for the equipment used by the Forestry Division.

Considering the operational budget for which the Technical Services Supervisor is responsible (\$1.8 million), the operational and programmatic responsibilities of the job, supervisory responsibilities (73 employees) it appears that the position is now at a level of responsibility that exceeds that of Urban Forestry Managers, SG 07, and also exceeds that of the Greenhouse / Nursery Manager, SG 08, especially considering the variety of programs managed the number of employees supervised.

Although the Division has stated that the organizational planning and program analysis performed by Technical Services Supervisor position approximates the duties performed by Urban Forestry District Managers, SG 11, we find the overall level of responsibility exercised by Urban forestry District Managers, who essentially have responsibility for planning, managing, and implementing all of the arboricultural and horticultural programs for one-half of the City, to be higher than that of the Technical Services Supervisor.


Recommendation

In light of the foregoing analysis, it is recommended that the position of Technical Services Supervisor in Salary Grade 04, be reclassified to Urban Forestry Technical Services Manager in Salary Grade 09.

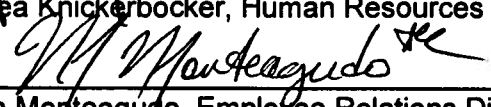
Prepared by:


Laura Sutherland, Human Resources Representative

Reviewed by:


Andrea Knickerbocker, Human Resources Manager

Reviewed by:


Maria Monteagudo, Employee Relations Director



City of Milwaukee Fiscal Impact Statement

A

Date October 20, 2011 **File Number** 110846
Subject Classification and pay recommendations approved by the City Service Commission on March 8, 2011.

B

Submitted By Sarah Trotter, Human Resources Representative
(Name/Title/Dept./Ext.) Dept. of Employee Relations/X2398.

C

- This File**
- ☒ Increases or decreases previously authorized expenditures.
 - ☐ Suspends expenditure authority.
 - ☐ Increases or decreases city services.
 - ☐ Authorizes a department to administer a program affecting the city's fiscal liability.
 - ☐ Increases or decreases revenue.
 - ☒ Requests an amendment to the salary or positions ordinance.
 - ☐ Authorizes borrowing and related debt service.
 - ☐ Authorizes contingent borrowing (authority only).
 - ☐ Authorizes the expenditure of funds not authorized in adopted City Budget.

D

This Note ☐ Was requested by committee chair.

E

Charge To

<input checked="" type="checkbox"/> Department Account	<input type="checkbox"/> Contingent Fund
<input type="checkbox"/> Capital Projects Fund	<input type="checkbox"/> Special Purpose Accounts
<input type="checkbox"/> Debt Service	<input type="checkbox"/> Grant & Aid Accounts
<input type="checkbox"/> Other (Specify) _____	

F

Assumptions used in arriving at fiscal estimate.

G

Purpose	Specify Type/Use	Expenditure	Revenue
Salaries/Wages	Classification and Pay recommendations.	See the attached spreadsheet.	
Supplies/Materials			
Equipment			
Services			
Other			
TOTALS			

H

For expenditures and revenues which will occur on an annual basis over several years check the appropriate box below and then list each item and dollar amount separately.

☐ 1-3 Years ☐ 3-5 Years

☐ 1-3 Years ☐ 3-5 Years

☐ 1-3 Years ☐ 3-5 Years

I

List any costs not included in Sections E and F above.

J

Additional information.

Department of Employee Relations
Fiscal Note Spreadsheet

Finance & Personnel Committee Meeting of October 26, 2011
City Service Commission Meeting of October 11, 2011

NEW COST FOR 2011									
No.	Pos.	Dept	From	PR/SG	To	PR/SG	Present Annual	New Annual	Total Rollup+ Sal
1		DPW - Operations	Technical Services Supervisor	4	Urban Forestry Tech Services Mgr	9	\$49,232	\$63,728	\$1,305
1								\$1,115	\$1,305

Assume changes are effective Pay Period 25 (November 27, 2011)

PROJECTED NEW COST FOR FULL YEAR									
No.	Pos.	Dept	From	PR/SG	To	PR/SG	Present Annual	New Annual	Total Rollup+ Sal
1		DPW - Operations	Technical Services Supervisor	4	Urban Forestry Tech Services Mgr	9	\$49,232	\$63,728	\$16,960
1								\$14,496	\$16,960

Totals may not be to the exact dollar due to rounding.

PW FILE NUMBER: 110846

[illegible]



Legislation Details (With Text)

File #: 110641 **Version:** 0
Type: Resolution **Status:** In Committee
File created: 9/1/2011 **In control:** FINANCE & PERSONNEL COMMITTEE
On agenda: **Final action:**
Effective date:
Title: Resolution authorizing the City Comptroller's Office to execute employee payroll deductions for volunteer contributions to the City for pension liabilities.
Sponsors: ALD. ZIELINSKI
Indexes: DONATIONS
Attachments: Hearing Notice List-10/26/11 mtg

Date	Ver.	Action By	Action	Result	Tally
9/1/2011	0	COMMON COUNCIL	ASSIGNED TO		
9/30/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number
110641
Version
ORIGINAL
Reference

Sponsor
ALD. ZIELINSKI

Title
Resolution authorizing the City Comptroller's Office to execute employee payroll deductions for volunteer contributions to the City for pension liabilities.

Analysis
This resolution authorizes the City Comptroller's Office to execute employee payroll deductions for volunteer contributions to the City for pension liabilities.

Body
Whereas, The City faces an increasing economic hardship due to cuts in state aid and other reduced revenues; and

Whereas, The City faces a growing liability for employee pensions; and

Whereas, Many City employees are not required to contribute to their pensions; and

Whereas, City employees have shown an interest in voluntarily contributing to the City in order to offset the City's financial burden for rising pension costs; and

Whereas, Payroll deduction is a convenient method for making such voluntary contributions; now, therefore, be it

Resolved, By the Common Council of the City of Milwaukee, that the City Comptroller's Office is authorized to execute employee payroll deductions for volunteer contributions to the City for pension liabilities.

Requester

Drafter
LRB128776-1
Mary E. Turk
8/30/11

..Number

110641

..Version

PROPOSED SUBSTITUTE A

..Reference

..Sponsor

ALD. ZIELINSKI

..Title

Resolution authorizing the City Comptroller's Office to execute employee payroll deductions for volunteer contributions to the City for pension liabilities.

..Analysis

This resolution authorizes the City Comptroller's Office to execute employee payroll deductions for volunteer contributions to the City for pension liabilities.

..Body

Whereas, The City faces an increasing economic hardship due to cuts in state aid and other reduced revenues; and

Whereas, The City faces a growing liability for employee pensions; and

Whereas, Many City employees are not required to contribute to their pensions; and

Whereas, City employees have shown an interest in voluntarily contributing to the City in order to offset the City's financial burden for rising pension costs; and

Whereas, Payroll deduction is a convenient method for making such voluntary contributions; now, therefore, be it

Resolved, By the Common Council of the City of Milwaukee, that the City Comptroller's Office is authorized to execute employee payroll deductions for employees who make annual elections of voluntary contributions, either based on a fixed percentage or flat amount, to the City's Employers' Reserve Fund for future actuarially required pension contributions.

..Requester

..Drafter

LRB128776-2

Mary E. Turk

9/25/11

PW FILE NUMBER: 110641

[illegible]



Legislation Details (With Text)

File #: 110742 **Version:** 0

Type: Resolution **Status:** In Committee

File created: 10/11/2011 **In control:** FINANCE & PERSONNEL COMMITTEE

On agenda: **Final action:**

Effective date:

Title: Resolution relative to acceptance and funding of a Truancy Abatement and Burglary Suppression Grant.

Sponsors: THE CHAIR

Indexes: GRANTS, MILWAUKEE PUBLIC SCHOOLS, MINORS, POLICE DEPARTMENT

Attachments: Grant Analysis Form, Grant Budget Form, Hearing Notice List 10-26-11 mtg

Date	Ver.	Action By	Action	Result	Tally
10/11/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/14/2011	0	PUBLIC SAFETY COMMITTEE	HEARING NOTICES SENT		
10/18/2011	0	PUBLIC SAFETY COMMITTEE	RECOMMENDED FOR ADOPTION AND ASSIGNED TO	Pass	4:0
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number:
110742
Version:

Sponsor:
THE CHAIR
Title:

Resolution relative to acceptance and funding of a Truancy Abatement and Burglary Suppression Grant.

Analysis

This resolution authorizes the Milwaukee Police Department to apply for, accept, and fund a Truancy Abatement and Burglary Suppression Grant from the Milwaukee Public Schools, in the amount of \$538,724, with a City share of \$218,724 and a grantor share of \$320,000. Milwaukee Public Schools has the responsibility to provide funding for the program per State Statute. The purpose of the program is to work in the areas of truancy abatement and burglary suppression. The grant authorizes six police officer positions. This is a continuing grant.

BODY:

Whereas, The City of Milwaukee appears to be eligible for grant funds from the Milwaukee Public Schools for a TABS Grant; and

Whereas, The City of Milwaukee may enter into an intergovernmental cooperation agreement with the Milwaukee Public Schools; and

Whereas, The operation of this grant from 07/01/11 to 6/30/12 would cost \$538,724 of which \$218,724 (41%) would be provided by the city and \$320,000 (59%) would be provided by the grantor; now, therefore, be it

Resolved, By the Common Council of the City of Milwaukee, that the application to the Milwaukee Public Schools is authorized, and the Police Department shall accept this grant without further approval unless the terms of the grant change as indicated in Section 304-81, Milwaukee Code of Ordinances; and, be it

Further Resolved, That the appropriate City officials are authorized to renew the expiring intergovernmental cooperation agreement with the Milwaukee Public Schools for TABS services; and

Further Resolved, That the City Comptroller is authorized to:

1. Commit funds within the Project/Grant Parent of the 2011 Special Revenue- Grant and Aid Projects, the following amounts for the program titled: Truancy Abatement and Burglary Suppression (TABS) Grant:

<u>Project/Grant</u>	<u>Fund</u>	<u>Org</u>	<u>Program</u>	<u>BY</u>	<u>Subclass</u>	<u>Account</u>
GR0001100000	0150	9990	0001	0000	R999	000600

<u>Project</u>	<u>Amount</u>
Grantor Share	\$320,000

2. Create the necessary Grant and Aid Project/Grant and Project/Grant levels; budget against these Project/Grant values the amount required under the grant agreement; and

3. Establish the necessary City Share Project Values; and, be it

Further Resolved, That these funds are budgeted for the Milwaukee Police Department which is authorized to:

1. Expend from the amount budgeted for specified purposes as indicated in the grant budget and incur costs consistent with the award date; and, be it

Further Resolved, that the 2011 Positions Ordinance be amended as follows:

POLICE DEPARTMENT

Amend footnote (F) to read as follows: Truancy Abatement Grant: Six positions to expire 6/30/2012 unless grant funding from the Milwaukee Public Schools continues.

Drafter:
Milwaukee Police Department
VLJ/kmb
9/20/2011

GRANT ANALYSIS FORM

OPERATING & CAPITAL GRANT PROJECTS/PROGRAMS

Department/Division: Milwaukee Police Department

Contact Person & Phone No: Vicki Johnson, 935-7125

Category of Request

- ☐ New Grant
- ☒ Grant Continuation
- ☐ Change in Previously Approved Grant

Previous Council File No. 100544

Previous Council File No. 090516

Project/Program Title: Truancy Abatement and Burglary Suppression (TABS)

Grantor Agency: Milwaukee Public Schools

Grant Application Date: N/A

Anticipated Award Date: N/A

Please provide the following information:

1. Description of Grant Project/Program (Include Target Locations and Populations):

This grant continues to reimburse MPD's costs of six officers salaries and overtime up to a limit of \$320,000 in providing TABS related duties according to the Intergovernmental Agreement.

2. Relationship to City-wide Strategic Goals and Departmental Objectives:

Public safety.

3. Need for Grant Funds and Impact on Other Departmental Operations (Applies only to Programs):

4. Results Measurement/Progress Report (Applies only to Programs):

5. Grant Period, Timetable and Program Phase-out Plan:

07/01/11 – 06/30/12

6. Provide a List of Subgrantees:

N/A

7. If Possible, Complete Grant Budget Form and Attach.

CITY OF MILWAUKEE OPERATING GRANT BUDGET

PROJECT/PROGRAM TITLE: Truancy Abatement and Burglary Suppression (TABS)

PROJECT/PROGRAM YEAR: 07/01/11 – 06/30/12

CONTACT PERSON: Vicki Johnson, Accountant III

NUMBER OF POSITIONS		LINE DESCRIPTION	PAY RANGE NO.	GRANTOR SHARE	IN-KIND SHARE	CASH MATCH A/C #	TOTAL
NEW	EXISTING						
	6	PERSONNEL COSTS Police Officers (FT)		225,352	154,031		379,383
		TOTAL PERSONNEL COSTS		225,352	154,031		379,383
		FRINGE BENEFITS (42%)		94,648	64,693		159,341
		TOTAL FRINGE BENEFITS		94,648	64,693		159,341
		SERVICES					
		TOTAL SERVICES					
		INDIRECT COSTS					
		TOTAL INDIRECT COSTS					
		TOTAL COSTS		320,000	218,724		538,724

PW FILE NUMBER: 110742

[illegible]



Legislation Details (With Text)

File #: 110801 **Version:** 0
Type: Resolution **Status:** In Committee
File created: 10/11/2011 **In control:** FINANCE & PERSONNEL COMMITTEE
On agenda: **Final action:**
Effective date:

Title: Resolution directing the Commissioner of Public Works to execute a revised project agreement titled "State/Municipal Agreement for a Highway Improvement Project:, with the Wisconsin Department of Transportation, for the improvement of North Port Washington Avenue from North Martin Luther King, Jr. Drive to North City Limits, with 80% Federal and State aid, under the STP Urban Improvement Program; with an estimated total cost of \$3,005,000, which is an increase of \$500,000, and a total estimated increase in construction cost of this project is \$500,000 of which the City's share is \$100,000 and grantor's share is \$400,000.

Sponsors: THE CHAIR

Indexes: AGREEMENTS, HIGHWAYS, STREET IMPROVEMENTS, WISCONSIN DEPARTMENT OF TRANSPORTATION

Attachments: Revised Agreement, Hearing Notice List - 10-26-11 mtg

Date	Ver.	Action By	Action	Result	Tally
10/11/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/17/2011	0	PUBLIC WORKS COMMITTEE	HEARING NOTICES SENT		
10/17/2011	0	PUBLIC WORKS COMMITTEE	HEARING NOTICES SENT		
10/19/2011	0	PUBLIC WORKS COMMITTEE	RECOMMENDED FOR ADOPTION AND ASSIGNED TO	Pass	5:0
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number

110801

Version

ORIGINAL

Reference

Sponsor

THE CHAIR

Title

Resolution directing the Commissioner of Public Works to execute a revised project agreement titled "State/Municipal Agreement for a Highway Improvement Project:, with the Wisconsin Department of Transportation, for the improvement of North Port Washington Avenue from North Martin Luther King, Jr. Drive to North City Limits, with 80% Federal and State aid, under the STP Urban Improvement Program; with an estimated total cost of \$3,005,000, which is an increase of \$500,000, and a total estimated increase in construction cost of this project is \$500,000 of which the City's share is \$100,000 and grantor's share is \$400,000.

Analysis

This resolution directs the Commissioner of Public Works to execute a revised project agreement for the improvement of North Port Washington Avenue from North Martin Luther King, Jr. Drive to North City Limits.

This project is approved and included in the Wisconsin Department of Transportation's (WisDOT), current STP Urban Improvement Program. The City of Milwaukee is responsible for 20% of the construction costs on this project in accordance with WisDOT policy. The estimated total cost of this project is \$3,005,000, of which the City's share is \$601,000 and the grantor's share is \$2,404,000. The total increase in construction cost of this project is \$500,000 of which the City's share is \$100,000 and grantors' share is \$400,000.

Body

Whereas, A project agreement has been executed by the Wisconsin Department of Transportation (WisDOT), and the City of Milwaukee for the improvement of North Port Washington Avenue from North Martin Luther King, Jr. Drive to West Fiebrantz Avenue, with Federal/State aid under the STP Urban Improvement Program, in accordance with the Common Council resolution file number 100021 adopted May 25, 2010; and

Whereas, The City requested and the WisDOT approved a change in project scope to extend the limits of the project from North Fiebrantz Avenue north to the North City Limits, resulting in an increase in constructions costs and construction engineering from the original agreement; and

Whereas, A revised project agreement with the updated project costs has been received from the WisDOT, to be executed by the City of Milwaukee with 80 percent Federal and State aid, under the STP Urban Improvement Program; and

Whereas, Construction funds for the project will be included in a separate resolution; therefore, be it

Resolved, By the Common Council of the City of Milwaukee, that the Commissioner of Public Works is hereby authorized to execute a revised project agreement for the increased cost of North Port Washington Avenue from North Martin Luther King, Jr. Drive to North City Limits for State ID2570-04-70, due to a change in scope to extend the project limits from North Fiebrantz Avenue north to the North City Limits, with 80 percent Federal and/or State aid under the STP Urban Improvement Program; be it

Further Resolved, A copy of the revised project agreement is attached to Common Council resolution file number 110801, and is incorporated into this resolution by reference as though set forth in full.

Requestor

Department of Public Works

Drafter

Infrastructure Services Division

LCG:amh

September 29, 2011

Reso N Prt Washgtn Av 092911.rtf

**REVISED
STATE/MUNICIPAL AGREEMENT
FOR A
HIGHWAY IMPROVEMENT PROJECT**
This agreement replaces agreement dated April 4,2010

Date: September 27, 2011
ID: 2570-04-00/70/90
Highway: North Port Washington Avenue
Limits: North MLK Jr Dr to North City Limits
Route: Local Street
County: Milwaukee

The signatory city, village, town or county, hereinafter called the Municipality, through its undersigned duly authorized officers or officials, hereby requests the State of Wisconsin Department of Transportation, hereinafter called the State, to initiate and effect the highway or street improvement hereinafter described.

The authority for the Municipality to enter into this agreement with the State is provided by Section 86.25(1), (2) and (3) of the Statutes.

In accordance with the State's sunset policy for STP-Urban projects, the subject 2009 – 2012 Cycle STP-Urban improvement must be constructed and in final acceptance within six years from the start of State Fiscal Year 2010, or by June 30, 2015. Extensions may be available upon approval of a written request by or on behalf of the Municipality to WisDOT. The written request shall explain the reasons for project implementation delay and revised timeline for project completion.

NEEDS AND ESTIMATE SUMMARY:

Existing Facility - Describe and give reason for request:

Roadway pavement is in poor condition having a rough ride quality and numerous irregularities including deteriorated joints and base, pot hole, settlements, cracks, and patches.

Proposed Improvement - Nature of work:

Roadway Reconstruction.

The apportionment of costs for work necessary to finish the project (including non-participating work and work which will be undertaken by the Municipality) is as follows:

Estimated Cost					
Phase	Total Estimated Cost	Federal/State Funds	%	Municipal Funds	%
Design (-00)	\$340,000	\$272,000	80%	\$68,000	20%
State Review (-00)	\$70,000	\$56,000	80%	\$14,000	20%
Construction (-70)	\$2,500,000	\$2,000,000	80%	\$500,000	20%
Signals/Signs (-90)	\$95,000	\$76,000	80%	\$19,000	20%
Total Cost Distribution *	\$3,005,000	\$2,404,000		\$601,000	

* Federal participation in this project is limited to \$2,404,000. If project costs exceed this

estimate, this project agreement may be revised and additional City of Milwaukee STP-M entitlements may be used, provided a positive entitlement balance is maintained.

This request is subject to the terms and conditions that follow (pages 2 through 4) and is made by the undersigned under proper authority to make such request for the designated Municipality and upon acceptance by the State shall constitute agreement between the Municipality and the State.

Signed for and on behalf of the City of Milwaukee:	
Signature	Title
Name (Written Clearly)	Date
Signature (Comptroller)	Title
Name (Written Clearly)	Date

TERMS AND CONDITIONS

1. The initiation and accomplishment of the improvement will be subject to the applicable Federal and State regulations.
2. The Municipality will pay to the State all costs incurred by the State in connection with the improvement which exceed Federal/State financing commitments or are ineligible for Federal/State financing. The Municipality's concurrence is required before award of the contracts for the improvement when the contracts exceed 5% of the estimate. The Municipality must also concur with contract modifications to contracts awarded by the State over \$25,000.00, unless the authorized representative of the State determines that a prompt change order is needed to preserve the work in progress, prevent extraordinary damage, avoid unreasonable and costly delay, or other extraordinary condition of necessity, safety or emergency exists. The authorized representative of the State shall provide notice of the prompt change order to the Municipality or its authorized representative as soon as practicable thereafter and the Municipality shall pay its share of the prompt change order cost.
3. Funding of each project phase (preliminary engineering, real estate, construction, and other) is subject to inclusion in an approved program. Federal aid and/or State transportation fund financing will be limited to participation in the costs of the following items as specified in the estimate summary:
 - a. Preliminary engineering and State review services.
 - b. The grading, base, pavement, and curb and gutter.

- c. Catch basins and inlets for surface water drainage of the improvement, with connections to the storm sewer main.
 - d. Construction engineering incidental to inspection and supervision of actual construction work.
 - e. Signing and pavement marking, including detour routes.
 - f. Storm sewer mains necessary for the surface water drainage.
 - g. Construction or replacement of sidewalks and surfacing of private driveways.
 - h. New installations or alteration of street lighting and traffic signals or devices.
 - i. Real Estate for the improvement, if required
4. Work necessary to complete the improvement to be financed entirely by the Municipality or other utility or facility owner or other responsible party (not including the State) includes the following items:
- a. New installations of or alteration of sanitary sewers and connections, water, gas, electric, telephone, telegraph, fire or police alarm facilities, parking meters, and similar utilities.
 - b. Damages to abutting property due to change in street or sidewalk widths, grades or drainage.
 - c. Conditioning, if required, and maintenance of detour routes.
 - d. Repair of damages to roads or streets caused by reason of their use in hauling materials incidental to the improvement.
 - e. Bridge width in excess of standards.
5. As the work progresses, the Municipality will be billed for and agrees to pay for work completed which is not chargeable to Federal/State funds. Upon completion of the project, a final audit will be made to determine the final division of costs and the Municipality agrees to pay any required reimbursement to the State.
- The Municipality will pay to the State all costs incurred by the State in connection with the improvement that exceed federal financing commitments or are ineligible for federal financing. In order to guarantee the Municipality's foregoing agreements to pay the State, the Municipality, through its above duly authorized officers or officials, agrees and authorizes the State to set off and withhold the required reimbursement amount as determined by the State from any moneys otherwise due and payable by the State to the Municipality.
6. If the Municipality should withdraw from the project, it will reimburse the State for any costs incurred by the State on behalf of the project.

7. The work will be administered by the State and may include items not eligible for Federal/State participation.
8. The Municipality will at its own cost and expense:
 - a. Maintain all portions of the project that lie within its jurisdiction for such maintenance through statutory requirements, in a manner satisfactory to the State and will make ample provision for such maintenance each year.
 - b. Prohibit angle parking.
 - c. Regulate or prohibit all parking at locations where and when the pavement area usually occupied by parked vehicles will be needed to carry active traffic in the street.
 - d. Regulate and prohibit parking at all times in the vicinity of the proposed improvements during their construction.
 - e. Assume general responsibility for all public information and public relations for the project and to make fitting announcements to the press and such outlets as would generally alert the affected property owners and the community of the nature, extent, and timing of the project and arrangements for handling traffic within and around the project.
 - f. Provide complete plans, specifications, relocation order, real estate plat, and estimates, *except as provided in Paragraph 3 above.*
 - g. Use the WisDOT Utility Accommodation Policy unless it adopts a policy which has equal or more restrictive controls.
9. Basis for local participation: 80% Federal; balance by Municipality, as specified in Surface Transportation Program—Urban (STP-U) regulations. Federal participation in this project is limited to \$2,404,000. If project costs exceed this estimate, this project agreement may be revised and additional City of Milwaukee STP-M entitlements may be used, provided a positive entitlement balance is maintained.

(End of Document)

PW FILE NUMBER: 110801

[illegible]



Legislation Details (With Text)

File #: 110838 **Version:** 0
Type: Resolution **Status:** In Committee
File created: 10/11/2011 **In control:** FINANCE & PERSONNEL COMMITTEE
On agenda: **Final action:**
Effective date:

Title: Resolution authorizing the Commissioner of Public Works to execute Revised Project Agreements titled "State/Municipal Agreement for a Highway Improvement project" between the City of Milwaukee and Wisconsin Department of Transportation associated with the North 45th Street Bridge over Menomonee River and the West North Avenue Bridge over Canadian Pacific Rail Road with 80 percent Federal and State aid under the Local Bridge Replacement and Rehabilitation Program and to fund the revised design estimate with the City of Milwaukee's Share being \$81,800 and the grantor's share being \$327,200.

Sponsors: THE CHAIR

Indexes: AGREEMENTS, BRIDGES, WISCONSIN DEPARTMENT OF TRANSPORTATION

Attachments: Agreement North Avenue Bridge, Agreement 45th Street Bridge, Comptroller's Certificate, Hearing Notice List - 10-26-11 mtg

Date	Ver.	Action By	Action	Result	Tally
10/11/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/17/2011	0	PUBLIC WORKS COMMITTEE	HEARING NOTICES SENT		
10/17/2011	0	PUBLIC WORKS COMMITTEE	HEARING NOTICES SENT		
10/19/2011	0	PUBLIC WORKS COMMITTEE	RECOMMENDED FOR ADOPTION AND ASSIGNED TO	Pass	5:0
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number

110838

Version

ORIGINAL

Reference

060882, 090796

Sponsor

THE CHAIR

Title

Resolution authorizing the Commissioner of Public Works to execute Revised Project Agreements titled "State/Municipal Agreement for a Highway Improvement project" between the City of Milwaukee and Wisconsin Department of Transportation associated with the North 45th Street Bridge over Menomonee River and the West North Avenue Bridge over Canadian Pacific Rail Road with 80 percent Federal and State aid under the Local Bridge Replacement and Rehabilitation Program and to fund the revised design estimate with the City of Milwaukee's Share being \$81,800 and the grantor's share being \$327,200.

Analysis

This Resolution authorizes the Commissioner of Public Works to execute Revised Project Agreements with the Wisconsin Department of Transportation (WISDOT) associated with the North 45th Street Bridge over Menomonee

River and West North Avenue Bridge over Canadian Pacific Rail Road and to fund the revised design estimates with a City of Milwaukee share of \$81,800 and a grantor share of \$327,200. The Revised Project Agreements provide for 80 percent Federal/State aid and 20 percent local cost sharing for engineering and eligible construction costs for the projects. The total estimated costs for the projects are \$5,437,000 of which \$4,017,400 is the City of Milwaukee's Share and \$1,401,600 is the grantor share. A future Revised Project Agreement providing for 80 percent Federal/State aid and 20 percent local cost sharing for construction cost for West North Avenue Bridge over Canadian Pacific Rail Road will be applied for during the next WISDOT Program cycle. Construction funds for both projects will be requested in a future Common Council resolution.

Body

Whereas, The Common Council of the City of Milwaukee adopted Resolution File Number 060882 authorizing and directing the Commissioner of Public Works to execute an agreement with the Wisconsin Department of Transportation (WISDOT) for West North Avenue Bridge over Canadian Pacific Rail Road with 80 percent Federal and/or State aid under the Local Bridge Replacement and Rehabilitation Program; and

Whereas, The Common Council of the City of Milwaukee adopted Resolution File Number 090796 authorizing and directing the Commissioner of Public Works to execute an agreement with the WISDOT for Rehabilitation of the North 45th Street Bridge over Menomonee River with 80 percent Federal and/or State aid under the Local Bridge Replacement and Rehabilitation Program; and

Whereas, As part of the preliminary engineering process, engineering and construction cost have been refined and increased since the original agreement was drafted five years ago; and

Whereas, The WISDOT has submitted Revised Project Agreements that include additional funding for the engineering and construction costs associated with the bridge projects for City execution; and

Whereas, The West North Avenue Bridge over Canadian Pacific Rail Road original scope has been revised from a rehabilitation project to full reconstruction which will require construction funds to be applied for in the next WISDOT Program cycle; and

Whereas, The bridge projects are scheduled for construction in 2013 and construction funds for these projects will be requested in a separate resolution; now therefore, be it

Resolved, By the Common Council of the City of Milwaukee that the Commissioner of Public Works is hereby authorized to execute the Revised Project Agreements updating and revising the engineering and construction costs associated with the North 45th Street Bridge over Menomonee River and West North Avenue Bridge over Canadian Pacific Rail Road with 80 percent Federal and/or State aid under Local Bridge Replacement and Rehabilitation Program, a copy of which is attached to Common Council File Number 110838 and incorporated in this resolution as though set forth therein in full; and, be it

Further Resolved, That the City Comptroller is hereby authorized to create within the Capital Improvement Fund, Grant and Aid Projects, the necessary Project/Grant Chartfield Values for preliminary engineering for the projects (Expenditure) and transfer to any of these accounts the amount required under grant agreement and City Accounting Policy but not to exceed a 10 percent increase of the total amounts reserved for the grantor's share and local share or \$5,000 whichever is greater, as follows:

Infrastructure Services Division Accounts

North 45th Street Bridge over Menomonee River
State Project I.D. 2984-39-02

BR320062201
City Share Non-Assessable Fund, Structure
BR300110000

Fund 0303
\$6,580

Grantor Reimbursable Fund, Structure
SP032110100
Fund 0306
\$26,320

Grantor Non-Reimbursable Cash Fund, Structure
SP032110100
Fund 0306
\$0

ST320062201
City Share Non-Assessable Fund, Paving
ST320110000
Fund 0333
\$2,820

Grantor Reimbursable Fund, Paving
SP032110100
Fund 0306
\$11,280

Grantor Non-Reimbursable Cash Fund, Paving
SP032110100
Fund 0306
\$0

Total Estimated Additional Engineering: \$47,000
Previously Authorized for Preliminary Engineering: \$134,740
Current Estimated Cost of Total Project including this resolution: \$1,256,340
Original Estimated Cost of Total Project (Res. No. 060882): \$478,000

West North Avenue over Canadian Pacific Rail Road
State Project I.D. 2135-03-00

BR320062401
City Share Non-Assessable Fund, Structure
BR300110000
Fund 0303
\$50,680

Grantor Reimbursable Fund, Structure
SP032110100
Fund 0306
\$202,720

Grantor Non-Reimbursable Cash Fund, Structure
SP032110100
Fund 0306
\$0

ST320062401
City Share Non-Assessable Fund, Paving
ST320110000
Fund 0333
\$21,720

Grantor Reimbursable Fund, Paving
SP032110100
Fund 0306
\$86,880

Grantor Non-Reimbursable Cash Fund, Paving
SP032110100
Fund 0306
\$0

Total Estimated Additional Engineering: \$362,000
Previously Authorized for Preliminary Engineering: \$182,000
Current Estimated Cost of Total Project including this resolution: \$4,252,000
Original Estimated Cost of Total Project (Res. No. 060882): \$1,360,000

Totals
City Share Non-Assessable Fund (Structure) BR300110000-Fund 0303: \$57,260
City Share Non-Assessable Fund (Paving) ST320110000-Fund 0333: \$24,540
Grantor Reimbursable Fund (Structure) SP032110100-Fund 0306: \$229,040
Grantor Reimbursable Fund (Paving) SP032110100-Fund 0306: \$98,160
Grantor Non-Reimbursable Cash Fund (Structure) SP032110100-Fund 0306: \$0
Grantor Non-Reimbursable Cash Fund (Paving) SP032110100-Fund 0306: \$0

Estimated Total \$409,000

; and, be it

Further Resolved, That the City Engineer is hereby authorized and directed to approve and make periodic payments to the WISDOT upon receipt of invoices for the City's share of the costs of the project.

Requestor
Department of Public Works
Drafter
Infrastructure Services Division
CSL:ns
September 28, 2011

**REVISED
STATE/MUNICIPAL AGREEMENT
FOR A
HIGHWAY IMPROVEMENT PROJECT**

This agreement replaces the previous agreement dated May 2, 2006

Date: September 22, 2011
ID: 2135-03-00
Highway: West North Avenue (LOC BRIDGE)
Bridge over Canadian Pacific Rail Road
Bridge ID: P-40-0810
County: Milwaukee

The signatory city, village, town or county, hereinafter called the Municipality, through its undersigned duly authorized officers or officials, hereby requests the State of Wisconsin Department of Transportation, hereinafter called the State, to initiate and effect the highway or street improvement hereinafter described.

The authority for the Municipality to enter into this agreement with the State is provided by Section 86.25(1), (2) and (3) of the Statutes.

In accordance with the State's sunset policy for Local Bridge Program projects, the subject 2007 - 2009 Cycle Local Bridge Program improvement design must be complete within six years from the start of State Fiscal Year 2008, or by June 30, 2013. **Extensions may be available upon approval of a written request by or on behalf of the Municipality to WisDOT. The written request shall explain the reasons for project implementation delay and revised timeline for project completion.**

NEEDS AND ESTIMATE SUMMARY:

Existing Facility - Describe and give reason for request:

*Deteriorated Structure.
SR=33*

Proposed Improvement - Nature of work:

Bridge Replacement

The apportionment of costs for work necessary to finish the project (including non-participating work and work which will be undertaken by the Municipality) is as follows:

Estimated Cost					
	Total Estimated Cost	Federal Funds **	%	Municipal Funds	%
Design	\$537,000.00	\$429,600.00	80%	\$107,400.00	20%
State Design Review	\$35,000.00	\$28,000.00	80%	\$7,000.00	20%
Construction **	\$3,680,000.00	\$0.00	0%	\$3,680,000.00	100%
Total Cost Distribution	\$4,252,000.00	\$457,600.00		\$3,794,400.00	

**** 100% local cost share. No federal funds have been approved for construction.**

Federal funds for design/design review are limited to \$457,600.

This request is subject to the terms and conditions that follow (pages 3 - 5) and is made by the undersigned under proper authority to make such request for the designated Municipality and upon acceptance by the State shall constitute agreement between the Municipality and the State.

Signed for and on behalf of City of Milwaukee:	
Signature	Title
Name (Written Clearly)	Date
Signature (Comptroller)	Title
Name (Written Clearly)	Date

-Terms and Conditions Begin on the Next Page-

TERMS AND CONDITIONS

1. The initiation and accomplishment of the improvement will be subject to the applicable Federal and State regulations.
2. The Municipality will pay to the State all costs incurred by the State in connection with the improvement which exceed Federal/State financing commitments or are ineligible for Federal/State financing. The Municipality's concurrence is required before award of the contracts for the improvement when the contracts exceed 5% of the estimate. The Municipality must also concur with contract modifications to contracts awarded by the State over \$25,000.00, unless the authorized representative of the State determines that a prompt change order is needed to preserve the work in progress, prevent extraordinary damage, avoid unreasonable and costly delay, or other extraordinary condition of necessity, safety or emergency exists. The authorized representative of the State shall provide notice of the prompt change order to the Municipality or its authorized representative as soon as practicable thereafter and the Municipality shall pay its share of the prompt change order cost.
3. Funding of each project phase (preliminary engineering, real estate, construction, and other) is subject to inclusion in an approved program. Federal aid and/or State transportation fund financing will be limited to participation in the costs of the following items as specified in the estimate summary:
 - a. Preliminary engineering and State review services.
 - b. The grading, base, pavement, and curb and gutter.
 - c. Catch basins and inlets for surface water drainage of the improvement, with connections to the storm sewer main.
 - d. Construction engineering incidental to inspection and supervision of actual construction work.
 - e. Signing and pavement marking, including detour routes.
 - f. Storm sewer mains necessary for the surface water drainage.
 - g. Construction or replacement of sidewalks and surfacing of private driveways.
 - h. New installations or alteration of street lighting and traffic signals or devices.
 - i. Real Estate for the improvement, if required
4. Work necessary to complete the improvement to be financed entirely by the Municipality or other utility or facility owner or other responsible party (not including the State) includes the following items:
 - a. New installations of or alteration of sanitary sewers and connections, water, gas, electric, telephone, telegraph, fire or police alarm facilities, parking meters, and similar utilities.

- b. Damages to abutting property due to change in street or sidewalk widths, grades or drainage.
 - c. Conditioning, if required, and maintenance of detour routes.
 - d. Repair of damages to roads or streets caused by reason of their use in hauling materials incidental to the improvement.
 - e. Bridge width in excess of standards.
5. As the work progresses, the Municipality will be billed for and agrees to pay for work completed which is not chargeable to Federal/State funds. Upon completion of the project, a final audit will be made to determine the final division of costs and the Municipality agrees to pay any required reimbursement to the State.

The Municipality will pay to the State all costs incurred by the State in connection with the improvement that exceed Federal/State financing commitments or are ineligible for Federal/State financing. In order to guarantee the Municipality's foregoing agreements to pay the State, the Municipality, through its above duly authorized officers or officials, agrees and authorizes the State to set off and withhold the required reimbursement amount as determined by the State from any moneys otherwise due and payable by the State to the Municipality.

6. If the Municipality should withdraw from the project, it will reimburse the State for any costs incurred by the State on behalf of the project.
7. The work will be administered by the State and may include items not eligible for Federal/State participation.
8. The Municipality will at its own cost and expense:
- a. Maintain all portions of the project that lie within its jurisdiction for such maintenance through statutory requirements, in a manner satisfactory to the State and will make ample provision for such maintenance each year.
 - b. Prohibit angle parking.
 - c. Regulate or prohibit all parking at locations where and when the pavement area usually occupied by parked vehicles will be needed to carry active traffic in the street.
 - d. Regulate and prohibit parking at all times in the vicinity of the proposed improvements during their construction.
 - e. Assume general responsibility for all public information and public relations for the project and to make fitting announcements to the press and such outlets as would generally alert the affected property owners and the community of the nature, extent, and timing of the project and arrangements for handling traffic within and around the project.

- f. Provide complete plans, specifications, relocation order, real estate plat, and estimates, *except as provided in Paragraph 3 above*.
 - g. Use the WisDOT Utility Accommodation Policy unless it adopts a policy which has equal or more restrictive controls.
9. Basis for local participation: 80% Federal; balance by Municipality, as specified in Surface Transportation Program—Local Bridge Program regulations. Federal funds for design/design review are limited to \$457,600.

(End of Document)

**REVISED
STATE/MUNICIPAL AGREEMENT
FOR A
HIGHWAY IMPROVEMENT PROJECT
THIS AGREEMENT REPLACES PREVIOUS AGREEMENTS DATED May 2, 2006 AND April 3, 2009**

Date: September 22, 2011
ID 2984-39-02/72
N. 45th Street Bridge (Bridge #P-40-0501)
Over Menomonee River
Milwaukee County

The signatory city, village, town or county, hereinafter called the Municipality, through its undersigned duly authorized officers or officials, hereby requests the State of Wisconsin Department of Transportation, hereinafter called the State, to initiate and effect the highway or street improvement hereinafter described.

The authority for the Municipality to enter into this agreement with the State is provided by Section 86.25(1), (2) and (3) of the Statutes.

In accordance with the State's sunset policy for Local Bridge Program projects, the subject 2005 – 2007 Cycle Local Bridge improvement must be constructed and in final acceptance within six years from the start of State Fiscal Year 2008, or by June 30, 2013. Extensions may be available upon approval of a written request by or on behalf of the Municipality to WisDOT. The written request shall explain the reasons for project implementation delay and revised timeline for project completion.

NEEDS AND ESTIMATE SUMMARY:

Existing Facility - Describe and give reason for request:

Deteriorated Structure. SR = 60.7

Proposed Improvement - Nature of work:

Bridge Rehabilitation

The apportionment of costs for work necessary to finish the project (including non-participating work and work which will be undertaken by the Municipality) is as follows:

Estimated Cost					
	Total Estimated Cost	Federal Funds	%	Municipal Funds	%
Design	\$158,000	\$126,400	80%	\$31,600	20%
Design Review	\$22,000	\$17,600	80%	\$4,400	20%
Construction	\$1,000,000	\$800,000	80%	\$200,000	20%
Non-participating Construction	\$5,000	\$0	0%	\$5,000	100%
Total Cost Distribution	\$1,185,000	\$944,000		\$241,000	

*** Federal participation on the design/design review of this project is limited to \$144,000. Federal participation on the construction of this project is limited to \$800,000. Costs in excess of this amount will be the responsibility of the Municipality.**

This request is subject to the terms and conditions that follow (pages 3 through 4) and is made by the undersigned under proper authority to make such request for the designated Municipality and upon acceptance by the State shall constitute agreement between the Municipality and the State.

Signed for and on behalf of City of Milwaukee:	
Signature	Title
Name (Written Clearly)	Date

Signed for and on behalf of City of Milwaukee:	
Signature	Title
Name (Written Clearly)	Date

-Terms and Conditions Begin on the Next Page-

TERMS AND CONDITIONS

1. The initiation and accomplishment of the improvement will be subject to the applicable Federal and State regulations.
2. The Municipality will pay to the State all costs incurred by the State in connection with the improvement which exceeds Federal/State financing commitments or are ineligible for Federal/State financing. The Municipality's concurrence is required before award of the contract for the improvement when the contracts exceed 5% of the estimate. The Municipality must also concur with contract modifications to contracts awarded by the State over \$25,000.00, unless the authorized representative of the State determines that a prompt change order is needed to preserve the work in progress, prevent extraordinary damage avoid unreasonable & costly delay, or other extraordinary condition of necessity, safety or emergency exists. The authorized representative of the State shall provide notice of the prompt change order to the Municipality or its authorized representative as soon as practicable thereafter and the Municipality shall pay its share of the prompt change order cost.
3. Funding of each project phase (Construction) is subject to inclusion in an approved program. Federal aid and/or State transportation fund financing will be limited to participation in the costs of the following items as specified in the estimate summary:
 - a. The grading, base, pavement, and curb and gutter.
 - b. Catch basins and inlets for surface water drainage of the improvement, with connections to the storm sewer main.
 - c. Construction engineering incidental to inspection and supervision of actual construction work.
 - d. Signing and pavement marking, including detour routes.
 - e. Storm sewer mains necessary for the surface water drainage.
 - f. Construction or replacement of sidewalks and surfacing of private driveways.
 - g. New installations or alteration of street lighting and traffic signals or devices.
4. Work necessary to complete the improvement to be financed entirely by the Municipality or other utility or facility owner or other responsible party (not including the State) includes the following items:
 - a. New installations of or alteration of sanitary sewers and connections, water, gas, electric, telephone, telegraph, fire or police alarm facilities, parking meters, and similar utilities.
 - b. Damages to abutting property due to change in street or sidewalk widths, grades or drainage.
 - c. Conditioning, if required, and maintenance of detour routes.
 - d. Repair of damages to roads or streets caused by reason of their use in hauling materials incidental to the improvement.
 - e. Bridge width in excess of standards.
 - f. Real Estate for the improvement, if required

5. As the work progresses, the Municipality will be billed for and agrees to pay for work completed which is not chargeable to Federal/State funds. Upon completion of the project, a final audit will be made to determine the final division of costs and the Municipality agrees to pay any required reimbursement to the State.

The Municipality will pay to the State all costs incurred by the State in connection with the improvement that exceed federal financing commitments or are ineligible for federal financing. In order to guarantee the Municipality's foregoing agreements to pay the State, the Municipality, through its above duly authorized officers or officials, agrees and authorizes the State to set off and withhold the required reimbursement amount as determined by the State from any moneys otherwise due and payable by the State to the Municipality.

6. If the Municipality should withdraw from the project, it will reimburse the State for any costs incurred by the State on behalf of the project.
7. The work will be administered by the State and may include items not eligible for Federal/State participation.
8. The Municipality will at its own cost and expense:
 - a. Maintain all portions of the project that lie within its jurisdiction for such maintenance through statutory requirements, in a manner satisfactory to the State and will make ample provision for such maintenance each year.
 - b. Prohibit angle parking.
 - c. Regulate or prohibit all parking at locations where and when the pavement area usually occupied by parked vehicles will be needed to carry active traffic in the street.
 - d. Regulate and prohibit parking at all times in the vicinity of the proposed improvements during their construction.
 - e. Assume general responsibility for all public information and public relations for the project and to make fitting announcements to the press and such outlets as would generally alert the affected property owners and the community of the nature, extent, and timing of the project and arrangements for handling traffic within and around the project.
 - f. Provide complete plans, specifications, relocation order, real estate plat, and estimates, *except as provided in Paragraph 3 above.*
 - g. Use the WisDOT Utility Accommodation Policy unless it adopts a policy which has equal or more restrictive controls.
9. Basis for local participation: 80% Federal; balance by Municipality, as specified in Surface Transportation Program—Local Bridge Program regulations. **Federal participation on the design/design review of this project is limited to \$144,000. Federal Participation for the construction of this project is limited to \$800,000.**

(End of Document)

**Capital Grant Resolution Certification from the
Comptroller's Office**

The Comptroller's Office has reviewed Common Council Resolution File No. 110838 for the revised project agreements on North 45th Street Bridge over Menomonee River and West North Avenue Bridge over Canadian Pacific Rail Road (Grantor Share \$327,200; City Share \$81,800) and approved the resolution as to:

- ☒ Sufficiency of funds
- ☒ Funding sources (per estimated **grant funding agreement**)
- ☒ Sufficiency of reporting for purposes of internal auditing

The following deficiencies were noted:

The resolution should be corrected and returned to the Comptroller's Office for review.

Signature: C. Wisniewski

Date: 10/4/11

PW FILE NUMBER: 110838

[illegible]



Legislation Details (With Text)

File #: 110841 **Version:** 1
Type: Resolution **Status:** In Committee
File created: 10/11/2011 **In control:** FINANCE & PERSONNEL COMMITTEE
On agenda: **Final action:**
Effective date:

Title: Substitute resolution authorizing the City Comptroller to transfer funds to various State or Federal Aid project subaccounts for the estimated remaining Wisconsin Department of Transportation and City of Milwaukee's preliminary engineering and construction costs being \$140,000 with the City's share being \$140,000 and the grantor's share being \$0.00.

Sponsors: THE CHAIR

Indexes: STREET IMPROVEMENTS, WISCONSIN DEPARTMENT OF TRANSPORTATION

Attachments: Comptroller's Certificate, Hearing Notice List - 10-26-11 mtg

Date	Ver.	Action By	Action	Result	Tally
10/11/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/17/2011	0	PUBLIC WORKS COMMITTEE	HEARING NOTICES SENT		
10/17/2011	0	PUBLIC WORKS COMMITTEE	HEARING NOTICES SENT		
10/19/2011	1	PUBLIC WORKS COMMITTEE	RECOMMENDED FOR ADOPTION AND ASSIGNED TO	Pass	5:0
10/19/2011	0	PUBLIC WORKS COMMITTEE	SUBSTITUTED	Pass	5:0
10/19/2011	1	CITY CLERK	DRAFT SUBMITTED		
10/25/2011	1	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	1	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number

110841

Version

Substitute 1

Reference

71689

Sponsor

THE CHAIR

Title

Substitute resolution authorizing the City Comptroller to transfer funds to various State or Federal Aid project subaccounts for the estimated remaining Wisconsin Department of Transportation and City of Milwaukee's preliminary engineering and construction costs being \$140,000 with the City's share being \$140,000 and the grantor's share being \$0.00.

Analysis

This resolution authorizes the City Comptroller to transfer funds to the previously established subaccounts for the remaining Wisconsin Department of Transportation and City of Milwaukee's preliminary engineering and construction costs being \$140,000. The City of Milwaukee's share is \$140,000 and the grantor's share is \$0.00

Body

Whereas, The Common Council has previously adopted resolutions authorizing the City Comptroller to transfer funds to various State or Federal Aid project subaccounts for preliminary engineering and Construction; and

Whereas, Additional funds are, therefore, necessary for the estimated remaining Wisconsin Department of Transportation and City of Milwaukee preliminary engineering and construction costs for these projects; now, therefore, be it

Resolved, By the Common Council of the City of Milwaukee that the City Comptroller is directed to transfer funds to the project grant chartfields as follows:

Project Grant Value

State I.D. 2555-06-70
ST320081210
W. Burleigh St-W. Roosevelt & N.60th St Intersection

City Share Non-Assessable Fund, Paving
ST320110000
Fund 0333
\$20,000

Grantor Share
\$0

Previously authorized for Construction: \$128,826.50
Current estimated cost of total project including this resolution: \$521,926.50
Original estimated cost of total project (Resolution 071689): \$276,076.00

State I.D. 1009-00-17
ST320071101
Hopkins Street Elementary School Improvement .

City Share Non-Assessable Fund, Paving
ST320110000
Fund 0333
\$10,000

Grantor Share
\$0

Previously authorized for Engineering: \$22,000
Current estimated cost of total project including this resolution: \$137,500.00
Original estimated cost of total project (Resolution 070954): \$62,500.00

State I.D. 2275-04-00
ST320071401
N 20th Street-West Hopkins St to W.Capitol Drive.

City Share Non-Assessable Fund, Paving
ST320110000
Fund 0333
\$20,000

Grantor Share
\$0

Previously authorized for Engineering: \$183,485.85
Current estimated cost of total project including this resolution: \$2,300,485.85
Original estimated cost of total project (Resolution 071072): \$2,219,000.00

State I.D. 2219-00-70
ST520042210
S. 1st -E. Lincoln Ave to S. Kinnnickinnic Ave.

City Share Non-Assessable Fund, Paving
ST320110000
Fund 0333
\$50,000

Grantor Share
\$0

Previously authorized for Construction: \$60,000
Current estimated cost of total project including this resolution:
\$2,996,180.00
Original estimated cost of total project (Resolution 040400): \$1,675,955.00

State I.D. 1300-06-01
ST320061401
S. and E. Bay St. - Lincoln Memorial Dr to Erie (Downtown to Bay view bikeway-Phase 1 &2)

City Share Non-Assessable Fund Paving
ST320110000
Fund 0333
\$30,000

Previously authorized for Eng for Phase 1&2: \$606,700.00
Current estimated cost of total project including this resolution: \$2,428,851.00
Original estimated cost of total project (Res 060275): \$2,428,851.00

State I.D. 2010-10-00
ST320070101
W. Appleton-W. Capital (STH 190) to USH 45

City Share Non-Assessable Fund Paving
ST320110000
Fund 0333
\$10,000

Previously authorized for Engineering: \$15,000.00
Current estimated cost of total project including this resolution: \$10,625,000.00
Original estimated cost of total project (Res 061218): \$10,600,000.00

Requestor
Department of Public Works
Drafter

Infrastructure Services Division

MK:amh

Oct 06, 2011

(6) Reso Vari Fed Sta Aid Pjec Saccou 100511.rtf

Capital Grant Resolution Certification from the
Comptroller's Office

The Comptroller's Office has reviewed Common Council Resolution File No. 110841 for additional construction costs associated with changes in costs during construction stage (City Share \$20,000; Grantor Share \$0) and approved the resolution as to:

- ☒ Sufficiency of funds
- ☒ Funding sources (per estimated **grant funding agreement**)
- ☒ Sufficiency of reporting for purposes of internal auditing

The following deficiencies were noted:

The resolution should be corrected and returned to the Comptroller's Office for review.

Signature: C. W. Wiedersheim

Date: 10-5-11

2555-06-70 ST320081210 W Burleigh St / W Roosevelt & N 60th St Intersection (City Share \$20,000)

PW FILE NUMBER: 110841

[illegible]



Legislation Details (With Text)

File #:	110747	Version:	1
Type:	Charter Ordinance	Status:	In Committee
File created:	10/11/2011	In control:	FINANCE & PERSONNEL COMMITTEE
On agenda:	Final action:		
Effective date:			
Title:	A substitute charter ordinance relating to retirement benefits for employees represented by the Milwaukee Police Supervisors' Organization and Milwaukee Professional Firefighters' Association, Local #215.		
Sponsors:	THE CHAIR		
Indexes:	FIRE DEPARTMENT, LABOR CONTRACTS, POLICE DEPARTMENT, RETIREMENT BENEFITS		
Attachments:	Cover Letter, Fiscal Impact Statement, Hearing Notice List		

Date	Ver.	Action By	Action	Result	Tally
10/11/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number

110747

Version

SUBSTITUTE 1

Reference

041649

090183

Sponsor

THE CHAIR

Title

A substitute charter ordinance relating to retirement benefits for employees represented by the Milwaukee Police Supervisors' Organization and Milwaukee Professional Firefighters' Association, Local #215.

Sections

36-02-12-a am

36-02-12-b am

Analysis

This charter ordinance establishes that employees represented by the Milwaukee Police Supervisors' Organization and retiring on a service retirement allowance on or after January 1, 2011, shall not receive variable shift assignment pay and certification pay. Further, this charter ordinance establishes that firefighters represented by Local 215 IAFF and retiring on a service retirement allowance on or after January 1, 2011, shall not receive training standards pay.

Body

The Mayor and Common Council of the City of Milwaukee do ordain as follows:

Part 1. Section 36-2-12-a of the city charter is amended to read:

36-02. Definitions.

12. EARNABLE COMPENSATION.

a. The annual regular base salary that would be payable to a member if he or she worked the full normal working time for his or her position, provided, however, that where service is credited during periods of absences as provided in s. 36-04-1-b and e, the employee shall be considered to have an earnable compensation during such periods of absence equivalent to the earnable compensation the employee would have had if the employee had continued to work the full working time for the employee's position during the period of absence. In cases where compensation includes maintenance, the board shall fix the value of that part of the compensation not payable in money. Policemen, excluding sergeant of police, detective lieutenant and ranks above same, retiring on a service retirement allowance on or after January 1, 1983, shall have longevity in rank pay, if any, payable at the close of the calendar year immediately preceding their retirement included as earnable compensation, except that policemen excluding sergeant of police, detective lieutenant and ranks above same, retiring on a service retirement allowance on or after January 1, 1998, who would have accrued 20 years of creditable service if they had remained in active service to the end of the calendar year in which they retire, shall have longevity in rank pay, if any, that would have been payable at the close of the calendar year of their retirement had they not retired included as earnable compensation, policemen of the rank of police sergeant and above (excluding the rank of commander and above) retiring on a service retirement allowance prior to January 1, 2000 shall have variable shift assignment pay, if any, to a maximum of \$1,410 per calendar year for police sergeant, administrative police sergeant, police sergeant (garage) and police identification supervisor, and \$1,400 per calendar year for all others payable for the calendar year immediately preceding the calendar year in which they retire included as earnable compensation except that such other policemen who retire during calendar year 1985 shall have variable shift assignment pay, if any, payable for calendar year 1985 included as earnable compensation. Policemen of the rank of police sergeant and above (excluding policemen of the rank of police commander and above) retiring on a service retirement on or after January 1, 2000 shall have variable shift assignment pay, if any, to a maximum of \$1,060 per calendar year for the ranks of police sergeant, police sergeant (garage) and police identification supervisor and \$1,050 per calendar year for all others payable for the calendar year immediately preceding the calendar year in which they retire included as earnable compensation. Policemen of the rank of police sergeant or administrative police sergeant retiring on a service retirement on or after January 1, 2003 shall have variable shift assignment pay, if any, to maximum of \$1,360 per calendar year included in the calculation of final average salary for computing an employee's normal service retirement allowance. Policemen of the rank of police commander or above retiring on a service retirement allowance prior to January 1, 2001 shall have variable shift assignment pay, if any, to a maximum of \$1,400 per calendar year for the year immediately prior to the calendar year in which they retire included as earnable compensation and policemen of the rank of police commander or above retiring on a service retirement on or after January 1, 2001 shall have variable shift assignment pay, if any, to a maximum of \$1,500 per calendar year for the year immediately prior to the calendar year in which they retire included as earnable compensation. >> Policemen represented by the MPSO and retiring on a service retirement allowance on or after January 1, 2011, shall not receive variable shift assignment pay. << Firemen retiring on a service retirement allowance on or after January 1, 1992, shall have up to a maximum of \$550 in special emergency medical technician pay, if any, payable at the close of the calendar year immediately preceding their retirement included as earnable compensation. Firemen retiring on a service retirement allowance on or after January 1, 2000, shall have longevity pay, payable at the close of the calendar year immediately preceding their retirement included as earnable compensation. Firemen represented by Local 215 IAFF and retiring on a service retirement allowance in 2004 shall have training standards pay, if any, up to a maximum of

\$400, that would have been payable at the close of calendar year 2004 had they not retired, included as earnable compensation. Firemen represented by Local 215 IAFF and retiring on a service retirement allowance on or after January 1, 2005 shall have training standards pay, if any, up to a maximum of \$400 received for the calendar year immediately preceding the calendar year of their retirement, included as earnable compensation. >>Firemen represented by Local 215 IAFF and retiring on a service retirement allowance on or after January 1, 2011, shall not receive training standards pay.<< Firemen represented by Local 215 IAFF and retiring on a service retirement allowance on or after January 1, 2006 shall have EMT II premium pay, if any, up to a maximum of \$1000 received for the calendar year immediately preceding the calendar year of their retirement, included as earnable compensation. Members who are employees of the school board represented by Local 950, Operating Engineers International Union, who retire on or after March 4, 1990, shall have site differential pay if received on or after March 4, 1990, included as earnable compensation. Earnable compensation for any one year of creditable service shall not exceed \$150,000. If for any calendar year after 1994 the excess of \$150,000 increased by the cost of living adjustment prescribed by section 415(d), Internal Revenue Code, on a base period of the last quarter of calendar year 1993 exceeds \$150,000 by an amount in excess of \$10,000, the maximum for any subsequent calendar year shall be increased by the amount of the excess rounded to the next lowest multiple of \$10,000. Earnable compensation for any calendar year commencing on or after January 1, 2002 shall not exceed \$200,000, as adjusted for cost of living increases in accordance with section 401(a)(17)(B) of the Internal Revenue Code. Earnable compensation means compensation during the calendar year or such other consecutive 12- month period over which earnable compensation is otherwise determined by the retirement system (the determination period). The cost of living adjustment in effect for a calendar year applies to any determination period beginning in such calendar year.

Part 2. Section 36-02-12-b of the city charter is amended to read:

b. In computing the service retirement allowance payable to a policeman retiring on a service retirement allowance under s. 36-05-1-e, a policeman retiring during calendar year 1995 shall have the certification pay benefit which would have been payable at the close of calendar year 1995, had such policeman not retired included in earnable compensation. In computing the retirement allowance payable to a policeman retiring on a service retirement allowance under s. 36-05-1-e in calendar years 1996 through 2003, the certification pay benefit payable to such policemen at the close of the calendar year immediately prior to the calendar year of retirement shall be included in earnable compensation. In computing the retirement allowance payable to a policeman who is entitled to certification pay at the time of retirement, other than a sergeant, lieutenant and ranks above, retiring on a service retirement allowance under s. 36-05-1-e in calendar year 2004, such policeman shall have the sum of \$1,000 of certification pay included as earnable compensation. In computing the retirement allowance payable to a policeman who is entitled to certification pay at the time of retirement, other than a sergeant, lieutenant and ranks above, retiring on a service retirement allowance under s. 36-05-1-e on or after January 1, 2005, certification pay in an amount not to exceed the first \$1,000 of certification pay payable to such policeman at the close of the calendar year immediately prior to the calendar year of retirement shall be included in earnable compensation. >>Policemen represented by the MPSO and retiring on a service retirement allowance on or after January 1, 2011, shall not receive certification pay.<< A policeman who has served in the position of police liaison officer, who retires on a service retirement allowance after January 1, 2004 shall have the \$150 biweekly payments payable in and after pay period 1, 2004, which are payable in lieu of certain other payments and allowances received by policemen, included as earnable compensation in an amount not to exceed a maximum of 12 months of such biweekly payments. This par. shall not apply to policemen who receive a retirement allowance under s. 36-05-6-b-2 and 3, 6-c, 6-d or 6-e.

Part 3. This is a charter ordinance and shall take effect 60 days after its passage and publication, unless within such 60 days a referendum petition is filed as provided in s. 66.0101(5), Wis. Stats., in which event this ordinance shall not take effect until submitted to a referendum and approved by a majority of the electors voting thereon.

LRB

APPROVED AS TO FORM

Legislative Reference Bureau

Date: _____

Attorney

IT IS OUR OPINION THAT THE ORDINANCE
IS LEGAL AND ENFORCEABLE

Office of the City Attorney

Date: _____

Requestor

DER-Labor Relations Division

Drafter

LRB135013-2

Mary E. Turk

10/24/2011

..Number

110747

..Version

PROPOSED SUBSTITUTE A

..Reference

041649

090183

..Sponsor

THE CHAIR

..Title

A substitute charter ordinance relating to retirement benefits for employees represented by the Milwaukee Police Supervisors' Organization and Milwaukee Professional Firefighters' Association, Local #215.

..Sections

36-02-12-a am

36-02-12-b am

..Analysis

This charter ordinance establishes that, for employees represented by the Milwaukee Police Supervisors' Organization and retiring on a service retirement allowance on or after January 1, 2011, variable shift assignment pay and certification pay shall cease to be included as earnable compensation. Further, this charter ordinance establishes that, for firefighters represented by Local 215 IAFF and retiring on a service retirement allowance on or after January 1, 2011, training standards pay shall cease to be included as earnable compensation.

..Body

The Mayor and Common Council of the City of Milwaukee do ordain as follows:

Part 1. Section 36-2-12-a of the city charter is amended to read:

36-02. Definitions.

12. EARNABLE COMPENSATION.

a. The annual regular base salary that would be payable to a member if he or she worked the full normal working time for his or her position, provided, however, that where service is credited during periods of absences as provided in s. 36-04-1-b and e, the employee shall be considered to have an earnable compensation during such periods of absence equivalent to the earnable compensation the employee would have had if the employee had continued to work the full working time for the employee's position during the period of absence. In cases where compensation includes maintenance, the board shall fix the value of that part of the compensation not payable in money. Policemen, excluding sergeant of police, detective lieutenant and ranks above same, retiring on a service retirement allowance on or after January 1, 1983, shall have longevity in rank pay, if any, payable at the close of the calendar year immediately preceding their retirement included as earnable compensation, except that policemen excluding sergeant of police, detective lieutenant and ranks above same, retiring on a service retirement allowance on or after January 1, 1998, who would have accrued 20 years of creditable service if they had remained in active service to

the end of the calendar year in which they retire, shall have longevity in rank pay, if any, that would have been payable at the close of the calendar year of their retirement had they not retired included as earnable compensation, policemen of the rank of police sergeant and above (excluding the rank of commander and above) retiring on a service retirement allowance prior to January 1, 2000 shall have variable shift assignment pay, if any, to a maximum of \$1,410 per calendar year for police sergeant, administrative police sergeant, police sergeant (garage) and police identification supervisor, and \$1,400 per calendar year for all others payable for the calendar year immediately preceding the calendar year in which they retire included as earnable compensation except that such other policemen who retire during calendar year 1985 shall have variable shift assignment pay, if any, payable for calendar year 1985 included as earnable compensation.

Policemen of the rank of police sergeant and above (excluding policemen of the rank of police commander and above) retiring on a service retirement on or after January 1, 2000 shall have variable shift assignment pay, if any, to a maximum of \$1,060 per calendar year for the ranks of police sergeant, police sergeant (garage) and police identification supervisor and \$1,050 per calendar year for all others payable for the calendar year immediately preceding the calendar year in which they retire included as earnable compensation. Policemen of the rank of police sergeant or administrative police sergeant retiring on a service retirement on or after January 1, 2003 shall have variable shift assignment pay, if any, to maximum of \$1,360 per calendar year included in the calculation of final average salary for computing an employee's normal service retirement allowance.

Policemen of the rank of police commander or above retiring on a service retirement allowance prior to January 1, 2001 shall have variable shift assignment pay, if any, to a maximum of \$1,400 per calendar year for the year immediately prior to the calendar year in which they retire included as earnable compensation and policemen of the rank of police commander or above retiring on a service retirement on or after January 1, 2001 shall have variable shift assignment pay, if any, to a maximum of \$1,500 per calendar year for the year immediately prior to the calendar year in which they retire included as earnable compensation. >>Variable shift assignment pay for policemen represented by the MPSO and retiring on a service retirement allowance on or after January 1, 2011 shall cease to be included as earnable compensation.<< Firemen retiring on a service retirement allowance on or after January 1, 1992, shall have up to a maximum of \$550 in special emergency medical technician pay, if any, payable at the close of the calendar year immediately preceding their retirement included as earnable compensation. Firemen retiring on a service retirement allowance on or after January 1, 2000, shall have longevity pay, payable at the close of the calendar year immediately preceding their retirement included as earnable compensation. Firemen represented by Local 215 IAFF and retiring on a service retirement allowance in 2004 shall have training standards pay, if any, up to a maximum of \$400, that would have been payable at the close of calendar year 2004 had they not retired, included as earnable compensation. Firemen represented by Local 215 IAFF and retiring on a service retirement allowance on or after January 1, 2005 shall have training standards pay, if any, up to a

maximum of \$400 received for the calendar year immediately preceding the calendar year of their retirement, included as earnable compensation. >>
Training standards pay for firemen represented by Local 215 IAFF and retiring on a service retirement allowance on or after January 1, 2011 shall cease to be included as earnable compensation.<< << Firemen represented by Local 215 IAFF and retiring on a service retirement allowance on or after January 1, 2006 shall have EMT II premium pay, if any, up to a maximum of \$1000 received for the calendar year immediately preceding the calendar year of their retirement, included as earnable compensation. Members who are employees of the school board represented by Local 950, Operating Engineers International Union, who retire on or after March 4, 1990, shall have site differential pay if received on or after March 4, 1990, included as earnable compensation. Earnable compensation for any one year of creditable service shall not exceed \$150,000. If for any calendar year after 1994 the excess of \$150,000 increased by the cost of living adjustment prescribed by section 415(d), Internal Revenue Code, on a base period of the last quarter of calendar year 1993 exceeds \$150,000 by an amount in excess of \$10,000, the maximum for any subsequent calendar year shall be increased by the amount of the excess rounded to the next lowest multiple of \$10,000. Earnable compensation for any calendar year commencing on or after January 1, 2002 shall not exceed \$200,000, as adjusted for cost of living increases in accordance with section 401(a)(17)(B) of the Internal Revenue Code. Earnable compensation means compensation during the calendar year or such other consecutive 12- month period over which earnable compensation is otherwise determined by the retirement system (the determination period). The cost of living adjustment in effect for a calendar year applies to any determination period beginning in such calendar year.

Part 2. Section 36-02-12-b of the city charter is amended to read:

b. In computing the service retirement allowance payable to a policeman retiring on a service retirement allowance under s. 36-05-1-e, a policeman retiring during calendar year 1995 shall have the certification pay benefit which would have been payable at the close of calendar year 1995, had such policeman not retired included in earnable compensation. In computing the retirement allowance payable to a policeman retiring on a service retirement allowance under s. 36-05-1-e in calendar years 1996 through 2003, the certification pay benefit payable to such policemen at the close of the calendar year immediately prior to the calendar year of retirement shall be included in earnable compensation. In computing the retirement allowance payable to a policeman who is entitled to certification pay at the time of retirement, other than a sergeant, lieutenant and ranks above, retiring on a service retirement allowance under s. 36-05-1-e in calendar year 2004, such policeman shall have the sum of \$1,000 of certification pay included as earnable compensation. In computing the retirement allowance payable to a policeman who is entitled to certification pay at the time of retirement, other than a sergeant, lieutenant and ranks above, retiring on a service retirement allowance under s. 36-05-1-e on or after January 1, 2005, certification pay in an amount not to exceed the first \$1,000 of certification pay

payable to such policeman at the close of the calendar year immediately prior to the calendar year of retirement shall be included in earnable compensation. >> Certification pay for policemen represented by the MPSO and retiring on a service retirement allowance on or after January 1, 2011 shall cease to be included as earnable compensation.<< << A policeman who has served in the position of police liaison officer, who retires on a service retirement allowance after January 1, 2004 shall have the \$150 biweekly payments payable in and after pay period 1, 2004, which are payable in lieu of certain other payments and allowances received by policemen, included as earnable compensation in an amount not to exceed a maximum of 12 months of such biweekly payments. This par. shall not apply to policemen who receive a retirement allowance under s. 36-05-6-b-2 and 3, 6-c, 6-d or 6-e.

Part 3. This is a charter ordinance and shall take effect 60 days after its passage and publication, unless within such 60 days a referendum petition is filed as provided in s. 66.0101(5), Wis. Stats., in which event this ordinance shall not take effect until submitted to a referendum and approved by a majority of the electors voting thereon.

..LRB

APPROVED AS TO FORM

Legislative Reference Bureau

Date: _____

..Attorney

IT IS OUR OPINION THAT THE ORDINANCE
IS LEGAL AND ENFORCEABLE

Office of the City Attorney

Date: _____

..Requestor

DER-Labor Relations Division

..Drafter

LRB135013-3

Mary E. Turk

10/25/2011



Department of Employee Relations

Tom Barrett
Mayor

Maria Monteagudo
Director

Michael Brady
Employee Benefits Director

Troy M. Hamblin
Labor Negotiator

October 19, 2011

To The Honorable
The Committee on Finance
and Personnel
Common Council
City of Milwaukee

RE: File No. 110747

Dear Committee Members:

The above referenced charter ordinance implements the retirement benefit changes contained in the 2010-2012 collective bargaining agreements between the City of Milwaukee and the Milwaukee Police Supervisors' Organization and the Milwaukee Professional Firefighters Association, Local #215.

Costs associated with this ordinance were reported on the Fiscal Notes attached to Common Council File Nos. 110518 and 110548.

In view of the foregoing, it is recommended that this ordinance be approved.

Sincerely,

Troy M. Hamblin
Labor Negotiator

NMF
110747 ChOrd F&P ltr
labr\MP\SO\2010-2011 Implementaton



City of Milwaukee Fiscal Impact Statement

A

Date	10-19-2011	File Number	110747
Subject	A charter ordinance relating to retirement benefits for employees the Milwaukee Police Supervisors' Organization and the Milwaukee Professional Firefighters Association, Local #215.		

B

Submitted By (Name/Title/Dept./Ext.)	Nicole Fleck/Labor Relations Officer/DER/x3371
---	--

C

- This File**
- ☒ Increases or decreases previously authorized expenditures.
 - ☐ Suspends expenditure authority.
 - ☐ Increases or decreases city services.
 - ☐ Authorizes a department to administer a program affecting the city's fiscal liability.
 - ☐ Increases or decreases revenue.
 - ☐ Requests an amendment to the salary or positions ordinance.
 - ☐ Authorizes borrowing and related debt service.
 - ☐ Authorizes contingent borrowing (authority only).
 - ☐ Authorizes the expenditure of funds not authorized in adopted City Budget.

D

- This Note**
- ☐ Was requested by committee chair.

E

- | | | |
|------------------|--|---|
| Charge To | <input checked="" type="checkbox"/> Department Account | <input type="checkbox"/> Contingent Fund |
| | <input type="checkbox"/> Capital Projects Fund | <input type="checkbox"/> Special Purpose Accounts |
| | <input type="checkbox"/> Debt Service | <input type="checkbox"/> Grant & Aid Accounts |
| | <input type="checkbox"/> Other
(Specify) _____ | |

F**Assumptions used in arriving at fiscal estimate.**

Costs for this file were included in the fiscal notes for Common Council files #110518 and #110548.

G

Purpose	Specify Type/Use	Expenditure	Revenue
Salaries/Wages			
Supplies/Materials			
Equipment			
Services			
Other	Pension (VSAP, Cert Pay and Training Standards Pay)	\$0	
TOTALS		\$0	

H

For expenditures and revenues which will occur on an annual basis over several years check the appropriate box below and then list each item and dollar amount separately.

☐ 1-3 Years ☐ 3-5 Years

☐ 1-3 Years ☐ 3-5 Years

☐ 1-3 Years ☐ 3-5 Years

I

List any costs not included in Sections E and F above.

J**Additional information.**

These additional payments have been added to the base salary of the two groups involved and will no longer be separate payments except for the \$350 VSAP payment made upon retirement.

PW FILE NUMBER: 110747

[illegible]



Legislation Details (With Text)

File #:	110874	Version:	1
Type:	Resolution	Status:	In Committee
File created:	10/11/2011	In control:	FINANCE & PERSONNEL COMMITTEE
On agenda:		Final action:	
Effective date:			
Title:	Substitute resolution relating to the plan design of the City's health insurance benefits program and the health insurance premium contribution rates for City employees in the police and fire service.		
Sponsors:	THE CHAIR		
Indexes:	FIRE DEPARTMENT, HEALTH INSURANCE, POLICE DEPARTMENT		
Attachments:	October 18 Letter from DER to Fire and Police Commission, 2012 Active Rate Chart, September 30 Letter from DER to Fire and Police Commission, October 11 Fire and Police Commission Letter to Common Council, DER Letter to Finance and Personnel Committee, October 21 Fire and Police Commission Letter to Common Council, Hearing Notice List		

Date	Ver.	Action By	Action	Result	Tally
10/11/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number
110874

Version
SUBSTITUTE 1

Reference
110300

Sponsor
THE CHAIR

Title
Substitute resolution relating to the plan design of the City's health insurance benefits program and the health insurance premium contribution rates for City employees in the police and fire service.

Analysis
Under s. 62.50(10), Wis. Stats., the salary or compensation of members of the police and fire departments may not be reduced without a previous written recommendation for the reduction from the Board of Fire and Police Commissioners. When Common Council File Number (CCFN) 110300 was adopted by the Common Council on July 26, 2011, and, arguably, reduced the compensation of members of the police and fire departments, no written recommendation from the Board of Fire and Police Commissioners had been received.

The Commission met in October 2011 and voted to recommend the changes in the health benefits provided to

members of the police and fire departments.

This resolution repeals CCFN 110300 insofar as it applies to members of the police and fire departments who are eligible for group health coverage on January 1, 2012, and reenacts it for all such personnel with no other effect on CCFN 110300.

It further authorizes the Department of Employee Relations to set the health insurance premium contribution rates for sworn, non-represented members of the police and fire departments effective January 1, 2012, the same as specified for all general City employees as provided in 110300.

Body

Whereas, The City is interested in sustaining quality health benefits for all City employees and retirees at the most affordable costs to City employees, retirees and the City; and

Whereas, The Department of Employee Relations (DER) has recommended new group health coverage benefit plan changes for all City employees and retirees beginning January 1, 2012 with specific deductibles, co-insurance, co-pay, and out-of-pocket maximums for both a self-insured Exclusive Provider Organization (EPO) plan and for a Basic Plan/Preferred Provider Organization plan as described by the DER in an attachment to this file titled, "Summary of Health Insurance Benefits for City of Milwaukee," and

Whereas, The DER has recommended all active City employees pay up to 12% of their total 2012 health insurance premium cost (see attachment to this file titled "2012 Rate Chart for Active Employees" for the bi-weekly contribution rates that will apply effective January 1, 2012); the same as most other non-safety public employees across the state of Wisconsin and

Whereas, The DER has submitted correspondence clarifying that its prior recommendation that City employees pay up to 12% of their total 2012 health coverage premium cost was intended to include the sworn, non-represented members of the Police and Fire Departments but did not expressly reference this group; and

Whereas, Section 62.50(10), Wis. Stats., provides that the salary or compensation of members of the police and fire departments may not be reduced without a previous written recommendation for the reduction from the Board of Fire and Police Commissioners to the Common Council; and

Whereas, It could be claimed by members of the police and fire departments that the above-referenced group health coverage changes constitute reductions in salary or compensation and this issue has not been decided by the Wisconsin courts; and

Whereas, The Board of Fire and Police Commissioners met on October 5 and October 20, 2011, and voted to recommend the above-referenced changes to the health benefits provided to all members of the Milwaukee Fire and Police Departments, whether sworn or unsworn, except for members of the Milwaukee Police Association; and

Whereas, On July 26, 2011, the Common Council adopted and on August 4, 2011, the Mayor signed Common Council File Number (CCFN) 110300 approving the above-referenced January 1, 2012, health coverage benefit plan changes and premium contributions without a prior written recommendation from the Board of Fire and Police Commissioners and without clearly including sworn, non-represented members of the police and fire departments among those employees to whom the premium contribution requirements would apply, and the Common Council seeks to rectify those omissions; and

Whereas, The above-noted recommendations by the Board of Fire and Police Commissioners have now been

Whereas, The above-noted recommendations by the Board of Fire and Police Commissioners have now been transmitted to the Common Council in writing prior to the adoption of this resolution; now, therefore, be it

Resolved, By the Common Council of the City of Milwaukee that CCFN 110300 is repealed insofar as it applied to members (sworn and unsworn, represented and non-represented) of the Milwaukee Fire and Police Departments who are eligible for group health coverage on January 1, 2012, and is reenacted for these same personnel with no other effect upon CCFN 110300 and its original applicability to and effects upon all other employees or retirees; and be it

Further Resolved, That the DER is authorized to set the health insurance premium contribution rates for sworn, non-represented members of the police and fire departments effective January 1, 2012, the same as specified for all general City employees as referenced in CCFN 110300.

Requestor
Department of Employee Relations

Drafter
jro
10/21/11



Department of Employee Relations

Tom Barrett
Mayor

Maria Monteagudo
Director

Michael Brady
Employee Benefits Director

Troy M. Hamblin
Labor Negotiator

October 18, 2011

Board of Fire and Police Commissioners
Fire and Police Commission
City Hall, Room 706A

Dear Commissioners:

I ask that you consider at your next meeting matters relative to the City's adoption of the 2012 Budget and certain modifications to the terms and conditions of employment for sworn and civilian members of the Police and Fire Departments, excluding, however, members of the MPA (with whom bargaining is still in progress). Such modifications include, *inter alia*, elimination of collective bargaining agreement provisions addressing subjects of bargaining that are now prohibited subjects of bargaining under 2010 Wis. Act 10, the Budget Repair Bill, with replacement of such provisions by which became effective on June 26, 2011. These items will be reviewed by the Common Council's Finance and Personnel Committee during the budget process on October 26, 2011.

Sec. 62.50(10), Stats., requires a prior written recommendation from the FPC before the Common Council can reduce either the "salary" or the "compensation" of members (sworn and unsworn) of the Fire or Police Departments. Because the term "compensation" is not defined in the statute (the scope of Sec. 62.50(10) is presently being litigated), the City Attorney's office has advised, as a precautionary measure and to avoid potential litigation and liability under a broad construction of the term, that the City seek a prior written recommendation from the Fire and Police Commission regarding any changes in the terms or conditions of employment for members of either department that could potentially be deemed to constitute a decrease in "compensation." Obtaining the Fire and Police Commission's recommendation of the following possible changes would ensure that no potentially viable challenge could be made by individual employees or their bargaining representatives under Sec. 62.50(10) if and when these changes are approved by the Common Council:

- Furloughs. The proposed 2012 Budget provides that sworn management and all civilian positions of the Milwaukee Fire and Police Departments shall take four furlough days in 2012, to be scheduled by the respective Chiefs throughout the year taking into consideration operational and public safety needs.

- **Group Health Coverage and Premium Contributions.** The proposed 2012 Budget provides that all members of the Milwaukee Fire and Police Departments contribute more for health insurance benefits such as, but not limited to, co-insurance, in/out-of-network benefits, deductibles, drug co-payments, emergency room co-payments, etc., in accordance with the schedule included as Attachment A to this letter (showing amounts paid for covered services by the City and by plan participants under each of the two plan options).

In addition, all other members (sworn and unsworn) of the Milwaukee Fire and Police Departments for whom this recommendation has not previously already been made by the Fire and Police Commission (i.e., the Local Milwaukee Professional Firefighters' Association, Local 215, and the Milwaukee Police Supervisors' Organization), but excluding members of the Milwaukee Police Association (with which bargaining is still in process), to pay, commencing January 1, 2012, up to 12 percent of the bi-monthly group health premium applicable to other active City employees for the particular plan choice and coverage type (single, employee plus dependents, etc.) options applicable to the member, and up to 12 percent of any and all increases to such premiums that are established by the City for particular plan choices and coverage type options from time-to-time after January 1, 2012 for active City employees. The maximum January 1, 2012 bi-monthly contribution rates for active City employees for the plan and coverage choice options available on January 1, 2012 are shown on Attachment B to this letter.

- **Police Training Academy.** The proposed 2012 Budget provides for the Step 1 rate for members of the Police Training Academy to be reduced 25 percent, from the 2009 bi-weekly rate of \$1,807.37 to a new bi-weekly rate of \$1,355.53.

By this letter, I respectfully request that the Fire and Police Commission formally recommend to the Common Council each of the changes referenced above in this letter. Additionally, given the language of the statute, I ask that this Commission recommendation be communicated in writing to the Common Council through correspondence signed by the Commission's Chair and its Executive Director.

Sincerely,



Troy M. Hamblin
Labor Negotiator

SUMMARY OF HEALTH INSURANCE BENEFITS FOR CITY OF MILWAUKEE

NOTE: This summary is intended only to highlight your benefits and should not be relied upon to fully determine your coverage. The Summary Plan Description shall prevail.

Type of Coverage	CITY OF MILWAUKEE EPO UHC Choice Plan	CITY OF MILWAUKEE PPO UHC Choice Plus Plan (Replaces the Basic Plan)	
	Network Only Benefits	Network Benefits	Non-Network Benefits
1. Annual Deductible Individual Deductible Family Deductible	\$500 per year \$1,000 per year	\$750 per year \$1,500 per year	\$1,500 per year \$3,000 per year
2. Out-of-Pocket Maximum Individual Out-of-Pocket Maximum Family Out-of-Pocket Maximum	\$1,000 per year \$2,000 per year	\$1,500 per year \$3,000 per year	\$3,000 per year \$6,000 per year
3. Benefit Plan coinsurance - Amount the Plan Pays	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
4. Lifetime Maximum	No Lifetime Maximum	No Lifetime Maximum	No Lifetime Maximum
5. Ambulance Services - Emergency & approved Non-Emergency	90% after Deductible met.	90% after Deductible met.	90% after Deductible met.
6. Autism Spectrum Disorder Services	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
7. Dental Accident/Oral Surgery Oral Surgery coverage is limited to 13 specific oral surgical procedures. (See end of benefit summary on pg.10).*	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
8. Durable Medical Equipment	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
9. Emergency Health Services	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
10. Hearing Aids Benefits are limited to enrolled dependent children under 18, limited to one hearing aid per year, every 3 years	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
11. Home Health Care Benefits are limited to 40 visits per calendar year.	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
12. Hospice	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
13. Hospital - Inpatient Stay	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
14. Lab, X-Ray & Diagnostics - Outpatient	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
15. Mental Health Services	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
16. Nutritional Counseling	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
17. Physician Fees for Surgical & Medical Services	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
18. Physician Office Services - Sickness and Injury	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
19. Preventive Care Services Includes Physician Office Visit, Lab, X-Ray or other preventive tests. Generally when a service is performed during your annual preventive care visit, specifically for preventive screening, and there are no known symptoms, illnesses or history, the services will be considered for this benefit. For more information about preventive services that might be right for you, visit www.uhcpreventivecare.com .	100% Deductible does not apply	100% Deductible does not apply	Not Covered
20. Prosthetic Devices	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.

SUMMARY OF HEALTH INSURANCE BENEFITS FOR CITY OF MILWAUKEE

NOTE: This summary is intended only to highlight your benefits and should not be relied upon to fully determine your coverage. The Summary Plan Description shall prevail.

Type of Coverage	CITY OF MILWAUKEE EPO UHC Choice Plan	CITY OF MILWAUKEE PPO UHC Choice Plus Plan (Replaces the Basic Plan)	
	Network Only Benefits	Network Benefits	Non-Network Benefits
21. Rehabilitation Services – Chiropractic Treatment:	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
22. Rehabilitation Services – Outpatient Therapy Short-term outpatient rehabilitation for Physical therapy, Occupational therapy, Speech therapy, Pulmonary rehabilitation therapy, Cardiac rehabilitation therapy, and Respiratory therapy. 50 visit maximum per year for each necessary therapy.	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
23. Skilled Nursing Facility/Inpatient Rehabilitation Facility Services: 120 day maximum per inpatient stay.	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
24. Substance Use Disorder	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
25. Temporomandibular Joint disorder Treatment (TMJ): Benefits are limited to \$1,250 per year for diagnostic procedures and non-surgical treatment.	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
26. Transplant Services	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
27. Urgent Care	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
28. Vision Care One routine vision exam at a Network provider every 2 years.	90% after Deductible met.	90% after Deductible met.	Not Covered.
29. Prescription Drug Benefits administered by MEDCO Retail Pharmacy – 30 day supply Mail Order – up to 90 day supply	Tier 1: \$ 5.00 copay Tier 2: \$25.00 copay Tier 3: \$50.00 copay Tier 1: \$10.00 copay Tier 2: \$50.00 copay Tier 3: \$100.00 copay	Tier 1: \$ 5.00 copay Tier 2: \$25.00 copay Tier 3: \$50.00 copay Tier 1: \$10.00 copay Tier 2: \$50.00 copay Tier 3: \$100.00 copay	
30. Dependent Coverage:	Include employee's spouse; eligible dependent children, stepchildren, foster children, grandchildren (if the parent is an eligible dependent child under the age of 18), adopted children and children placed for adoption as mandated by the State or Federal government. Based on the recent federal health care reform, coverage for dependent children is through the end of the calendar year in which the dependent child or adult child turns 26, without regard to the adult child's school status, marital status or dependent status. There will be state imputed tax only, not federal imputed tax, if the adult child is not an IRS dependent.		

United Healthcare Oral Surgery is limited to the following 13 oral surgical procedures (see #7 above)

1. Surgical removal of bony impacted teeth;
2. Excision of tumors, cysts of the jaws, cheeks, lips, tongue, roof of mouth when such conditions require pathological examination;
3. Surgical procedures required to correct accidental injuries of the jaws, cheeks, lips, tongue, roof and floor of mouth;
4. Apicoectomy;
5. Excision of exostosis of jaws and hard palate;
6. Treatment of fractures of facial bones;
7. External incisions and drainage of cellulitis;
8. Incision of accessory sinuses, salivary glands or ducts;
9. Gingivectomy;
10. Alveolectomy;
11. Frenectomy;
12. Removal of retained root;
13. Gingival and Apical curettage.

2012 Rate Chart For Active Employees

This Chart applies to all Employees whose positions are represented by any of the following units:

**GC Management; DC #48; NMNR; TEAM; Assc of Scient Pers; Assc of Muni Attys;
SNC; Loc 510 IAM; Loc 494 Mach; Loc 75 Plumbers; Loc 195 Bridge Operators;
Loc 139; Loc 61 Sanitation; ALEASP; Police Aides; Loc 494 FEDS**

HEALTH PLAN "EMPLOYEE RATE" INFORMATION

An employee will pay twice per month the rate listed below under "Employee Rate" for the plan selected.

CHART I - 2012 Employee HEALTH PLAN Payroll Contribution.

The "Employee Rate" will be deducted from the employee twice per month from the first and second paycheck.

HEALTH PLAN	¹ UHC CHOICE PLAN			² UHC CHOICE PLUS PLAN (Replaces the City's Basic Plan)		
	UHC CHOICE PLAN	City Share	Employee Rate	UHC CHOICE PLUS PLAN	City Share	Employee Rate
Single	\$ 311.98	\$ 274.54	\$ 37.44	\$ 396.84	\$ 349.22	\$ 47.62
Employee + Dependents	\$ 467.97	\$ 411.81	\$ 56.16	\$ 595.26	\$ 523.83	\$ 71.43
Employee + Spouse	\$ 623.96	\$ 549.08	\$ 74.88	\$ 793.68	\$ 698.44	\$ 95.24
Family	\$ 935.94	\$ 823.63	\$ 112.31	\$ 1,190.51	\$ 1,047.65	\$ 142.86

¹This is the HMO equivalent.

²This is the Basic Plan equivalent.

CHART II - 2012 Employee DENTAL PLAN Payroll Contribution.

The "Employee Rate" will be deducted from the employee twice per month from the first and second paycheck.

DENTAL PLAN	SINGLE PREMIUM	City Share	Single Employee Rate	FAMILY PREMIUM	City Share	Family Employee Rate
WPS/Delta Dental	\$ 12.48	\$ 6.50	\$ 5.98	\$ 43.10	\$ 18.75	\$ 24.35
Care-Plus	\$ 21.93	\$ 6.50	\$ 15.43	\$ 64.83	\$ 18.75	\$ 45.88
DentalBlue	\$ 23.63	\$ 6.50	\$ 17.13	\$ 70.89	\$ 18.75	\$ 52.14

DISCLAIMER: The benefit design and rate equivalents are subject to change by Common Council action.

NOTE: All rates/premiums and Employee Share amounts shown on this chart are shown as semi-monthly (twice per month) figures to reflect the fact that employee payroll deductions will take place twice per month, on the first and second paychecks of each month. Multiply the Rates/Premiums and Employee Share amounts by two (2) to calculate the full amounts.

2012 Rate Chart For Active Employees

This Chart applies to all Employees whose positions are represented by any of the following units:

**GC Management; DC #48; NMNR; TEAM; Assc of Scient Pers; Assc of Muni Attys;
SNC; Loc 510 IAM; Loc 494 Mach; Loc 75 Plumbers; Loc 195 Bridge Operators;
Loc 139; Loc 61 Sanitation; ALEASP; Police Aides; Loc 494 FEDS**

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²This is the Basic Plan equivalent.

CHART II - 2012 Employee DENTAL PLAN Payroll Contribution.

The "Employee Rate" will be deducted from the employee twice per month from the first and second paycheck.

DENTAL PLAN	SINGLE PREMIUM	City Share	Single Employee Rate	FAMILY PREMIUM	City Share	Family Employee Rate
WPS/Delta Dental	\$ 12.48	\$ 6.50	\$ 5.98	\$ 43.10	\$ 18.75	\$ 24.35
Care-Plus	\$ 21.93	\$ 6.50	\$ 15.43	\$ 64.63	\$ 18.75	\$ 45.88
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NOTE: All rates/premiums and Employee Share amounts shown on this chart are shown as semi-monthly (twice per month) figures to reflect the fact that employee payroll deductions will take place twice per month, on the first and second paychecks of each month. Multiply the Rates/Premiums and Employee Share amounts by two (2) to calculate the full amounts..



Department of Employee Relations

Tom Barrett
Mayor

Maria Monteagudo
Director

Michael Brady
Employee Benefits Director

Troy M. Hamblin
Labor Negotiator

September 30, 2011

Board of Fire and Police Commissioners
Fire and Police Commission
City Hall, Room 706A

Dear Commissioners:

I ask that you consider at your next meeting matters relative to the City's ratification process for collective bargaining agreements covering the years 2010-2012 with the three sworn bargaining units, the Milwaukee Police Supervisors' Organization (MPSO), the Milwaukee Professional Firefighters' Association, Local 215 (Local 215), and the Milwaukee Police Association (MPA). The Common Council's Finance and Personnel Committee is scheduled to act on the MPSO and Local 215 collective bargaining agreements on October 5 with the Common Council taking final action on October 11, 2011. The terms of an MPA collective bargaining agreement will be considered on dates yet to be determined by the Finance and Personnel Committee and Common Council (bargaining agreement terms for the MPA have not been ratified by MPA membership as of the date of this letter, so all changes to the terms and conditions of employment for members of this group that are noted below are still provisory).

Sec. 62.50(10), Stats., requires a prior written recommendation from the FPC before the Common Council can reduce either the "salary" or the "compensation" of members (sworn and unsworn) of the Fire or Police Departments. Because the term "compensation" is not defined in the statute (the scope of Sec. 62.50(10) is presently being litigated), the City Attorney's office has advised, as a precautionary measure and to avoid potential litigation and liability under a broad construction of the term, that the City seek a prior written recommendation from the Fire and Police Commission regarding any changes in the terms or conditions of employment for members of either department that could potentially be deemed to constitute a decrease in "compensation." Obtaining the Fire and Police Commission's recommendation of the following changes would ensure that no potentially viable challenge could be made by individual employees or their bargaining representatives under Sec. 62.50(10) if and when these changes are approved by the Common Council:

- Changes affecting MPSO, Local 215, and MPA members:

- Each member to pay, commencing January 1, 2012, up to 12% of the bi-monthly group health coverage premium applicable to other active City employees for the particular plan choice and coverage type (single, employee plus dependents, etc.) options applicable to the member, and up to 12% of any and all increases to such premiums that are established by the City for particular plan choices and coverage type options from time-to-time after January 1, 2012 for active City employees. The maximum January 1, 2012 bi-monthly contribution rates for active City employees for the plan and coverage choice options available on January 1, 2012 are shown on Attachment A to this letter.
- Changes affecting MPSO members only (see Attachment B to this letter, Summary of Wage and Fringe Benefit Modifications applicable to MPSO members for details regarding the changes referenced below):
 - Reductions/elimination of variable shift assignment pay (VSAP) and certification pay for all employees;
 - Elimination of certain payments received by some employees pertaining to specific levels of educational credits.
- Changes affecting Local 215 members only (see Attachment C to this letter, Summary of Wage and Fringe Benefit Modifications applicable to Milwaukee Professional Firefighters' Association, Local 215, members for details regarding the changes referenced below):
 - Reductions/elimination of uniform allowance and training standards pay for all employees;
 - Reductions in salary for employees while they are in the Fire Department Academy.
- Changes affecting MPA members only (see Attachment D to this letter, Summary of Wage and Fringe Benefit Modifications for Milwaukee Police Association members for details regarding the changes referenced below):
 - Reductions in certification pay for all employees;
 - Elimination of the requirement the City provide a sweater to all employees;
 - Elimination of certain payments received by some employees pertaining to specific levels of educational credits;

- Reductions in salary for employees while they are in the Police Department Academy.

By this letter, I respectfully request that the Fire and Police Commission formally recommend to the Common Council each of the changes referenced above in this letter. Additionally, given the language of the statute, I ask that this Commission recommendation be communicated in writing to the Common Council through correspondence signed by the Commission's Chair and its Executive Director.

Sincerely,

A handwritten signature in black ink, appearing to read 'Troy M. Hamblin', with a stylized flourish at the end.

Troy M. Hamblin
Labor Negotiator

2012 Rate Chart For Active Employees

This Chart applies to all Employees whose positions are represented by any of the following units:

**GC Management; DC #48; NMNR; TEAM; Assc of Scient Pers; Assc of Muni Attys;
SNC; Loc 510 IAM; Loc 494 Mach; Loc 75 Plumbers; Loc 195 Bridge Operators;
Loc 139; Loc 61 Sanitation; ALEASP; Police Aides; Loc 494 FEDS**

HEALTH PLAN "EMPLOYEE RATE" INFORMATION

An employee will pay twice per month the rate listed below under "Employee Rate" for the plan selected.

CHART I - 2012 Employee HEALTH PLAN Payroll Contribution.

The "Employee Rate" will be deducted from the employee twice per month from the first and second paycheck.

HEALTH PLAN	¹ UHC CHOICE PLAN			² UHC CHOICE PLUS PLAN (Replaces the City's Basic Plan)		
	UHC CHOICE PLAN	City Share	Employee Rate	UHC CHOICE PLUS PLAN	City Share	Employee Rate
Single	\$ 311.98	\$ 274.54	\$ 37.44	\$ 396.84	\$ 349.22	\$ 47.62
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Employee + Spouse	\$ 623.96	\$ 549.08	\$ 74.88	\$ 793.68	\$ 698.44	\$ 95.24
Family	\$ 935.94	\$ 823.63	\$ 112.31	\$ 1,190.51	\$ 1,047.65	\$ 142.86

¹This is the HMO equivalent.

²This is the Basic Plan equivalent.

CHART II - 2012 Employee DENTAL PLAN Payroll Contribution.

The "Employee Rate" will be deducted from the employee twice per month from the first and second paycheck.

DENTAL PLAN	SINGLE PREMIUM	City Share	Single Employee Rate	FAMILY PREMIUM	City Share	Family Employee Rate
WPS/Delta Dental	\$ 12.48	\$ 6.50	\$ 5.98	\$ 43.10	\$ 18.75	\$ 24.35
Care-Plus	\$ 21.93	\$ 6.50	\$ 15.43	\$ 64.63	\$ 18.75	\$ 45.88
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DISCLAIMER: The benefit design and rate equivalents are subject to change by Common Council action.

NOTE: All rates/premiums and Employee Share amounts shown on this chart are shown as semi-monthly (twice per month) figures to reflect the fact that employee payroll deductions will take place twice per month, on the first and second paychecks of each month. Multiply the Rates/Premiums and Employee Share amounts by two (2) to calculate the full amounts..

**Summary of Wage and Fringe Benefit Modifications
In the 2010 – 2012 Agreement
Between the
City of Milwaukee (City)
and the
Milwaukee Police Supervisors Organization (Association)**

1. Article 1, Duration Article 1: Three year, January 1, 2010 through December 31, 2012
2. Article 9, Base Salary:
 - (a) Effective Pay Period 1, 2011, increase the biweekly rates of pay of Police Sergeant by \$102.31 (\$2,667 annually), and increase the biweekly rates of pay of all other employees by \$55.77 (\$1,454 annually), with corresponding reductions in 2011 VSAP and Certification Pay payments.
 - (b) Effective Pay Period 1, 2012:
 - include education pay in base salary for those that are eligible and increase biweekly rates of pay as follows:
 - i. Associate's Degree or 64 credits - \$15.34 (\$400 annually)
 - ii. Bachelor's Degree – \$34.52 (\$900 annually)
 - iii. Master's Degree or Higher - \$42.19 (\$1,100 annually)which shall also be increased by the below listed across the board increases
 - A 3.5% across the board wage increase for Police Sergeant over Pay Period 26, 2011 wage rates
 - A 2.0% across the board wage increase for all other employees over Pay Period 26, 2011 wage rates
 - (c) Effective Pay Period 14, 2012:
 - A 1.25% across the board wage increase for Police Sergeant over Pay Period 13, 2012 wage rates
 - A 1.0% across the board wage increase for all other employees over the Pay Period 13, 2012 wage rates
3. Article 49, Variable Shift Assignment Pay:
 - (a) Effective for calendar year 2011 payments eliminate the VSAP payment provided to employees in subsections 1.a.(1) and (2).
 - (b) Modify section 6: *It is understood that including VSAP payments in the base biweekly salary is in recognition of the City's sole and unrestricted right to vary from time to time and without advance notice the starting time of an employee's regularly scheduled eight-hour shift assignment and/or the day on which such regular shift assignment occurs. Including the VSAP payments in base salary is in lieu of any other compensation for the City's retention of this right, including, without limitation, and "Out-of-Shift" premium pay.*
 - (c) Renumber subsection 1.b. and update entire Article, as needed.

4. Article 58, Certification Pay:
 - (a) Effective for calendar year 2011 payments eliminate Certification Pay payments provided to employees in section 1. After the payments for calendar year 2010 (paid in 2011), there shall be no Certification Pay payments.
 - (b) Insert the following at the beginning of the Article: Certification Pay payments have been included in the Salary Schedule as of Pay Period 1, 2011.
5. Article 12, Overtime. Insert the following in section 4.b.:

Effective the beginning of the first pay period following the execution date of the 2010-2012 Agreement, all overtime earned for work performed under grants shall be paid in cash.
6. Article 17, Health Insurance:
 - (a) Effective January 1, 2012, active employees shall contribute 12% of the Health Insurance Premium payment for plan selected.
 - (b) Remove prohibited subjects of bargaining from the remainder of the article.
7. Article 24, Vacations. Insert the following in section 4:

Effective January 1, 2012, vacation shall be taken on a fiscal year basis.
8. Article 54, Drug Testing:
 - (a) Eliminate the existing memorandum of understanding and the contractual reference thereto. Incorporate a reference to the new Standard Operating Procedure (hair testing).
9. Article 35, Educational Program:
 - (a) Effective for calendar year 2012 payments eliminate Educational Pay payments made to employees in section 1. After the payments for calendar year 2011 (made in 2012), there shall be no Educational Pay payments.
 - (b) Insert the following at the beginning of the Article: Educational Pay shall be included in the Salary Schedule as of Pay Period 1, 2012.
 - (c) Update entire article as needed
10. Incorporate into contract tentative agreements with necessary additions and updates of language and dates and deletion of obsolete language.

**Summary of Wage and Fringe Benefit Modifications
In the 2010 – 2012 Agreement
Between the
City of Milwaukee (City)
and the
Milwaukee Professional Firefighters' Association**

1. Article 1, Duration Article 1: Three year, January 1, 2010 through December 31, 2012
2. Article 2, Recognition and Article 9, Definitions

Update to incorporate Fire Investigator and Special Lieutenant titles.
3. Article 10, Base Salary:
 - (a) Effective Pay Period 1, 2011, increase the biweekly rates of pay of all employees by \$12.50.
 - (b) Effective Pay Period 1, 2011, increase the biweekly rates of pay of Firefighter, Fire Paramedic and Heavy Equipment Operator by \$23.08,
 - (c) Effective Pay Period 1, 2011, increase the biweekly rates of pay of Lieutenant and Vehicle Operations Instructor by \$42.31.
 - (d) Effective upon the execution date of the Agreement all newly hired Firefighters shall be paid at the academy step which shall be 75% of step 1 of Pay Range 850.
 - (e) Effective Pay Period 26, 2011, a 2.95% across-the-board wage increase over the Pay Period 25, 2011 wage rates.
 - (f) Effective Pay Period 14, 2012, a 1.0% across-the-board wage increase over the Pay Period 13, 2012 wage rates.
4. Article 35, Uniform Allowance:
 - (a) Effective for calendar year 2011 payments eliminate the Uniform Replacement Allowance provided to employees. After the payments for calendar 2010 (paid in December 2010), there shall be no Uniform Allowance payments.
 - (b) Delete the existing contents of the Article. Deletion of this Article shall not affect any prior years' payments.
 - (c) Insert the following at the beginning of the Article: Uniform Allowance payments have been included in the Salary Schedule as of Pay Period 1, 2011.
5. Article 58, Training Standards Pay:
 - (a) Effective for calendar year 2011 payments eliminate Training Standards Pay payments provided to employees. After the payments for calendar year 2010 (paid in 2011), there shall be no Training Standards Pay payments.

- (b) Insert the following at the beginning of the Article: Training Standards Pay payments have been included in the Salary Schedule as of Pay Period 1, 2011.
6. Article 26, Health Insurance:
- (a) Effective January 1, 2012, active employees shall contribute 12% of the Health Insurance Premium payment for the plan selected.
 - (b) Effective January 1, 2012, registered domestic partners of eligible City employees shall be eligible for health benefits and dental insurance. Employees who elect coverage for his/her domestic partner must be enrolled in the same plan.
 - (c) Remove prohibited subjects of bargaining from the remainder of the article.
7. Update language and dates and delete any obsolete language.

**Summary of Wage and Fringe Benefit Modifications
In the 2010 – 2012 Agreement
Between the
City of Milwaukee (City)
and the
Milwaukee Police Association**

1. Article 1, Duration: Three year, January 1, 2010 through December 31, 2012
2. Article 10, Base Salary:
 - (a) Effective Pay Period 1, 2011, increase the biweekly rates of pay by \$19.18 (\$500 annually) with corresponding reductions in 2011 Certification Pay payments.
 - (b) Effective upon the execution date of the Agreement all newly hired Police Officers shall be paid at the academy step which shall be 75% of step 1 of Pay Range 801.
 - (c) Effective Pay Period 1, 2012:
 - Include education pay in base salary for those that are eligible and increase biweekly rates of pay as follows:
 - i. Associate's Degree or 64 credits - \$15.34 (\$400 annually)
 - ii. Bachelor's Degree – \$34.52 (\$900 annually)
 - iii. Master's Degree or Higher - \$42.19 (\$1,100 annually)which shall also be increased by the below listed across the board increases
 - A 2.95% across the board wage increase over Pay Period 26, 2011 wage rates
 - (d) Effective Pay Period 14, 2012, a 1.35% across the board wage increase over Pay Period 13, 2012 wage rates
3. Article 21, Health Insurance:
 - (a) At the beginning of the Article insert the following: Certain items contained in this Article are currently under litigation.
 - (b) Effective January 1, 2012, active employees shall contribute 12% of the Health Insurance Premium payment for the plan selected.
4. Article 33, Uniform and Equipment:
 - (a) Add the following to 1.a.: (3) Effective upon the execution date of the Agreement, a Uniform Outer Carrier shall be included in the initial issue, which shall replace the initial issue of a sweater.
 - (b) 1.b.(1)(a) replace sweater with Uniform Outer Carrier

5. Article 39, Educational Program:
 - (a) Effective for calendar year 2012 payments eliminate Educational Pay payments made to employees in section 1. After the payments for calendar year 2011 (made in 2012), there shall be no Educational Pay payments.
 - (b) Update entire article as needed
6. Article 62, Parking Allowance Benefits for Police Administration Building Employees:
 - (a) Redraft entire Article removing any reference to car pool parking allowance and eliminate any references to specific parking allowance rates.
 - (b) Eligible employees shall receive parking allowance at rates current at time of reimbursement request.
7. Article 68, Certification Pay:
 - (a) Effective for calendar year 2011 payments (paid in 2012), Certification Pay shall be reduced to \$500.
8. Two Memoranda of Understanding agreed to and signed by the parties:
 - (a) The City agrees to hire 100 Police Officers between the execution date of the 2010-2012 Agreement and December 31, 2012.
 - The 2012 class shall start no later than August 15, 2012
 - (b) Employees in the classification of Detective upon the execution date of the 2010-2012 Agreement shall be allowed to participate in the testing process for Lieutenant positions regardless of supervisory experience or lack thereof. Identified individuals currently holding the rank of Detective shall be considered 'grandfathered' as to all such subsequent testing opportunities to/for the rank of Lieutenant.
9. Update language and dates and delete any obsolete language.
10. All other article shall remain Status Quo.



Fire and Police Commission

Michael G. Tobin
Executive Director

Richard C. Cox
Chair
Carolina M. Stark
Vice-Chair
Kathryn A. Hein
Paul X. Lor
Sarah W. Morgan
Michael M. O'Hear
Commissioners

October 11, 2011

Alderman Willie L. Hines, Jr.
Common Council President
City Hall, Room 205
200 E. Wells Street
Milwaukee, WI 53202

Re: Milwaukee Police Department and Milwaukee Fire Department 2012
Salary and Compensation

Dear President Hines:

On October 10, 2011, the Fire and Police Commission (FPC) considered and reviewed the request of Labor Negotiator Troy Hamblin regarding a reduction in salary and compensation as outlined in his letter dated September 30, 2011. The FPC publicly noticed the review specifically with reference to consideration of a reduction of department member salary and compensation.

Upon conclusion of its review, the Board of Fire and Police Commissioners approved a resolution to recommend to the Milwaukee Common Council a decrease in the salary and compensation in 2012 of members of the, Milwaukee Police Supervisors Organization and Firefighters Local 215, collective bargaining units up to and including a decrease that is in accordance with Mr. Hamblin's September 30, 2011 letter, subject to and in accordance with sec. 62.50(10) Wis. Stats. The Board temporarily defers action of the request as it pertains to the Milwaukee Police Association.

Sincerely,

Richard C. Cox
Chairman

Michael G. Tobin
Executive Director

MPD and MFD 2012 Salary and Compensation
October 10, 2011
Page 2

Att. 9/30/11 Letter from T. Hamblin

cc: Ald. Michael Murphy
Mark Nicolini
Troy Hamblin
Grant Langley, City Attorney



Department of Employee Relations

Tom Barrett
Mayor

Maria Monteagudo
Director

Michael Brady
Employee Benefits Director

Troy M. Hamblin
Labor Negotiator

Alderman Murphy, Chair
Finance and Personnel Committee
City Hall, Room 205
City of Milwaukee

Re: CC Resolution File No. 110874

Dear Ald. Murphy:

I understand that the Finance & Personnel Committee will soon consider the above-referenced resolution, which relates in part to Resolution No. 110300 adopted by the Common Council on July 26th. I write to clarify one ambiguity in the wording of that earlier resolution, which is addressed and corrected in the above-referenced resolution.

The earlier resolution, in one of its "Whereas" clauses as well as in the attachments to that file, was worded in an inaccurate fashion with respect to DER's recommendation regarding employees' payment of 12% of the health coverage premium costs. More particularly, a "Whereas" clause in that earlier resolution stated that "DER recommends all active general City employees, including civilian employees of the Police and Fire departments pay 12%" of the 2012 health coverage premium costs. Additionally, attachments to that file referenced that "active general employees, including all civilian personnel with the Police and Fire departments, would pay 12% of their 2012 premiums."

The language referenced above inadvertently omitted reference to the sworn, non-represented members of the Milwaukee Police and Fire Departments. Those employees were also intended to be included among the employees whom DER recommended begin paying 12% of the total premiums for health care for 2012. This oversight has been corrected in certain "Whereas" clauses and a "Further Resolved" clause in Resolution No. 110874.

Very truly yours,

Michael Brady



Fire and Police Commission

Michael G. Tobin
Executive Director

Richard C. Cox
Chair

Carolina M. Stark
Vice-Chair

Kathryn A. Hein
Paoi X. Lor
Sarah W. Morgan
Michael M. O'Hear
Commissioners

October 21, 2011

Alderman Willie L. Hines, Jr.
Common Council President
City Hall, Room 205
200 E. Wells Street
Milwaukee, WI 53202

Re: Milwaukee Police Department and Milwaukee Fire Department 2012
Salary and Compensation

Dear President Hines:

On October 20, 2011, the Fire and Police Commission (FPC) considered and reviewed the request of Labor Negotiator Troy Hamblin regarding a reduction in salary and compensation as outlined in his letter dated October 18, 2011. The FPC publicly noticed the review specifically with reference to consideration of a reduction of department member salary and compensation.

Upon conclusion of its review, the Board of Fire and Police Commissioners approved a resolution to recommend to the Milwaukee Common Council a decrease in the salary and compensation in 2012 of sworn and non-sworn members of the Milwaukee Fire Department and the Milwaukee Police Department up to and including a decrease that is in accordance with Mr. Hamblin's October 18, 2011 letter, subject to and in accordance with sec. 62.50(10) Wis. Stats.

Sincerely,

Richard C. Cox
Chairman

Michael G. Tobin
Executive Director

Att. 10/18/11 Letter from T. Hamblin

cc: Ald. Michael Murphy
Mark Nicolini
Troy Hamblin
Grant Langley, City Attorney



Department of Employee Relations

Tom Barrett
Mayor

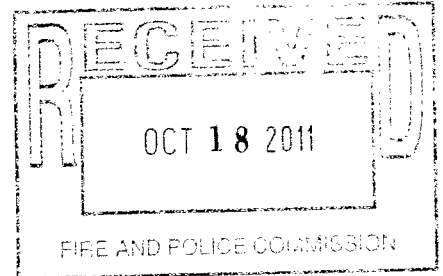
Maria Monteagudo
Director

Michael Brady
Employee Benefits Director

Troy M. Hamblin
Labor Negotiator

October 18, 2011

Board of Fire and Police Commissioners
Fire and Police Commission
City Hall, Room 706A



Dear Commissioners:

I ask that you consider at your next meeting matters relative to the City's adoption of the 2012 Budget and certain modifications to the terms and conditions of employment for sworn and civilian members of the Police and Fire Departments, excluding, however, members of the MPA (with whom bargaining is still in progress). Such modifications include, *inter alia*, elimination of collective bargaining agreement provisions addressing subjects of bargaining that are now prohibited subjects of bargaining under 2010 Wis. Act 10, the Budget Repair Bill, with replacement of such provisions by which became effective on June 26, 2011. These items will be reviewed by the Common Council's Finance and Personnel Committee during the budget process on October 26, 2011.

Sec. 62.50(10), Stats., requires a prior written recommendation from the FPC before the Common Council can reduce either the "salary" or the "compensation" of members (sworn and unsworn) of the Fire or Police Departments. Because the term "compensation" is not defined in the statute (the scope of Sec. 62.50(10) is presently being litigated), the City Attorney's office has advised, as a precautionary measure and to avoid potential litigation and liability under a broad construction of the term, that the City seek a prior written recommendation from the Fire and Police Commission regarding any changes in the terms or conditions of employment for members of either department that could potentially be deemed to constitute a decrease in "compensation." Obtaining the Fire and Police Commission's recommendation of the following possible changes would ensure that no potentially viable challenge could be made by individual employees or their bargaining representatives under Sec. 62.50(10) if and when these changes are approved by the Common Council:

- Furloughs. The proposed 2012 Budget provides that sworn management and all civilian positions of the Milwaukee Fire and Police Departments shall take four furlough days in 2012, to be scheduled by the respective Chiefs throughout the year taking into consideration operational and public safety needs.

- Group Health Coverage and Premium Contributions. The proposed 2012 Budget provides that all members of the Milwaukee Fire and Police Departments contribute more for health insurance benefits such as, but not limited to, co-insurance, in/out-of-network benefits, deductibles, drug co-payments, emergency room co-payments, etc., in accordance with the schedule included as Attachment A to this letter (showing amounts paid for covered services by the City and by plan participants under each of the two plan options).

In addition, all other members (sworn and unsworn) of the Milwaukee Fire and Police Departments for whom this recommendation has not previously already been made by the Fire and Police Commission (i.e., the Local Milwaukee Professional Firefighters' Association, Local 215, and the Milwaukee Police Supervisors' Organization), but excluding members of the Milwaukee Police Association (with which bargaining is still in process), to pay, commencing January 1, 2012, up to 12 percent of the bi-monthly group health premium applicable to other active City employees for the particular plan choice and coverage type (single, employee plus dependents, etc.) options applicable to the member, and up to 12 percent of any and all increases to such premiums that are established by the City for particular plan choices and coverage type options from time-to-time after January 1, 2012 for active City employees. The maximum January 1, 2012 bi-monthly contribution rates for active City employees for the plan and coverage choice options available on January 1, 2012 are shown on Attachment B to this letter.

- Police Training Academy. The proposed 2012 Budget provides for the Step 1 rate for members of the Police Training Academy to be reduced 25 percent, from the 2009 bi-weekly rate of \$1,807.37 to a new bi-weekly rate of \$1,355.53.

By this letter, I respectfully request that the Fire and Police Commission formally recommend to the Common Council each of the changes referenced above in this letter. Additionally, given the language of the statute, I ask that this Commission recommendation be communicated in writing to the Common Council through correspondence signed by the Commission's Chair and its Executive Director.

Sincerely,



Troy M. Hamblin
Labor Negotiator

SUMMARY OF HEALTH INSURANCE BENEFITS FOR CITY OF MILWAUKEE

NOTE: This summary is intended only to highlight your benefits and should not be relied upon to fully determine your coverage. The Summary Plan Description shall prevail.

Type of Coverage	CITY OF MILWAUKEE EPO UHC Choice Plan	CITY OF MILWAUKEE PPO UHC Choice Plus Plan (Replaces the Basic Plan)	
	Network Only Benefits	Network Benefits	Non-Network Benefits
1. Annual Deductible Individual Deductible Family Deductible	\$500 per year \$1,000 per year	\$750 per year \$1,500 per year	\$1,500 per year \$3,000 per year
2. Out-of-Pocket Maximum Individual Out-of-Pocket Maximum Family Out-of-Pocket Maximum	\$1,000 per year \$2,000 per year	\$1,500 per year \$3,000 per year	\$3,000 per year \$6,000 per year
3. Benefit Plan coinsurance – Amount the Plan Pays	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
4. Lifetime Maximum	No Lifetime Maximum	No Lifetime Maximum	No Lifetime Maximum
5. Ambulance Services – Emergency & approved Non-Emergency	90% after Deductible met.	90% after Deductible met.	90% after Deductible met.
6. Autism Spectrum Disorder Services	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
7. Dental Accident/Oral Surgery Oral Surgery coverage is limited to 13 specific oral surgical procedures. (See end of benefit summary on pg.10).*	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
8. Durable Medical Equipment	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
9. Emergency Health Services	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
10. Hearing Aids Benefits are limited to enrolled dependent children under 18, limited to one hearing aid per year, every 3 years	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
11. Home Health Care Benefits are limited to 40 visits per calendar year.	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
12. Hospice	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
13. Hospital – Inpatient Stay	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
14. Lab, X-Ray & Diagnostics - Outpatient	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
15. Mental Health Services	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
16. Nutritional Counseling	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
17. Physician Fees for Surgical & Medical Services	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
18. Physician Office Services – Sickness and Injury.	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
19. Preventive Care Services Includes Physician Office Visit, Lab, X-Ray or other preventive tests. Generally when a service is performed during your annual preventive care visit, specifically for preventive screening, and there are no known symptoms, illnesses or history, the services will be considered for this benefit. For more information about preventive services that might be right for you, visit www.uhcpreventivecare.com .	100% Deductible does not apply	100% Deductible does not apply	Not Covered
20. Prosthetic Devices	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.

SUMMARY OF HEALTH INSURANCE BENEFITS FOR CITY OF MILWAUKEE

NOTE: This summary is intended only to highlight your benefits and should not be relied upon to fully determine your coverage. The Summary Plan Description shall prevail.

Type of Coverage	CITY OF MILWAUKEE EPO UHC Choice Plan	CITY OF MILWAUKEE PPO UHC Choice Plus Plan (Replaces the Basic Plan)	
	Network Only Benefits	Network Benefits	Non-Network Benefits
21. Rehabilitation Services -- Chiropractic Treatment:	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
22. Rehabilitation Services -- Outpatient Therapy Short-term outpatient rehabilitation for Physical therapy, Occupational therapy, Speech therapy, Pulmonary rehabilitation therapy, Cardiac rehabilitation therapy, and Respiratory therapy. 50 visit maximum per year for each necessary therapy.	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
23. Skilled Nursing Facility/Inpatient Rehabilitation Facility Services: 120 day maximum per inpatient stay.	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
24. Substance Use Disorder	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
25. Temporomandibular Joint disorder Treatment (TMJ): Benefits are limited to \$1,250 per year for diagnostic procedures and non-surgical treatment.	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
26. Transplant Services	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
27. Urgent Care	90% after Deductible met.	90% after Deductible met.	70% after Deductible met.
28. Vision Care One routine vision exam at a Network provider every 2 years.	90% after Deductible met.	90% after Deductible met.	Not Covered.
29. Prescription Drug Benefits administered by MEDCO Retail Pharmacy -- 30 day supply Mail Order -- up to 90 day supply	Tier 1: \$ 5.00 copay Tier 2: \$25.00 copay Tier 3: \$50.00 copay Tier 1: \$10.00 copay Tier 2: \$50.00 copay Tier 3: \$100.00 copay	Tier 1: \$ 5.00 copay Tier 2: \$25.00 copay Tier 3: \$50.00 copay Tier 1: \$10.00 copay Tier 2: \$50.00 copay Tier 3: \$100.00 copay	
30. Dependent Coverage:	Include employee's spouse; eligible dependent children, stepchildren, foster children, grandchildren (if the parent is an eligible dependent child under the age of 18), adopted children and children placed for adoption as mandated by the State or Federal government. Based on the recent federal health care reform, coverage for dependent children is through the end of the calendar year in which the dependent child or adult child turns 26, without regard to the adult child's school status, marital status or dependent status. There will be state imputed tax only, not federal imputed tax, if the adult child is not an IRS dependent.		

United Healthcare Oral Surgery is limited to the following 13 oral surgical procedures (see #7 above)

- | | |
|--|------------------------------------|
| 1. Surgical removal of bony impacted teeth; | 9. Gingivectomy; |
| 2. Excision of tumors, cysts of the jaws, cheeks, lips, tongue, roof of mouth when such conditions require pathological examination; | 10. Alveolectomy; |
| 3. Surgical procedures required to correct accidental injuries of the jaws, cheeks, lips, tongue, roof and floor of mouth; | 11. Frenectomy; |
| 4. Apicoectomy; | 12. Removal of retained root; |
| 5. Excision of exostosis of jaws and hard palate; | 13. Gingival and Apical curettage. |
| 6. Treatment of fractures of facial bones; | |
| 7. External incisions and drainage of cellulitis; | |
| 8. Incision of accessory sinuses, salivary glands or ducts; | |

2012 Rate Chart For Active Employees

This Chart applies to all Employees whose positions are represented by any of the following units:

**GC Management; DC #48; NMNR; TEAM; Assc of Scient Pers; Assc of Muni Attys;
SNC; Loc 510 IAM; Loc 494 Mach; Loc 75 Plumbers; Loc 195 Bridge Operators;
Loc 139; Loc 61 Sanitation; ALEASP; Police Aides; Loc 494 FEDS**

HEALTH PLAN "EMPLOYEE RATE" INFORMATION

An employee will pay twice per month the rate listed below under "Employee Rate" for the plan selected.

CHART I - 2012 Employee HEALTH PLAN Payroll Contribution.

The "Employee Rate" will be deducted from the employee twice per month from the first and second paycheck.

HEALTH PLAN	¹ UHC CHOICE PLAN			² UHC CHOICE PLUS PLAN (Replaces the City's Basic Plan)		
	UHC CHOICE PLAN	City Share	Employee Rate	UHC CHOICE PLUS PLAN	City Share	Employee Rate
Single	\$ 311.98	\$ 274.54	\$ 37.44	\$ 396.84	\$ 349.22	\$ 47.62
Employee + Dependents	\$ 467.97	\$ 411.81	\$ 56.16	\$ 595.26	\$ 523.83	\$ 71.43
Employee + Spouse	\$ 623.96	\$ 549.08	\$ 74.88	\$ 793.68	\$ 698.44	\$ 95.24
Family	\$ 935.94	\$ 823.63	\$ 112.31	\$ 1,190.51	\$ 1,047.65	\$ 142.86

¹This is the HMO equivalent.

²This is the Basic Plan equivalent.

CHART II - 2012 Employee DENTAL PLAN Payroll Contribution.

The "Employee Rate" will be deducted from the employee twice per month from the first and second paycheck.

DENTAL PLAN	SINGLE PREMIUM	City Share	Single Employee Rate	FAMILY PREMIUM	City Share	Family Employee Rate
WPS/Delta Dental	\$ 12.48	\$ 6.50	\$ 5.98	\$ 43.10	\$ 18.75	\$ 24.35
Care-Plus	\$ 21.93	\$ 6.50	\$ 15.43	\$ 64.63	\$ 18.75	\$ 45.88
DentalBlue	\$ 23.63	\$ 6.50	\$ 17.13	\$ 70.89	\$ 18.75	\$ 52.14

DISCLAIMER: The benefit design and rate equivalents are subject to change by Common Council action.

NOTE: All rates/premiums and Employee Share amounts shown on this chart are shown as semi-monthly (twice per month) figures to reflect the fact that employee payroll deductions will take place twice per month, on the first and second paychecks of each month. Multiply the Rates/Premiums and Employee Share amounts by two (2) to calculate the full amounts..

PW FILE NUMBER: 110874

[illegible]



Legislation Details (With Text)

File #: 110881 **Version:** 0

Type: Communication **Status:** In Committee

File created: 10/11/2011 **In control:** FINANCE & PERSONNEL COMMITTEE

On agenda: **Final action:**

Effective date:

Title: Communication from the Budget & Management Division pertaining to potential uses of the Compensation and Fringe benefit reserve special purpose account.

Sponsors: THE CHAIR

Indexes: BUDGET AND MANAGEMENT DIVISION

Attachments: Hearing Notice List

Date	Ver.	Action By	Action	Result	Tally
10/11/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number
110881
Version
ORIGINAL
Reference

Sponsor
THE CHAIR
Title

Communication from the Budget & Management Division pertaining to potential uses of the Compensation and Fringe benefit reserve special purpose account.

Requestor

Drafter
Budget & Mgt.
mn
10/13/11

DRAFT WORKING PAPERS & DRAFT PRELIMINARY COMPUTATIONS

draft options for 2012 general city wage adjustments

OPTION 1

Item	Cost	2013 c-to-c impact	Comments
Base building across the board 2.6% increase	\$4,123,604	\$4,123,604	annual basis
Non-base building lump sum 1%	\$1,586,002	0	~ \$530 per employee based on 3002 O&M GC FTE
eliminate all unpaid furlough days	\$2,440,000	\$2,440,000	
Total	\$8,149,606	\$6,563,604	allows \$1.6 m to be reallocated in 2013

OPTION 2

Item	Cost	2013 c-to-c impact	Comments
Base building across the board 2.6% increase	\$4,123,604	\$4,123,604	annual basis
Non-base building across the board 1.0% increase	\$1,586,002	0	treated as an across-the-board adjustment
eliminate all unpaid furlough days	\$2,440,000	\$2,440,000	
Total	\$8,149,606	\$6,563,604	allows \$1.6 m to be reallocated in 2013

Notes:

Estimate is based on 3002 general city O&M employees. Enterprise and capital costs are not included.

Reply to Common Council File No. 110881
From DOA-Budget and Management Division

October 25, 2011

Ref: BF12

File number 110881 contains a communication from the Budget and Management Division pertaining to potential uses of the Compensation and Fringe Benefit Reserve special purpose account.

The 2012 Proposed Executive Budget includes a Special Purpose Account titled "Compensation and Fringe Benefit Reserve" with a funding level of \$8.2 million. This account is intended to provide funding needed for the Annuity (employer-paid member) Contribution if it is determined that the city is legally obligated to continue to pay the entire 5.5% member contribution for general city employees hired prior to January 1, 2010. On the other hand, if it is determined that all general city employees, regardless of hiring date, may legally be required to pay their member contribution, this fund can be used for compensation and benefit changes for general city employees. In order to pursue this alternative, the Common Council would need to enact a Charter ordinance change to amend Chapter 36 regarding member contributions for those employees hired prior to January 1, 2010.

This communication presents two possible approaches to adjusting general city compensation for 2012, in the event that all general city employees pay the 5.5% member contribution. Both approaches provide for the elimination of unpaid mandatory furlough days in 2012, at an estimated cost of approximately \$2.44 million. Both options also include a 2.6% across the board "base building" increase for 2012.

The primary difference between the two approaches is a "non-base building" component, which only applies to 2012 and does not automatically carry forward into 2013. Under Option A, this amount would be distributed as an identical lump sum amount to all general city employees. Therefore, relatively lower-paid employees would have a proportionately larger wage improvement from this component. Under Option B, the non-base building component is distributed in a traditional "across the board" fashion.

It is possible to develop other approaches. Improvements to general city wages and the reduction or elimination of furloughs could only be funded from this special purpose account in the event that the Common Council enacts a Charter ordinance change to provide that all general city employees pay the 5.5% member contribution.

Under both approaches the 2013 cost-to-continue these adjustments, including the complete elimination of furloughs, is an estimated \$6,563,000, or approximately 80% of the total 2012 fiscal impact. The remaining \$1,586,000 of the estimated 2012 fiscal impact (20% of the total) relates to non-base building increases. These amounts could be reallocated in the 2013 budget, including to a base-building increase of approximately 1% to occur for 2013.

RECOMMENDATION: HOLD FILE NUMBER 110881 TO THE CALL OF THE CHAIR



Mark Nicolini
Budget and Management Director

MN: dmr
FINANCE:110881.sr

PW FILE NUMBER: 110881

[illegible]



Legislation Details (With Text)

File #: 110479 **Version:** 0

Type: Resolution **Status:** In Committee

File created: 7/26/2011 **In control:** FINANCE & PERSONNEL COMMITTEE

On agenda: **Final action:**

Effective date:

Title: Resolution authorizing a contingent fund appropriation and expenditure of funds in an amount not to exceed \$100,000 to pay a special assessment for interest on an outstanding loan to the federal unemployment trust fund.

Sponsors: THE CHAIR

Indexes: CONTINGENT FUND, SPECIAL ASSESSMENTS

Attachments: Hearing Notice List

Date	Ver.	Action By	Action	Result	Tally
7/26/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number
110479
Version
ORIGINAL
Reference

Sponsor
THE CHAIR
Title

Resolution authorizing a contingent fund appropriation and expenditure of funds in an amount not to exceed \$100,000 to pay a special assessment for interest on an outstanding loan to the federal unemployment trust fund.

Requestor

Drafter
CC-CC
jro
7/28/11

..Number
110479
.. Version
Substitute 1
.. Reference

..Sponsor
The Chair
..Title

Substitute resolution transferring up to \$100,000 from the 2011 Common Council Contingent Fund to the Unemployment Compensation Special Purpose Account.

..Analysis
This resolution transfers up to \$100,000 from the 2011 Common Council Contingent Fund to the Department of Employee Relations – Unemployment Compensation Special Purpose Account to cover a projected shortfall created by a one-time special assessment for interest on outstanding federal loans for Wisconsin’s Unemployment Fund.

..Body
Whereas, The Department of Employee Relations – Unemployment Compensation Special Purpose Account was funded in 2011 at \$1,065,000; and

Whereas, \$1,005,465 of the \$1,065,000 budget has already been expended; and

Whereas, The Department of Employee Relations and the Budget Office agree that the projected expenditures in 2011 for Unemployment Compensation and the Special Assessment will be at least \$1,165,000, and

Whereas, These expenditures are non-discretionary; now, therefore, be it

Resolved, By the Common Council of the City of Milwaukee, that an amount not to exceed \$100,000 be transferred from the Common Council Contingent Fund (001-9990-001-D001-006300-2011) to the Unemployment Compensation Special Purpose Account (006100-0001-1650-0001-S172-2011); and, be it

Further Resolved, That the appropriate City officials are authorized and directed to take whatever steps are necessary to fulfill the intent of this resolution.

..Requestor
DER
..Drafter
Edwin Reyes-CAO

FINANCE & PERSONNEL COMMITTEE
CONTINGENT FUND REQUEST INFORMATION FORM

DEPT.: EMPLOYEE RELATIONS CONTACT PERSON & PHONE NO.: EDWIN REYES/2988

A. REASON FOR REQUEST (Refer to File 921360 for definitions)

CHECK ONE: ☐ EMERGENCY CIRCUMSTANCES
☒ OBLIGATORY CIRCUMSTANCES
☐ FISCAL ADVANTAGE/COMPLIANCE WITH FISCAL MANAGEMENT PRINCIPALS

B. SUPPORTING INFORMATION

1. State the action requested, including the dollar amount and specific departmental accounts(s) to which the Contingent Fund appropriation would be made.
Request transfer of up to \$100,000 from the 2011 Common Council Contingent Fund to the Unemployment Compensation Special Purpose Account, 006100 0001 1650 0001 S172 2011.
2. State the purpose of the action requested which includes the program, service or activity to be supported by the funding, as well as the objective(s) to be accomplished.

Requested Funding is intended to help pay a one time special assessment fee of \$149,558.26 from the State of Wisconsin.

3. Describe the circumstances which prompt the request.

The one-time special assessment fee is the result of interest fees that were incurred when Wisconsin's Unemployment Fund exhausted its reserves and had to borrow from the Federal Unemployment Trust Fund to continue paying Unemployment Compensation benefits. State law requires Wisconsin to assess employers separately for the payment of interest due on these loans. The fee amount is based on the employer's taxable payroll for 2010.

Due to the unexpected special assessment fee, expenses for unemployment compensation SPA for the first nine months of 2011 have exceeded 94% of \$1,065,000 budgeted.

4. What are the consequences of not providing the program, service, or activity which is funded by this request?
This is a non-discretionary expense.
5. Explain why funds authorized in the Budget are insufficient to provide for the program, service, or activity in question.

Prior to receiving the special assessment fee, expenditures for the Unemployment Compensation Special Purpose account were expected to be under budget for 2011. The anticipated excess funds are being used to offset a portion of the special assessment.

- 5a. Are there any unexpended funds in the departmental control account for which this appropriation is requested, that could be used to fund this request?

All excess funds will be used to offset a portion (\$40,000-\$50,000) of the special assessment.

- 5b. What are the consequences of using budgeted operating funds for this request?

There are insufficient budgeted operating funds for this purpose.

6. State why funding was not included in the Budget.

The State of Wisconsin assessed a one time special assessment to the account of \$149,558 for 2011. The City was not aware that this assessment was going to take place and was not included in the funding request.

7. Will the conditions prompting the request be limited to the current year, or will they continue into the following year?

We understand from the State that this is one-time special assessment that is unlikely to be repeated.

8. Has your department made a similar Contingent Fund request in previous years? ☒ YES ☐ NO

*If yes, what is the most recent year the request was made? 2006

9. Will this funding be used to implement provisions of a collective bargaining agreement? ☐ YES ☒ NO

10. Will the funding being requested provide a level of service authorized by the Budget? ☐ YES ☒ NO

*If yes, why can't your department accomplish the authorized service level with the authorized funding level?

11. Will the requested funding provide a level of service higher than that authorized by the Budget? ☐ YES ☒ NO

*If yes, why is a higher service level necessary?

*What is the estimated amount of additional service units to be provided if the entire Contingent Fund request is approved?

12. What performance measures and sub-measures are affected by this request, and what are the anticipated changes if the entire Contingent Fund request is approved?

No changes are anticipated.

13. What reductions to performance measures are expected if the request is not approved?
No reductions are anticipated if request is not approved as these are non-discretionary expenses.

14. Is any grant funding associated with the program service, or activity pertaining to the request? ☐ YES ☒ NO

*If yes, name the grant and current year amount.

15. Will the program, service, or activity affect any electronic data processing system? ☐ YES ☒ NO

The following questions only apply to Contingent Fund requests which transfer appropriations into capital purpose accounts:

16. Does this request transfer an appropriation into a capital purpose subaccount? ☐ YES ☐ NO

*If yes, are similar projects planned and funding available in a capital purpose (parent) account for the current year?

17. Why is the project for which Contingent Funds are requested more important than other similar projects?

18. Does this request fund a project outside the normal order of planned projects of a kind which are funded through a capital purpose (parent) account for the current year? ☐ YES ☐ NO

*If yes, what is the consequence of deferring the lowest priority planned project until next year?

19. Was this project included in the Department's Budget request? ☐ YES ☐ NO

*If not, why not?

C. THANK YOU FOR YOUR COOPERATION. PLEASE SEND COPIES OF YOUR RESPONSE TO:

Staff Assistant, Finance & Personnel Committee, Room 205, City Hall (6 COPIES)
Special Assistant, Finance & Personnel Committee, Room 205, City Hall (1 COPY)
Fiscal Research Manager, LRB-Common Council, Room B-11, City Hall (2 COPIES)
Budget & Management Director, DOA, Room 307, City Hall (2 COPIES)

**If you have any questions about the completion of this form, you may call the
Fiscal Research Manager at extension 8686.**

Reply to Common Council File No. 110479
From DOA-Budget and Management Division

October 24, 2011

Ref: 11007

Common Council File No. 110479 is a resolution appropriating up to \$100,000 from the 2011 Common Council Contingent Fund to the Unemployment Compensation Special Purpose Account.

This account, funded at \$1,065,000 for 2011 is being charged a one time, unanticipated special assessment fee of \$149,558 for interest on outstanding federal loans. The assessment is the result of interest fees that were incurred when Wisconsin's Unemployment Fund exhausted its reserves and had to borrow from the Federal Unemployment Trust Fund to continue paying benefits. Federal law requires states to pay interest on these loans. While the interest was waived for states in 2009 and 2010, it was not waived in 2011. State law requires Wisconsin to assess employers separately for the payment of interest due on these loans based on the employer's taxable payroll.

The special assessment fee along with current expenditures for the Unemployment Special Purpose Account will cause a budget shortfall of approximately \$100,000. The transfer will allow the account to meet remaining obligations and make year end payments.

RECOMMENDATION: ADOPT COMMON COUNCIL RESOLUTION 110479 THAT AUTHORIZES AN APPROPRIATION NOT TO EXCEED \$100,000 FROM THE 2011 COMMON COUNCIL CONTINGENT FUND TO THE UNEMPLOYMENT COMPENSATION SPECIAL PURPOSE ACCOUNT.



Renee Joos
Budget and Management Special Assistant

RJ:dmr

FINANCE: 110479sr.doc



City of Milwaukee Fiscal Impact Statement

A

Date 10/29/2011 File Number 110479 ☒ Original ☐ Substitute
Subject Contingent Fund Transfer to the Unemployment Compensation Special Purpose Account

B

Submitted By (Name/Title/Dept./Ext.) Maria Monteagudo/Director/Employee Relations/3335

C

- This File
- ☒ Increases or decreases previously authorized expenditures.
 - ☐ Suspends expenditure authority.
 - ☐ Increases or decreases city services.
 - ☐ Authorizes a department to administer a program affecting the city's fiscal liability.
 - ☐ Increases or decreases revenue.
 - ☐ Requests an amendment to the salary or positions ordinance.
 - ☐ Authorizes borrowing and related debt service.
 - ☐ Authorizes contingent borrowing (authority only).
 - ☐ Authorizes the expenditure of funds not authorized in adopted City Budget.

D

- Charge To
- | | |
|--|---|
| <input type="checkbox"/> Department Account | <input checked="" type="checkbox"/> Contingent Fund |
| <input type="checkbox"/> Capital Projects Fund | <input type="checkbox"/> Special Purpose Accounts |
| <input type="checkbox"/> Debt Service | <input type="checkbox"/> Grant & Aid Accounts |
| <input type="checkbox"/> Other (Specify) _____ | |

E

Purpose	Specify Type/Use	Expenditure	Revenue
Salaries/Wages		\$0.00	\$0.00
		\$0.00	\$0.00
Supplies/Materials		\$0.00	\$0.00
		\$0.00	\$0.00
Equipment		\$0.00	\$0.00
		\$0.00	\$0.00
Services		\$0.00	\$0.00
		\$0.00	\$0.00
Other	Unemployment Compensation	\$100,000.00	\$0.00
		\$0.00	\$0.00
TOTALS		\$100,000.00	\$ 0.00

F

Assumptions used in arriving at fiscal estimate. _____

G

For expenditures and revenues which will occur on an annual basis over several years check the appropriate box below and then list each item and dollar amount separately.

☐ 1-3 Years ☐ 3-5 Years☐ 1-3 Years ☐ 3-5 Years☐ 1-3 Years ☐ 3-5 Years**H**

List any costs not included in Sections D and E above. _____

I

Additional information. _____

JThis Note ☐ Was requested by committee chair.

PW FILE NUMBER: 110479

[illegible]



Legislation Details (With Text)

File #: 110792 **Version:** 0

Type: Resolution **Status:** In Committee

File created: 10/11/2011 **In control:** FINANCE & PERSONNEL COMMITTEE

On agenda: **Final action:**

Effective date:

Title: Resolution reserving up to \$400,000 from the 2011 Common Council Contingent Fund for expenditures relating to land management of city-owned property.

Sponsors: THE CHAIR

Indexes: CITY PROPERTY, CONTINGENT FUND

Attachments: Fiscal Impact Statement, Hearing Notice List

Date	Ver.	Action By	Action	Result	Tally
10/11/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number
110792
Version
ORIGINAL
Reference

Sponsor
THE CHAIR

Title
Resolution reserving up to \$400,000 from the 2011 Common Council Contingent Fund for expenditures relating to land management of city-owned property.

Body
Whereas, The Department of City Development is responsible for maintaining city owned property;
and

Whereas, The number of properties the department maintains has dramatically increased and is currently estimated to be over 700 properties by year end; now, therefor, be it

Resolved, By the Common Council of the City of Milwaukee that up to \$400,000 from the Common Council Contingent Fund (#0001-9990-C001-006300) (2011) be reserved to the Special Purpose Account - Land Management (#0001-1910-S209-006300) (2011).

Requestor
Department of Administration

Budget and Management Division

Drafter

Ref: 11009 DY:dmr

September 30, 2011

Finance: CCCF - Land Management 9-2011



City of Milwaukee Fiscal Impact Statement

A	Date	9/30/2011	File Number		<input checked="" type="checkbox"/> Original	<input type="checkbox"/> Substitute
	Subject	Resolution reserving up to \$400,000 from the 2011 Common Council Contingent Fund for expenditures relating land management of City owned property.				

B	Submitted By (Name/Title/Dept./Ext.)	Dennis Yaccarino/Budget and Policy Manager Sr./DOA/8552
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C	This File	<input checked="" type="checkbox"/> Increases or decreases previously authorized expenditures. <input type="checkbox"/> Suspends expenditure authority. <input type="checkbox"/> Increases or decreases city services. <input type="checkbox"/> Authorizes a department to administer a program affecting the city's fiscal liability. <input type="checkbox"/> Increases or decreases revenue. <input type="checkbox"/> Requests an amendment to the salary or positions ordinance. <input type="checkbox"/> Authorizes borrowing and related debt service. <input type="checkbox"/> Authorizes contingent borrowing (authority only). <input type="checkbox"/> Authorizes the expenditure of funds not authorized in adopted City Budget.
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D	Charge To	<input type="checkbox"/> Department Account <input type="checkbox"/> Capital Projects Fund <input type="checkbox"/> Debt Service <input type="checkbox"/> Other (Specify) _____	<input type="checkbox"/> Contingent Fund <input checked="" type="checkbox"/> Special Purpose Accounts <input type="checkbox"/> Grant & Aid Accounts
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E	Purpose	Specify Type/Use	Expenditure	Revenue
	Salaries/Wages		\$0.00	\$0.00
			\$0.00	\$0.00
	Supplies/Materials		\$0.00	\$0.00
			\$0.00	\$0.00
	Equipment		\$0.00	\$0.00
			\$0.00	\$0.00
	Services		\$0.00	\$0.00
			\$0.00	\$0.00
	Other	Land Management	\$400,000.00	\$0.00
			\$0.00	\$0.00
	TOTALS		\$400,000.00	\$ 0.00

F

Assumptions used in arriving at fiscal estimate. _____

G

For expenditures and revenues which will occur on an annual basis over several years check the appropriate box below and then list each item and dollar amount separately.

☐ 1-3 Years ☐ 3-5 Years☐ 1-3 Years ☐ 3-5 Years☐ 1-3 Years ☐ 3-5 Years**H**

List any costs not included in Sections D and E above. _____

I

Additional information. _____

JThis Note ☐ Was requested by committee chair.

PW FILE NUMBER: 110792

[illegible]



Legislation Details (With Text)

File #: 110820 **Version:** 1

Type: Resolution **Status:** In Committee

File created: 10/11/2011 **In control:** FINANCE & PERSONNEL COMMITTEE

On agenda: **Final action:**

Effective date:

Title: Substitute resolution authorizing up to \$659,000 of contingent borrowing for the Villard Square Library capital project.

Sponsors: THE CHAIR

Indexes: CONTINGENT FUND, PUBLIC LIBRARY

Attachments: Cover Letter, Contingent Fund Request Information Form, Response from Budget and Management Division, Fiscal Impact Statement, Hearing Notice List

Date	Ver.	Action By	Action	Result	Tally
10/11/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/25/2011	1	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	1	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number
110820

Version
Substitute 1

Reference

Sponsor
The Chair

Title
Substitute resolution authorizing up to \$659,000 of contingent borrowing for the Villard Square Library capital project.

Analysis
This resolution authorizes up to \$659,000 of contingent borrowing for the Villard Square Library capital project. The Library anticipates receiving \$659,000 in New Market Tax Credit funding to help defray the costs of developing the Villard Square Library capital project. A delay in receipt of the funding requires contingent borrowing authority to complete the expenditures for the Villard Square Library project. When the New Market Tax Credit funding is received, these funds shall be deposited as revenues in the debt service fund.

Body
Whereas, Common Council Resolution Number 081373, adopted on February 10, 2009, authorized the sale of a city owned lot to Villard Square, LLC, for a mixed-use project with affordable housing and a public library,

and Common Council File Number 090214, adopted on July 28, 2009, authorized the first amendment to the detailed planned development known as Villard Square, for a library and a 47-unit housing development; and

Whereas, Common Council Resolution Number 091666, adopted on May 4, 2010, approved acquisition of the library condominium unit of the Villard Square Library capital project which authorized city officials to execute a cooperation agreement or other agreements with the Redevelopment Authority of the City of Milwaukee to provide funding for the library improvements; and

Whereas, An agreement between the Redevelopment Authority and the Milwaukee Public Library will provide New Market Tax Credits for the library component of the project; and

Whereas, The Library anticipates receiving \$659,000 in New Market Tax Credits to help defray the city's costs of acquiring and developing the Villard Square Library capital project but this funding will not be received until after completion of the project; and

Whereas, The 2011 Budget includes \$150,000,000, of contingent borrowing for purposes not contemplated at the time of the adoption of the budget; and

Whereas, The Common Council desires to use up to \$659,000 of contingent borrowing for library purposes to complete the Villard Square Library capital project; now, therefore, be it

Resolved, By the Common Council of the City of Milwaukee, that up to \$659,000 of contingent borrowing is authorized for library purposes for the Villard Square Library capital project; and, be it

Further Resolved, That the borrowing may be issued as notes as provided for by Common Council Resolution Number 100974, adopted December 21, 2010; and, be it

Further Resolved, That when the New Market Tax Credit funding for the Villard Square Library capital project is received, these funds shall be deposited as revenues in the debt service fund; and, be it

Further Resolved, That the proper city officials are authorized to establish the appropriate accounts or funds to expend for these funds.

Requestor
Budget and Management Division
Draftor
EP:dmr
Common/Library/Villard/2011/VillardNMTCdraftres.rft



Department of Administration
Budget and Management

Tom Barrett
Mayor

Sharon Robinson
Administration Director

Mark Nicolini
Budget and Management Director

October 3, 2011

Ref: 11024

Common Council
City of Milwaukee

Subject: Introduction of Resolution Authorizing Contingent Borrowing for the Villard
Square Library Capital Project

Dear Honorable Members:

We are submitting the attached resolution for introduction at the October 11, 2011, Common Council meeting. We are requesting this file to be introduced by title at this time and will provide the detailed resolution and fiscal note for this purpose at a later date.

Respectfully submitted,

Mark Nicolini
Budget and Management Director

ECP:dmr
Attachment

Finance/Resolutions/VillardNMTC TitleOnlyLtr.doc

FINANCE & PERSONNEL COMMITTEE
CONTINGENT FUND REQUEST INFORMATION FORM

DEPT.: Milwaukee Public Library CONTACT PERSON & PHONE NO.: Taj Schoening, ext. 3024

A. REASON FOR REQUEST (Refer to File 921360 for definitions)

CHECK ONE: ☐ EMERGENCY CIRCUMSTANCES
☐ OBLIGATORY CIRCUMSTANCES
☒ FISCAL ADVANTAGE/COMPLIANCE WITH FISCAL MANAGEMENT PRINCIPALS

B. SUPPORTING INFORMATION

1. State the action requested, including the dollar amount and specific departmental accounts(s) to which the Contingent Fund appropriation would be made.

This resolution authorizes \$659,000 in Contingent Borrowing authority that will increase the capital account LB145090100 to allow the Library to expend these funds to complete construction of the Villard Square Library project. When the New Market Tax Credit funding is received, these funds will be deposited as revenues in the Debt Service fund to offset capital or borrowing costs.

2. State the purpose of the action requested which includes the program, service or activity to be supported by the funding, as well as the objective(s) to be accomplished.

The contingent borrowing authority is necessary to enable the Library to complete construction of the Villard Square Library project.

3. Describe the circumstances which prompt the request.

The Library anticipated receiving New Market Tax Credits earlier in 2011 as part of the funding needed to complete construction of the Villard Square Library. A delay in receipt of the Tax Credits required provision of contingent borrowing authority to the Library so that the project can be completed. Once the Tax Credits are received, they will offset the costs of contingent borrowing.

4. What are the consequences of not providing the program, service, or activity which is funded by this request?

The funding is needed to complete the Villard Square Library project.

5. Explain why funds authorized in the Budget are insufficient to provide for the program, service, or activity in question.

The New Market Tax Credit funding is additional funding that is not part of the city funds authorized in the Budget.

- 5a. Are there any unexpended funds in the departmental control account for which this appropriation is requested, that could be used to fund this request?

No.

- 5b. What are the consequences of using budgeted operating funds for this request?

These are capital construction costs. The operating budget does not include any funding for this project.

6. State why funding was not included in the Budget.

The New Market Tax Credit funding is not part of the Budget. This an additional funding source external to City Budget appropriations.

7. Will the conditions prompting the request be limited to the current year, or will they continue into the following year?

They are limited to 2011 because the project will be completed in 2011.

8. Has your department made a similar Contingent Fund request in previous years? ☐ YES ☒ NO

*If yes, what is the most recent year the request was made?

9. Will this funding be used to implement provisions of a collective bargaining agreement? ☐ YES ☒ NO

10. Will the funding being requested provide a level of service authorized by the Budget? ☒ YES ☐ NO

*If yes, why can't your department accomplish the authorized service level with the authorized funding level?

Authorized funding did not include the additional funding to be provided by the New Market Tax Credits.

11. Will the requested funding provide a level of service higher than that authorized by the Budget? ☐ YES ☒ NO

*If yes, why is a higher service level necessary?

*What is the estimated amount of additional service units to be provided if the entire Contingent Fund request is approved?

12. What performance measures and sub-measures are affected by this request, and what are the anticipated changes if the entire Contingent Fund request is approved?

Not applicable. The funding will enable an authorized capital project to be completed.

13. What reductions to performance measures are expected if the request is not approved?

Not applicable. The funding will enable an authorized capital project to be completed.

14. Is any grant funding associated with the program service, or activity pertaining to the request? ☐ YES ☒ NO

*If yes, name the grant and current year amount.

15. Will the program, service, or activity affect any electronic data processing system? ☐ YES ☒ NO

The following questions only apply to Contingent Fund requests which transfer appropriations into capital purpose accounts:

16. Does this request transfer an appropriation into a capital purpose subaccount? ☒ YES ☐ NO

*If yes, are similar projects planned and funding available in a capital purpose (parent) account for the current year?

No.

17. Why is the project for which Contingent Funds are requested more important than other similar projects?

Construction is in progress.

18. Does this request fund a project outside the normal order of planned projects of a kind which are funded through a capital purpose (parent) account for the current year? ☐ YES ☒ NO

*If yes, what is the consequence of deferring the lowest priority planned project until next year?

19. Was this project included in the Department's Budget request? ☒ YES ☐ NO

This project was included and approved in the 2009 and 2010 adopted City budgets.

*If not, why not?

C. THANK YOU FOR YOUR COOPERATION. PLEASE SEND A COPY OF YOUR RESPONSE TO:

Staff Assistant, Finance & Personnel Committee, Room 205, City Hall
Manager, Legislative Reference Bureau, Room 307, City Hall
Budget & Management Director, DOA, Room 603, City Hall

**If you have any questions about the completion of this form, you may call the
Director of the Legislative Reference Bureau (x2267)**

Reply to Common Council File No. 110820
From DOA-Budget and Management Division

October 21, 2011

Ref: 11024

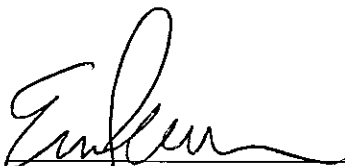
Common Council File No. 110820 is a resolution authorizing up to \$659,000 of contingent borrowing for the Villard Square Library capital project.

The Common Council authorized the Villard Square Library project in resolutions 081371, 090214, and 091666. These resolutions authorized an agreement between the Redevelopment Authority of the City of Milwaukee and the Milwaukee Public Library that will provide New Market Tax Credits for the Library project. The Library anticipated receiving \$659,000 in New Market Tax Credits to help defray the cost of acquiring and developing the Villard Square Library capital project.

The Library anticipated receiving New Market Tax Credits earlier in 2011 as part of the funding needed to complete construction of the Villard Square Library. A delay in receipt of the Tax Credits requires provision of contingent borrowing authority to the Library so that the project can be completed. Once the Tax Credits are received, they will offset the costs of contingent borrowing. The contingent borrowing authority is necessary to enable the Library to complete construction of the Villard Square Library project.

This resolution authorizes \$659,000 in Contingent Borrowing authority that will increase the capital account LB145090100 to allow the Library to expend these funds to complete construction of the Villard Square Library project. When the New Market Tax Credit funding is received, these funds will be deposited as revenues in the Debt Service fund to offset capital or borrowing costs.

**RECOMMENDATION: ADOPT COMMON COUNCIL FILE NUMBER
110820**



Eric C. Pearson
Budget and Policy Manager

EP:dmr

FINANCE: 110820sr.doc



City of Milwaukee Fiscal Impact Statement

A	Date	10/4/2011	File Number		<input checked="" type="checkbox"/> Original	<input type="checkbox"/> Substitute
	Subject	Resolution authorizing up to \$659,000 of contingent borrowing for the Villard Square Library capital project.				

B	Submitted By (Name/Title/Dept./Ext.)	Eric Pearson, Budget & Policy Manager, Department of Administration, x8554
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C	This File	<input checked="" type="checkbox"/> Increases or decreases previously authorized expenditures. <input type="checkbox"/> Suspends expenditure authority. <input type="checkbox"/> Increases or decreases city services. <input type="checkbox"/> Authorizes a department to administer a program affecting the city's fiscal liability. <input checked="" type="checkbox"/> Increases or decreases revenue. <input type="checkbox"/> Requests an amendment to the salary or positions ordinance. <input checked="" type="checkbox"/> Authorizes borrowing and related debt service. <input checked="" type="checkbox"/> Authorizes contingent borrowing (authority only). <input checked="" type="checkbox"/> Authorizes the expenditure of funds not authorized in adopted City Budget.
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D	Charge To	<input type="checkbox"/> Department Account <input checked="" type="checkbox"/> Capital Projects Fund <input checked="" type="checkbox"/> Debt Service <input checked="" type="checkbox"/> Other (Specify) <u>contingent borrowing</u>	<input type="checkbox"/> Contingent Fund <input type="checkbox"/> Special Purpose Accounts <input type="checkbox"/> Grant & Aid Accounts
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E	Purpose	Specify Type/Use	Expenditure	Revenue
	Salaries/Wages		\$0.00	\$0.00
			\$0.00	\$0.00
	Supplies/Materials		\$0.00	\$0.00
			\$0.00	\$0.00
	Equipment		\$0.00	\$0.00
			\$0.00	\$0.00
	Services		\$0.00	\$0.00
			\$0.00	\$0.00
	Other	Neighborhood Library Improvements-Villard Library project (capital account)	\$659,000.00	\$0.00
		Authorized Borrowing	\$0.00	\$659,000.00
	TOTALS		\$659,000.00	\$659,000.00

F

Assumptions used in arriving at fiscal estimate. _____

G

For expenditures and revenues which will occur on an annual basis over several years check the appropriate box below and then list each item and dollar amount separately.

☐ 1-3 Years ☐ 3-5 Years☐ 1-3 Years ☐ 3-5 Years☐ 1-3 Years ☐ 3-5 Years**H**

List any costs not included in Sections D and E above. Contingent Borrowing via Notes Resolution will result in future years' debt service requirements

I

Additional information.

The Milwaukee Public Library will receive New Market Tax Credit funding of \$659,0000 for the Villard Square Public Library project. This funding will be received later than initially anticipated. This requires additional capital expenditure authority to be provided to the Library so that it can complete construction of the Villard Library. Once the New Market Tax Credit funds are received, the funds will be deposited into the Debt Service fund to offset any capital or borrowing costs.

J

This Note ☐ Was requested by committee chair.

PW FILE NUMBER: 110820

[illegible]



Legislation Details (With Text)

File #:	110717	Version:	0
Type:	Resolution	Status:	In Committee
File created:	10/11/2011	In control:	FINANCE & PERSONNEL COMMITTEE
On agenda:		Final action:	
Effective date:			
Title:	Resolution authorizing the sale and issuance of up to \$71,010,000, Sewerage System Second Lien Revenue Bonds.		
Sponsors:	THE CHAIR		
Indexes:	MUNICIPAL BORROWING, REVENUE BONDS, SEWER IMPROVEMENTS		
Attachments:	Cover Letter, Fiscal Impact Statement, Hearing Notice List		

Date	Ver.	Action By	Action	Result	Tally
10/11/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number
110717

Version
Original

Reference

Sponsor
The Chair

Title
Resolution authorizing the sale and issuance of up to \$71,010,000, Sewerage System Second Lien Revenue Bonds.

Sections

Analysis
This resolution authorizes and provides for the sale of Sewerage System Second Lien Revenue Bonds for financing the costs of improvements to the City's Sewerage System. The City will use the Sewerage System Second Lien Revenue Bonds to borrow for Sewer Maintenance Fund purposes from the State of Wisconsin Clean Water Fund program. The Clean Water Fund Program is one of the State's subsidized loan programs included in the Environmental Improvement Fund. The Clean Water Fund Program provides loans to municipalities at subsidized rates for wastewater treatment and urban storm water projects.

Bonds for the Sewerage System have been previously authorized to be issued as General Obligation Debt by

the regular GO Note and Bond resolutions that are adopted each year. If any or all of the debt is able to be issued as Clean Water Fund loans, then a like amount of GO authority will be rescinded, and the that amount of GO debt will not be issued.

Body

Whereas, The City of Milwaukee, Wisconsin (the “City”) owns and operates a sewerage system (the “System”) which is operated for a public purpose as a public utility by the City; and

Whereas, Certain improvements to the System are necessary to meet the needs of the City and the residents thereof, consisting of the construction of one or more capital improvements of the System, each constituting a “Project” eligible for funding under the Clean Water Fund Program administered by the State of Wisconsin Department of Natural Resources (the “Department of Natural Resources”); and

Whereas, Under the provisions of Chapter 66 of the Wisconsin Statutes any City may, by action of its governing body, provide for purchasing, acquiring, constructing, extending, adding to, improving, operating and managing a public utility from the proceeds of bonds, which bonds are to be payable only from the revenues received from any source by such utility, including all rentals and fees; and

Whereas, On August 2, 2001, the Common Council of the City adopted Common Council File Number 991863 authorizing the issuance of Sewerage System Revenue Bonds (the “First Lien Bonds”), as amended by Common Council File Numbers 021776 and 100863 adopted on April 15, 2003 and November 23, 2010, respectively, and collectively the resolutions constitute the Sewerage System Revenue Bonds Bond Resolution of the City (the “Master Resolution”); and

Whereas, As of October 1, 2011 there were outstanding \$62,120,000 aggregate principal amount of Sewerage System Revenue Bonds of the City (the “Outstanding First Lien Bonds”) which are equally and ratably secured under the terms of the Master Resolution; and

Whereas, Pursuant to Section 3.07 of the Master Resolution, the City is authorized and permitted to issue Subordinate Obligations constituting evidences of indebtedness payable out of, and which may be secured by a pledge of, such amounts in the Subordinate Obligation Fund as may from time to time be available for the purpose of payment thereof; and

Whereas, On June 20, 2006, the Common Council of the City adopted Common Council File Number 060091 (the “Second Lien Resolution”) authorizing the issuance of Sewerage System Second Lien Revenue Bonds (the “Second Lien Bonds”); and

Whereas, As of October 1, 2011 there were outstanding \$79,399,207.41 aggregate principal amount of Sewerage System Second Lien Revenue Bonds of the City (the “Outstanding Second Lien Bonds”) which are equally and ratably secured under the terms of the Second Lien Resolution; and

Whereas, Pursuant to Section 12 of the Second Lien Resolution, the City is authorized and permitted to issue Parity Second Lien Obligations constituting evidences of indebtedness payable out of, and which may be secured by a pledge of, such amounts in the State Loan Program Debt Service Account as may from time to time be available for the purpose of payment thereof; and

Whereas, Pursuant to the provisions of Section 66.0621 of the Wisconsin Statutes, the City deems it to be necessary, desirable and in its best interest to authorize and sell Sewerage System Second Lien Revenue Bonds of the City payable solely from available moneys in the Subordinate Obligation Fund maintained under the

Master Resolution to pay the costs of the Projects; and

Whereas, Other than the Outstanding First Lien Bonds and Outstanding Second Lien bonds, no bonds or obligations payable from the revenues of the System are now outstanding; and

Whereas, The City issues general obligation debt, from time to time, in order to provide interim financing for System projects ("Interim Financing"); as of October 1, 2011, there were outstanding \$20,000,000 of Interim Financing outstanding; and the City intends to permanently finance the Interim Financing with bonds issued pursuant to this resolution; now therefore, be it

Resolved, By the Common Council of the City of Milwaukee, Wisconsin, as follows:

1. The detailed resolution appended to this file relating to the authorization and issuance of up to \$ 71,010,000 aggregate principal amount of Sewerage System Second Lien Revenue Bonds of the City of Milwaukee (the "Bonds") for financing capital improvements to the System of the City is hereby approved.
2. Such appended document is considered as a formal part of this resolution.
3. It is hereby delegated to the Commissioners of the Public Debt of the City, subject to the limitations as contained herein, the power with respect to the Bonds to determine and carry out the following: (a) the date or dates, maturity date or dates, principal amount of each maturity, and the amount and date of each Sinking Fund Installment, if any; provided, however, that the Bonds shall mature at any such time or times not exceeding 40 years from the date of issuance; (b) the interest rate or rates, if any, of the Bonds or the manner of determining such rate or rates, the date from which interest on the Bonds shall accrue, the dates on which interest on the Bonds shall be payable, if any; provided, however, that the interest rate for the Bonds maturing in any year shall not exceed 8% per annum; (c) the redemption price or prices, if any, the redemption terms; (d) the provisions for the sale or exchange of the Bonds and for the delivery thereof; (e) directions for the application of the proceeds of the Bonds, including the interest on such Bonds to be capitalized from the proceeds thereof, if any, and the date or dates to which such capitalized interest shall accrue; (f) the form of the Bond or Bonds; and (g) any other provisions that are not in conflict with this resolution. The Commissioners of the Public Debt of the City shall adopt in one or more resolutions actions taken pursuant to the authority granted herein, which resolutions shall be filed with the City Clerk of the City.
4. The Bonds shall be sold at private sale to the State of Wisconsin Clean Water Fund Program, or other similar type program, on such date or dates and at such price or prices, all as shall be determined by the Commissioners of the Public Debt; provided that the Bonds shall be sold at a price not less than 98% of the par value thereof and at a true interest cost rate not in excess of 7% per annum.
5. The Bonds shall be executed in accordance with the provisions of this resolution. An Authorized Officer is hereby authorized to execute and deliver, in the name and on behalf of the City, any and all documents and instruments, with such technical changes as may be recommended by the City Attorney, and to do and cause to be done any and all acts and things, said Authorized Officer deems necessary or advisable in connection with the offering, sale and issuance of the Bonds and to carry out the transactions contemplated by this resolution. For purposes of the Clean Water Fund Program Financial Assistance Application, the Commissioner of Public Works is designated as the Authorized Representative.
6. The interest on the Bonds is intended to be excluded from gross income for purposes of federal income taxation. In order to maintain such exclusion, the City affirms that the tax covenant made in this resolution shall apply to the Bonds. In furtherance of the foregoing, the City shall comply with the provisions of the Tax Certificate executed by an Authorized Officer in connection with the Bonds; the obligation of the City to comply with the provisions herein with respect to the rebate to the Department of the Treasury of the United

comply with the provisions herein with respect to the rebate to the Department of the Treasury of the United States of America relating to the Bonds shall remain in full force and effect so long as the City shall be required by the Code to rebate such earnings on the gross proceeds of the Bonds notwithstanding that the Bonds are no longer outstanding.

7. A Continuing Disclosure Certificate, in substantially the form of a Supplemental Certificate authorized by File Number 100846 adopted on November 23, 2010, is authorized to be executed and delivered by the Comptroller for the Bonds.

8. The City of Milwaukee authorizes and directs the appropriate officers and employees of the City to take all action necessary or appropriate to comply with and carry out all of the provisions of the Continuing Disclosure Certificate as amended from time to time. Notwithstanding any other provision of the resolution, failure of the City to perform in accordance with the Continuing Disclosure Certificate shall not constitute a default under the Resolution and the Continuing Disclosure Certificate may be enforced only as provided therein.

9. Common Council File Number 060091 remains in full force and effect, and debt may be issued pursuant to that resolution up to the amount authorized therein.

10. \$20,000,000 of outstanding General Obligation Commercial Paper Notes, Series 2008 C2 issued for Sewer Maintenance Fund purposes may be refunded by debt issued pursuant to this resolution.

11. That debt issued for Sewer Maintenance Fund purposes, as detailed in Exhibit A of Common Council File Numbers 100974 and 100975, apart from this resolution ("Other SMF Debt") shall not likewise be issued pursuant to this resolution. Provided, however, that debt may be issued pursuant to this resolution in order to refund Other SMF Debt.

Requestor
Comptroller

Drafter
Comptroller
RSL
PD-7862aW.rtf



Office of the Comptroller
September 12, 2011

W. Martin Morics, C.P.A.
Comptroller

Michael J. Daun
Deputy Comptroller

John M. Egan, C.P.A.
Special Deputy Comptroller

Craig D. Kammholz
Special Deputy Comptroller

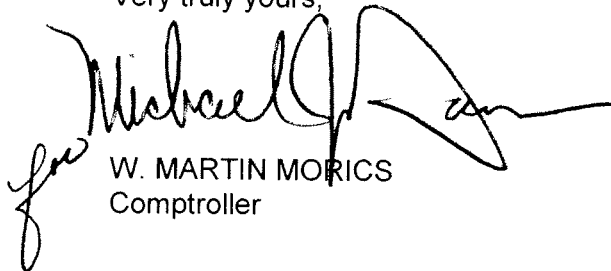
To the Honorable
the Common Council
City of Milwaukee
City Hall - Room 205
Milwaukee, WI 53202

Dear Council Members:

I would like to request the introduction of the enclosed resolutions that relate to the issuance of Sewerage bonds of the City, and the refunding of General Obligation Debt of the City.

These resolutions are typically referred to the Finance and Personnel Committee. If we may be of any additional assistance, please contact Richard Li (x-2319) of my staff.

Very truly yours,


W. MARTIN MORICS
Comptroller

WMM:RL

REF: PD-7862W.DOC



City of Milwaukee Fiscal Impact Statement

A	Date	8/10/2011	File Number		<input checked="" type="checkbox"/> Original	<input type="checkbox"/> Substitute
	Subject	Resolution authorizing the sale and issuance of up to \$71,010,000, Sewerage System Second Lien Revenue Bonds.				

B	Submitted By (Name/Title/Dept./Ext.)	Richard Li, Public Debt Specialist, Comptroller, x2319
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C	This File	<input type="checkbox"/> Increases or decreases previously authorized expenditures.
	<input type="checkbox"/> Suspends expenditure authority.	
	<input type="checkbox"/> Increases or decreases city services.	
	<input checked="" type="checkbox"/> Authorizes a department to administer a program affecting the city's fiscal liability.	
	<input type="checkbox"/> Increases or decreases revenue.	
	<input type="checkbox"/> Requests an amendment to the salary or positions ordinance.	
	<input checked="" type="checkbox"/> Authorizes borrowing and related debt service.	
	<input type="checkbox"/> Authorizes contingent borrowing (authority only).	
	<input type="checkbox"/> Authorizes the expenditure of funds not authorized in adopted City Budget.	

D	Charge To	<input type="checkbox"/> Department Account	<input type="checkbox"/> Contingent Fund
	<input type="checkbox"/> Capital Projects Fund	<input type="checkbox"/> Special Purpose Accounts	
	<input type="checkbox"/> Debt Service	<input type="checkbox"/> Grant & Aid Accounts	
	<input checked="" type="checkbox"/> Other (Specify)	Sewers	

E	Purpose	Specify Type/Use	Expenditure	Revenue
	Salaries/Wages		\$0.00	\$0.00
			\$0.00	\$0.00
	Supplies/Materials		\$0.00	\$0.00
			\$0.00	\$0.00
	Equipment		\$0.00	\$0.00
			\$0.00	\$0.00
	Services		\$0.00	\$0.00
			\$0.00	\$0.00
	Other		\$0.00	\$0.00
			\$0.00	\$0.00
	TOTALS		\$ 0.00	\$ 0.00

F

Assumptions used in arriving at fiscal estimate. \$35,000,000 at 3.0% for 20 years.

G

For expenditures and revenues which will occur on an annual basis over several years check the appropriate box below and then list each item and dollar amount separately.

☒ 1-3 Years ☒ 3-5 Years \$2,350,000 per year for 20 years.

☐ 1-3 Years ☐ 3-5 Years

☐ 1-3 Years ☐ 3-5 Years

H

List any costs not included in Sections D and E above.

I

Additional information.

J

This Note ☐ Was requested by committee chair.

PW FILE NUMBER: 110717

[illegible]



Legislation Details (With Text)

File #: 110718 **Version:** 0
Type: Resolution **Status:** In Committee
File created: 10/11/2011 **In control:** FINANCE & PERSONNEL COMMITTEE
On agenda: **Final action:**
Effective date:
Title: Resolution authorizing the sale and issuance of General Obligation Refunding Notes and Bonds.
Sponsors: THE CHAIR
Indexes: GENERAL OBLIGATION BONDS
Attachments: Cover Letter, Fiscal Impact Statement, Hearing Notice List

Date	Ver.	Action By	Action	Result	Tally
10/11/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number
110718
Version
Original

Reference

Sponsor
THE CHAIR

Title
Resolution authorizing the sale and issuance of General Obligation Refunding Notes and Bonds.

Analysis
Resolution authorizes and requests the Commissioners of the Public Debt to issue and sell at a private or public sale General Obligation Refunding Bonds to refund portions of certain issues. The Commissioners will refund the debt whenever it makes economic sense to do so.

Body
Whereas, the City of Milwaukee (the "City") has issued the following General Obligation bonds (the "Outstanding Bonds"):

Dated Date	Series	Outstanding	1 st Redemption Date
3/15/2002	W	3,400,000	3/15/2012
9/1/2001	Y	18,410,000	9/1/2012

4/3/2003	2003 B1	9,930,000	3/15/2013
10/16/2003	2003 B6	13,615,000	10/1/2013
5/6/2004	2004 B1	24,200,000	2/15/2014

; and

Whereas, The Common Council is interested in refunding a portion of the Outstanding Bonds; and

Whereas, Other general obligation debt of the City is sold from time to time, and it is advantageous to combine the issuance of various general obligation debt into one offering; now, therefore, be it

Resolved, By the Common Council of the City of Milwaukee that it hereby and herewith authorizes the Commission to issue and sell at a private or public sale General Obligation Refunding Bonds and/or Promissory Notes (the “Bonds”) under the provisions of § 67.04(3), § 67.05(15), § 67.08(2), and § 67.12(12), Stats., for the purpose of refunding all or parts of the Outstanding Bonds, subject, however, to the terms and conditions hereinafter enumerated; and be it

Further Resolved, That the Bonds shall;

- (1) be in one or more series of bonds in an aggregate amount not to exceed \$55,480,000;
- (2) bear interest at a maximum coupon rate not to exceed 7.00% per annum;
- (3) have a maximum True Interest Cost Rate (TIC) not to exceed 6.00%;
- (4) be sold at a price of not less than 99% of the principal amount thereof plus accrued interest thereon from their date to the date of delivery and payment therefor; and
- (5) be issued on or before December 31, 2013; and, be it

Further Resolved, That the Outstanding Bonds to be considered for refunding, and if refunded, would be called for redemption on the first available redemption date at a redemption price of par plus accrued interest to the date fixed for redemption, are hereby designated as follows:

Dated Date	Series	Callable Bonds	1 st Redemption Date
3/15/2002	W	1,270,000	3/15/2012
9/1/2001	Y	12,725,000	9/1/2012
4/3/2003	2003 B1	7,195,000	3/15/2013
10/16/2003	2003 B6	9,090,000	10/1/2013
5/6/2004	2004 B1	24,200,000	2/15/2014

; and be it

Further Resolved, That the Commissioners of the Public Debt shall determine the series and maturities of the Outstanding Bonds to be refunded (the “Refunded Bonds”); and be it

Further Resolved, That the Bonds, if sold at a private sale, shall be sold to the Public Debt Amortization Fund,

and/or such underwriter(s) as is (are) selected by the Commissioners of the Public Debt in accordance with a Bond Purchase Agreement approved by the Commissioners of the Public Debt. Such agreement, as required if a private sale is utilized, is hereby approved in the form as executed and delivered by the proper City officers who are hereby authorized and directed to execute the same; and, be it

Further Resolved, That the Bonds shall be designated, be dated, bear interest, payable semi-annually, at coupon rates as determined by the Commissioners of the Public Debt; that the Commissioners of the Public Debt shall specify the due dates for, and the amounts of, the payment of principal of said bonds, not to exceed 20 years, and interest thereon, the redemption provisions thereof, if any, the Bonds to be callable at par with the initial call date to be no later than ten years from the date of the Bonds and that such payment schedule shall be entered upon the permanent record of the Commissioners of the Public Debt and formally communicated to the City Clerk provided, however, that the payment of the Bonds does not extend beyond the period provided in § 67.07, Stats.; and, be it

Further Resolved, That a direct annual irrevocable tax shall be levied in each year that such Bonds are outstanding, in an amount sufficient to pay, and for the express purpose of paying the interest on the Bonds, as it falls due, and also to pay and discharge the principal thereof at maturity, and shall be extended upon the tax roll of the City of Milwaukee and shall be collected by the officers of the City in the same manner and at the same time as taxes for general City purposes for such years are extended and collected, the proceeds of said taxes shall be used solely for paying the principal and interest on the Bonds so long as any Bonds of said issue remain outstanding; and, be it

Further Resolved, That interest on or principal of the Bonds falling due at any time when there shall be on hand insufficient funds from proceeds of the tax levy for the payment of such interest or principal shall be paid promptly when due from other funds of the City, which funds shall be reimbursed thereof out of the proceeds of the taxes above levied when such taxes shall have been collected; and, be it

Further Resolved, For the purpose of assuring the payment of the principal of and interest on the Outstanding Bonds to be refunded, the proper City officials may, and are authorized to, execute an Escrow Agreement with respect to the proceeds of the Bonds. The City Comptroller is hereby authorized and to select an Escrow Agent and a Verification Accountant with respect to the proceeds of the Bonds and the deposit of securities purchased by the City of Milwaukee. The deposit of the proceeds of the Bonds into the Escrow Account to be established with the Escrow Agent is hereby authorized to be accomplished immediately upon receipt of payment for the Bonds at the closing thereof, and the subsequent use, investment and disbursement thereof by the Escrow Agent in the manner provided in an Escrow Agreement is hereby authorized and approved; and, be it

Further Resolved, That the City authorizes the giving of a notice of redemption for each Refunded Bond to be redeemed by mail, postage prepaid, not less than 30 days prior to the date fixed for redemption, to any registered owner of a Refunded Bond being redeemed as of a record date 45 days prior to the redemption date, and otherwise as may be required by any agreement with a securities depository therefor. Such election to redeem, and direction to the Escrow Agent to give such notice, shall be irrevocable upon issuance of the Refunding Bonds; and, be it

Further Resolved, That the Refunding Bonds shall be in a form approved by the City Attorney and the Commissioners of the Public Debt and shall be signed by those officers whose signatures are required by law, and shall be a general obligation of the City; and, be it

Further Resolved, That a global certificate shall be issued for each stated maturity of the Bonds and registered only in the name of Cede & Co. as nominee of The Depository Trust Company, New York, New York, for

delivery and immobilization by The Depository Trust Company following the closing. The Depository Trust Company will act as securities depository for the Bonds. The City will make payment of principal, redemption premium, if any, and interest on the Bonds on the dates set forth herein to The Depository Trust Company, or its nominee, as registered owner of the Bonds, in same-day funds. Notices, if any, given by the City to the registered owner of the Bonds will be given to The Depository Trust Company. In the event that the securities depository relationship with The Depository Trust Company for the Bonds is terminated and the City does not appoint a successor securities depository, the City will prepare, authenticate and deliver at its expense fully-registered certificated Bonds, in the denominations of \$5,000 or any integral multiple thereof, in the aggregate principal amount by maturity then outstanding, as directed by the registered owner of the Bonds; provided however, that should any Bond be sold to the Public Debt Amortization fund, the bond may be registered in the name of the Public Debt Amortization Fund; and, be it

Further Resolved, That the City covenants, for Bonds that are issued on a tax-exempt basis, to take all actions necessary to preserve the exclusion of interest on the Refunding Bonds from gross income for federal income tax purposes. For such purpose, the City shall comply with the requirements of Sections 103 and 141 through 150 of the Internal Revenue Code of 1986 as amended and the regulations of the Internal Revenue Service adopted thereunder or otherwise applicable thereto. No use of the proceeds of the sale of the Bonds shall be made which, if such use had been reasonably expected on the date of issue of the Bonds would have caused such Bonds to be "arbitrage bonds" as defined in Subsection (d)(2) of Section 103 and Section 148 of the U.S. Internal Revenue Code of 1986. The provisions of this section shall be a covenant with the owners of the Bonds issued on a tax-exempt basis; and, be it

Further Resolved, That the City Comptroller is authorized and directed to (i) transfer the balance in the Debt Service Fund for the Refunded Bonds to the Escrow Account to pay the debt service on the Refunded Bonds and (ii) to apply any such balance not needed to pay debt service on the Refunded Bonds to the Escrow Account to pay a portion of the costs of issuance of the Bonds; and, be it

Further Resolved, That the cost of issuing the Bonds, including the costs of the escrow and verification, and marketing same shall be deducted and paid from the proceeds of the Bond sale; and, be it

Further Resolved, That the Continuing Disclosure Certificate, in substantially the form of the Supplemental Certificate authorized by File Number 100846 adopted on November 23, 2010, is authorized to be executed and delivered by the Comptroller for the Bonds; and, be it

Further Resolved, That the City of Milwaukee authorizes and directs the appropriate officers and employees of the City to take all action necessary or appropriate to comply with and carry out all of the provisions of the Continuing Disclosure Certificate as amended from time to time. Notwithstanding any other provision of the resolution, failure of the City to perform in accordance with the Continuing Disclosure Certificate shall not constitute a default under the resolution and the Continuing Disclosure Certificate may be enforced only as provided therein; and, be it

Further Resolved, That the City hereby authorizes and directs the appropriate officers and employees of the City to take all action necessary or appropriate to the issuance, sale and delivery of the Bonds and to comply with and carry out all of the provisions of the Continuing Disclosure Certificate, as amended from time to time. Notwithstanding any other provision of this resolution, failure of the City to perform in accordance with the Continuing Disclosure Certificate shall not constitute a default under this resolution and the Continuing Disclosure Certificate may be enforced only as provided therein; and, be it

Further Resolved, That the Bonds may be sold as bonds or promissory notes, and be sold, with or without a

separate series designation, in combination with any other general obligation debt sold from time to time; and be it

Further Resolved, That up to \$14,000,000 of general obligation commercial paper promissory notes, 2008 Program may be issued, within the program limitations previously authorized, at the discretion of the Comptroller to provide temporary financing pending the issuance of the Bonds. No approval of the Public Debt Commission is required for the issuance of the commercial paper. The amount issued as general obligation commercial paper shall not count against the amount of Bonds authorized to be issued.

Requestor
Comptroller
Drafter
RSL
PD-7862bW.doc



Office of the Comptroller
September 12, 2011

W. Martin Morics, C.P.A.
Comptroller

Michael J. Daun
Deputy Comptroller

John M. Egan, C.P.A.
Special Deputy Comptroller

Craig D. Kammholz
Special Deputy Comptroller

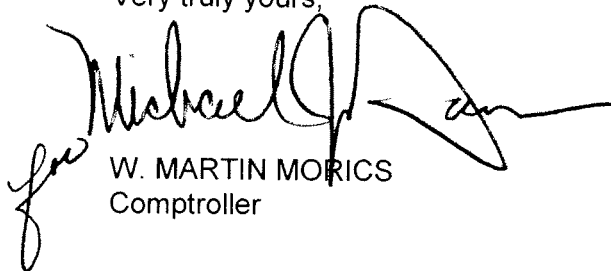
To the Honorable
the Common Council
City of Milwaukee
City Hall - Room 205
Milwaukee, WI 53202

Dear Council Members:

I would like to request the introduction of the enclosed resolutions that relate to the issuance of Sewerage bonds of the City, and the refunding of General Obligation Debt of the City.

These resolutions are typically referred to the Finance and Personnel Committee. If we may be of any additional assistance, please contact Richard Li (x-2319) of my staff.

Very truly yours,


W. MARTIN MORICS
Comptroller

WMM:RL

REF: PD-7862W.DOC



City of Milwaukee Fiscal Impact Statement

A

Date 8/10/2011 File Number _____ ☒ Original ☐ Substitute
Subject Resolution authorizing the Sale and Issuance of General Obligation Refunding Notes and Bonds.

B

Submitted By (Name/Title/Dept./Ext.) Richard Li, Public Debt Specialist, Comptroller, x2319

C

- This File
- ☐ Increases or decreases previously authorized expenditures.
 - ☐ Suspends expenditure authority.
 - ☐ Increases or decreases city services.
 - ☐ Authorizes a department to administer a program affecting the city's fiscal liability.
 - ☐ Increases or decreases revenue.
 - ☐ Requests an amendment to the salary or positions ordinance.
 - ☒ Authorizes borrowing and related debt service.
 - ☐ Authorizes contingent borrowing (authority only).
 - ☐ Authorizes the expenditure of funds not authorized in adopted City Budget.

D

- Charge To
- | | |
|--|---|
| <input type="checkbox"/> Department Account | <input type="checkbox"/> Contingent Fund |
| <input type="checkbox"/> Capital Projects Fund | <input type="checkbox"/> Special Purpose Accounts |
| <input checked="" type="checkbox"/> Debt Service | <input type="checkbox"/> Grant & Aid Accounts |
| <input type="checkbox"/> Other (Specify) _____ | |

E

Purpose	Specify Type/Use	Expenditure	Revenue
Salaries/Wages		\$0.00	\$0.00
		\$0.00	\$0.00
Supplies/Materials		\$0.00	\$0.00
		\$0.00	\$0.00
Equipment		\$0.00	\$0.00
		\$0.00	\$0.00
Services		\$0.00	\$0.00
		\$0.00	\$0.00
Other		\$0.00	\$0.00
		\$0.00	\$0.00
TOTALS		\$ 0.00	\$ 0.00

F

Assumptions used in arriving at fiscal estimate. Refunding bonds are issued at interest rates in effect on 8/10/2011.

G

For expenditures and revenues which will occur on an annual basis over several years check the appropriate box below and then list each item and dollar amount separately.

☒ 1-3 Years ☒ 3-5 Years Saves \$100,000 per year

☐ 1-3 Years ☐ 3-5 Years

☐ 1-3 Years ☐ 3-5 Years

H

List any costs not included in Sections D and E above. Debt service on the Refunding Bonds will replace the debt service on the Outstanding Bonds

I

Additional information.

J

This Note ☐ Was requested by committee chair.

PW FILE NUMBER: 110718

[illegible]



Legislation Details (With Text)

File #: 110736 **Version:** 0

Type: Communication-Report **Status:** In Committee

File created: 9/20/2011 **In control:** FINANCE & PERSONNEL COMMITTEE

On agenda: **Final action:**

Effective date:

Title: Communication from the Comptroller's Office relating to the City of Milwaukee Comparative Revenue and Expenditure Report.

Sponsors: THE CHAIR

Indexes: COMPTROLLER, REPORTS AND STUDIES

Attachments: Cover Letter, Report, Hearing Notice List

Date	Ver.	Action By	Action	Result	Tally
9/20/2011	0	COMMON COUNCIL	ASSIGNED TO		
9/30/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/5/2011	0	FINANCE & PERSONNEL COMMITTEE	HELD TO CALL OF THE CHAIR	Pass	5:0
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number
110736
Version
ORIGINAL
Reference

Sponsor
THE CHAIR
Title

Communication from the Comptroller's Office relating to the City of Milwaukee Comparative Revenue and Expenditure Report.
Analysis

Body

Requestor

Drafter
Comptroller
tb
9/20/2011



Office of the Comptroller

September 19, 2011

W. Martin Morics, C.P.A.
Comptroller

Michael J. Daun
Deputy Comptroller

John M. Egan, C.P.A.
Special Deputy Comptroller

Craig D. Kammholz
Special Deputy Comptroller

The Honorable Mayor Tom Barrett
And Members of the Milwaukee Common Council
City of Milwaukee
200 E. Wells Street
Milwaukee, WI 53202

Dear Mayor Barrett and Council Members:

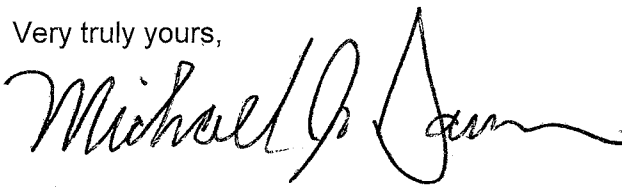
The attached *Comparative Revenue and Expenditure Report* is an analysis of the City of Milwaukee and nine other comparable cities' audited financial data. This report also includes data from the US Census Bureau's *2007 Census of Governments - State and Local Government Finances*. Like other cities, the City of Milwaukee provides a variety of services to its citizens, businesses, and visitors. The fact cannot be ignored that we are in a competitive business with other peer cities. The market basket of services we provide our citizens and how these services are funded can and should be compared to our competition as one measure of how effectively we are doing our jobs.

The report compares, on a per capita basis, the City of Milwaukee's revenue sources with nine similar cities to Milwaukee. An analysis is also included on the revenue structure of Wisconsin's State and local governments with the per capita averages of all US State and local governments. The report also groups City of Milwaukee's expenditures by several functional classifications compared to other peer cities.

In addition to the revenue and expenditure comparison, the report contains a section on City of Milwaukee Capital Replacement Cycles. Local street, alley, bridge and sewer infrastructure categories are included in this section of the report.

I encourage you to contact me with any suggestions or comments that would be helpful in preparing this report in future years.

Very truly yours,


for W. MARTIN MORICS
Comptroller

WMM:CDK:tb

City of Milwaukee

Comparative Revenue and Expenditure Report



W. Martin Morics
Comptroller

2011

Table of Contents

	Page
I. Introduction	3
II. Revenue Sources.....	6
A. Property Taxes	7
B. Local Taxes	8
C. Intergovernmental Aids	9
D. Charges for Services	10
III. Expenditures by Purpose	11
A. Public Safety	12
B. Public Works.....	13
C. General Government.....	14
D. Conservation and Development.....	15
E. Interest Expense	16
F. Culture and Recreation	17
G. Health.....	18
IV. Capital Replacement Cycles.....	19
A. Local Streets in the City of Milwaukee.....	20
B. Sewers in the City of Milwaukee.....	21
C. Bridges in the City of Milwaukee.....	22
D. Alleys in the City of Milwaukee.....	23

V. Appendices

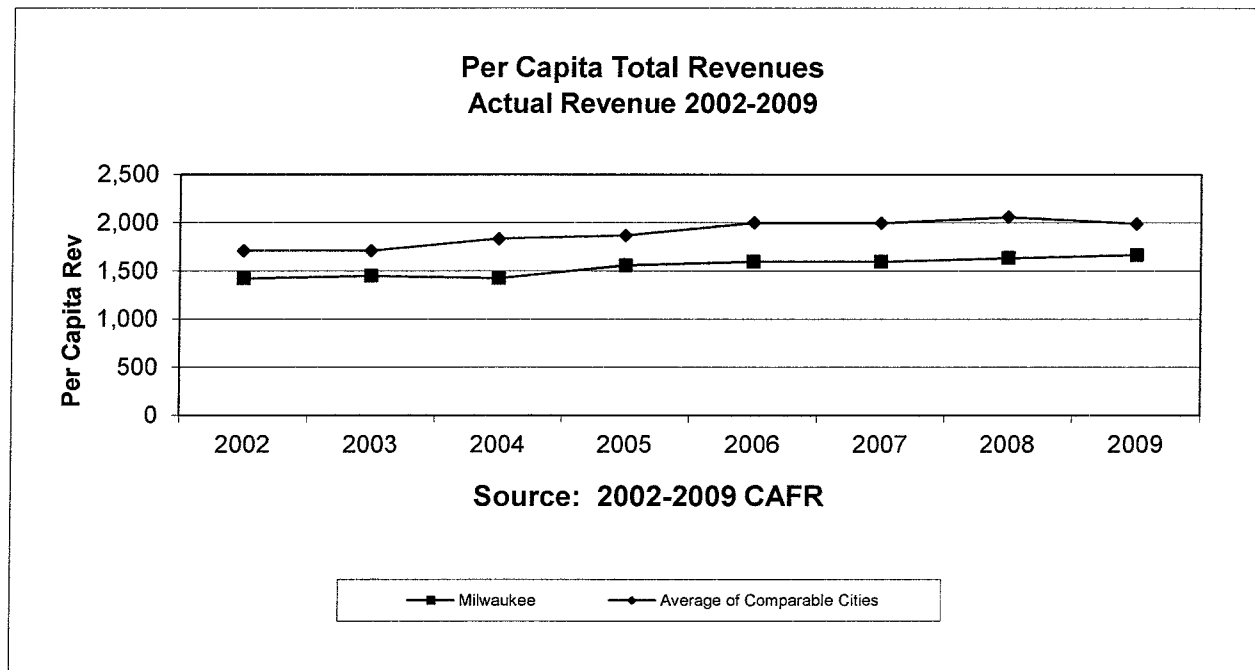
I. Per Capita Revenue and Expenditure Trends	24
II. The Revenue Structure of Wisconsin Governments	25
III. Data Sources and Limitations.....	26
IV. Comparable City Methodology.....	27

I. Introduction

The discussion over whether taxes are too high often centers on the level of taxation, with little discussion or analysis of the service levels being provided, and how much these services should cost. When confronted with diminishing resources and increasing costs, the basic but difficult question is: What to cut? In other words, the decision to limit property taxes is not the tough decision. The tough decision is which services should be reduced or eliminated, and how to pay for the services that remain.

Thus the concept for this report was born. There is much information available on what we as a city spend, but little organized information as to how that compares to our peers. After all, if taxes are too high, someone should be prepared to ask “relative to what?” This report attempts to provide some important facts for the reader’s consideration. The data presented in this report deals only with City government revenues and expenditures. The funding and cost of public schools, county government, vocational school and sewerage district services are outside the scope of this report.

In this sixth edition of the Comparative Revenue and Expenditure Report, trends continue to emerge. One trend is that the revenue stream for the City of Milwaukee continues to lag the revenue stream of its peer cities. The chart below indicates the variance between various sources of revenue for Milwaukee versus its peer cities.*



*This chart and the remaining charts in this report refer only to the City of Milwaukee municipal corporation, excluding other local governments (Milwaukee Public Schools, Milwaukee County, Milwaukee Metropolitan Sewerage District, and Milwaukee Area Technical College) taxing Milwaukee city residents. Comparison cities likewise exclude overlapping local governments.

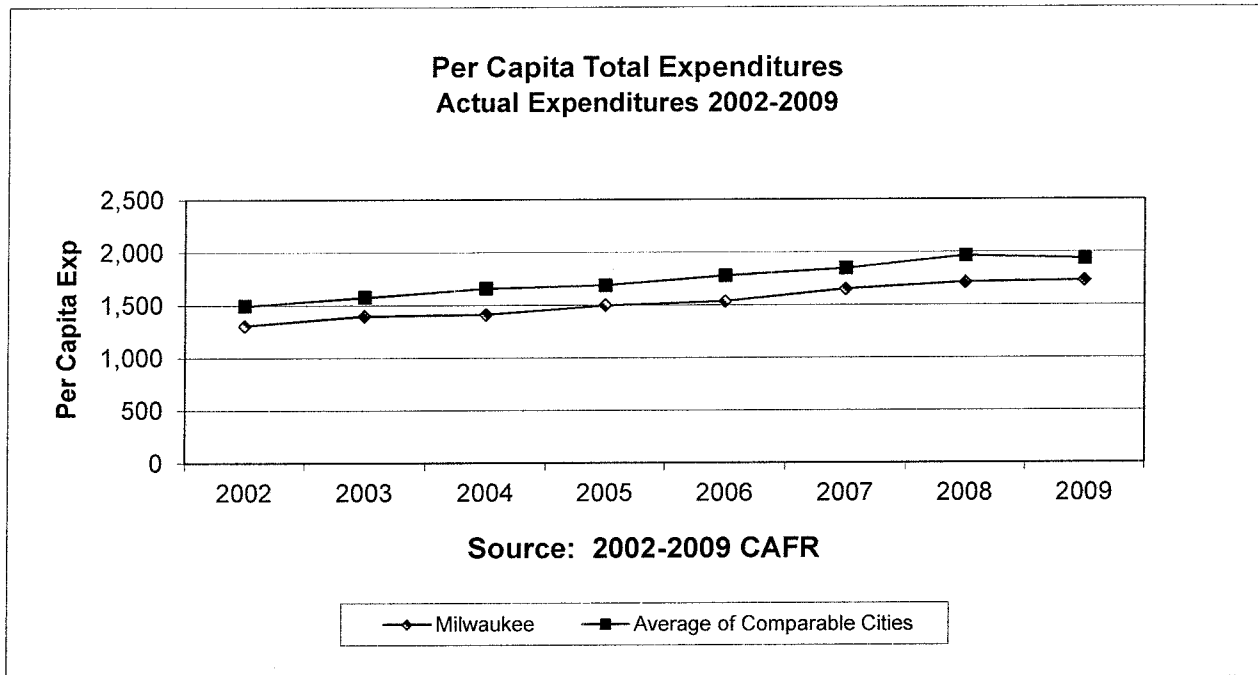
With respect to local taxes, the City of Milwaukee continues to rank at the bottom of the list among its peer cities. As the chart below illustrates, the City of Milwaukee receives the lowest amount of local taxes when all taxes (property, sales, income, and other) are taken into consideration. In fact, the City of Milwaukee's 2009 per capita local taxes are approximately 42% lower than the ten city comparable average. This is mainly due to the City of Milwaukee receiving a relatively large portion of revenue from State aids, and continuing to spend less per capita than the average of comparable cities.

2009 Per Capita Revenues		
Local Taxes		
	Amount	Prior Year Ranking
Cincinnati, OH	\$ 1,250	1
Pittsburgh, PA	1,061	2
Oklahoma City, OK	911	7
Charlotte, NC	888	4
Portland, OR	821	5
Cleveland, OH	819	6
Columbus, OH	809	3
Toledo, OH	511	8
Sacramento, CA	472	9
Milwaukee, WI	467	10
Average of 10 Comparable Cities	\$ 801	
Source: 2009 CAFR		

Sales and use taxes, local income taxes, business taxes, and entertainment taxes are all part of the revenue mix to one degree or another in the peer cities to which Milwaukee is compared. These are real and substantial taxes but taxpayers aren't as "tuned in" to them as they are to the property tax bill.

In the 2004 Report (2002 Comprehensive Annual Financial Report data), local taxes and governmental aids for the City of Milwaukee were 13% less than the comparable city average. For the 2011 Report (2009 CAFR data) that difference is approximately 11%. Charges for services by the City of Milwaukee continue to be nearly one-third below the average charges of its comparable municipal governments.

With respect to expenditures, the news is similar. As the graph on the following page illustrates, spending in the City of Milwaukee has lagged the average per capita spending of the comparable cities for the past eight years.



Audited comprehensive annual financial reports (CAFR) for Milwaukee and the nine comparable communities for calendar year 2009 or fiscal year 2009/2010 were used to compile this report. The report's methodology is further explained on page 27.

II. Revenue Sources

In recent years, there has been an ongoing discussion in the Wisconsin Legislature regarding the reduction of State aids to local governments and the need to control local property taxes at the State level. Unlike most other states, Wisconsin's tax system was designed to assess all sales and income taxes at the state level and redistribute these tax collections back to local governments. The result of this tax structure is a limited ability to raise revenues at the local level.

In total, locally generated municipal tax revenues in Milwaukee are much lower than those raised in comparable cities. This is due to the fact that the State of Wisconsin prohibits local governments from assessing local sales and income taxes except as specifically authorized by State legislation. These sales taxes are quite limited in scope, including sales taxes imposed for specifically legislated premier resort area tax districts or sports stadium districts. For local governments in Wisconsin, the property tax is the only significant, on-going source of tax revenue. This means that State aids are a critical component of the City of Milwaukee's revenue structure, given its limited local revenue options.

2009 Per Capita Municipal Revenues

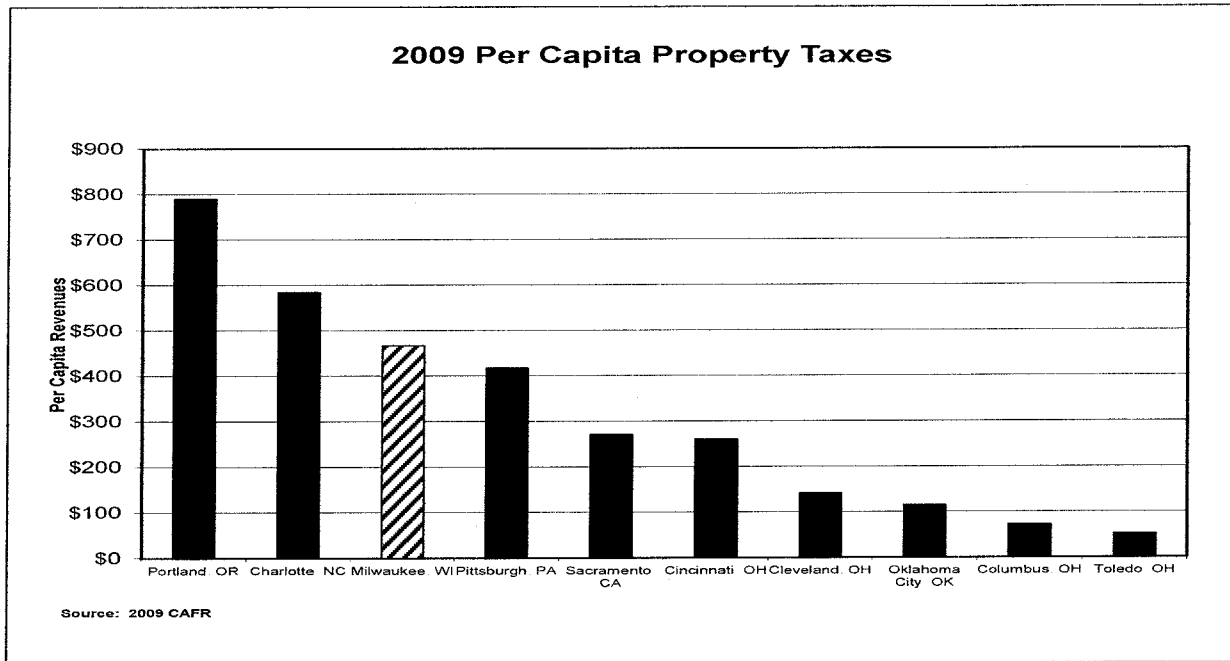
	City of Milwaukee	Average of Comparable Cities	Variance Milwaukee versus Comparable City Average	
Property Taxes	\$467	\$317	\$150	47%
Other Local Taxes	0	484	(484)	
Total Local Taxes	\$467	\$801	(\$334)	-42%
Intergovernmental Aids	\$593	\$390	\$203	52%
Total Local Taxes and Aids	1,060	1,191	(131)	-11%
Charges for Services	525	736	(211)	-29%
Other Revenues	82	65	17	26%
Total	\$1,667	\$1,992	(\$325)	-16%

Source: 2009 CAFR

Total local per capita taxes in Milwaukee are 42% less than the average of comparable cities. City of Milwaukee per capita local taxes combined with intergovernmental aids is 11% lower than the peer city average. Total per capita revenue for the City of Milwaukee is \$1,667, which is 16% less than the per capita total revenue of comparable cities.

A. Property Taxes

The City of Milwaukee's only local tax is the property tax. Milwaukee's municipal property tax per capita is \$467, which is 47% higher than the peer city average. Since the City of Milwaukee cannot assess a local sales tax or a local income tax, it relies solely on the property tax for all of its local tax revenue.



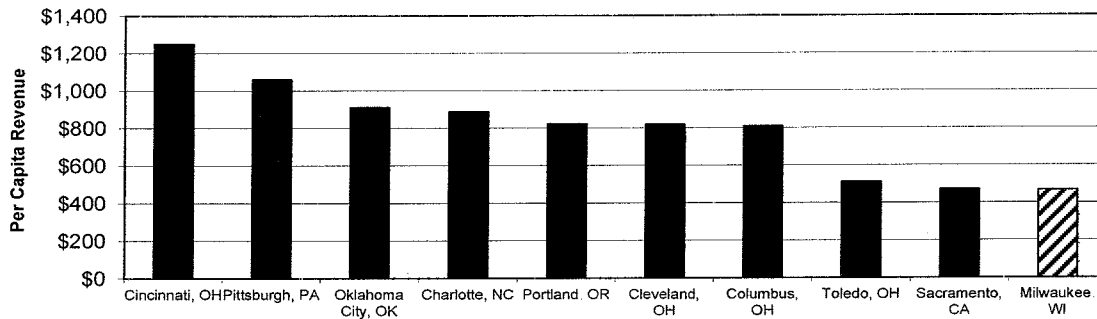
2009 Per Capita Revenues Property Taxes		
	Amount	Prior Year Ranking
Portland, OR	\$ 790	1
Charlotte, NC	584	2
Milwaukee, WI	467	3
Pittsburgh, PA	417	4
Sacramento, CA	271	5
Cincinnati, OH	260	6
Cleveland, OH	141	7
Oklahoma City, OK	115	8
Columbus, OH	72	9
Toledo, OH	52	10
Average of 10 Comparable Cities	\$ 317	

Source: 2009 CAFR

B. Local Taxes

Local taxes include property, utility, sales and income taxes generated at the municipal level, as well as other taxes. The only tax the City of Milwaukee can levy is the property tax. All of the nine peer cities included in this report have one or more additional local tax options available. As a result, when all available local taxes are considered, Milwaukee ranks last in per capita local taxes. Milwaukee collects \$467 per capita in total local taxes, which is 42% lower than the average of comparable cities.

2009 Per Capita Total Local Taxes



Source: 2009 CAFR

2009 Per Capita Revenues

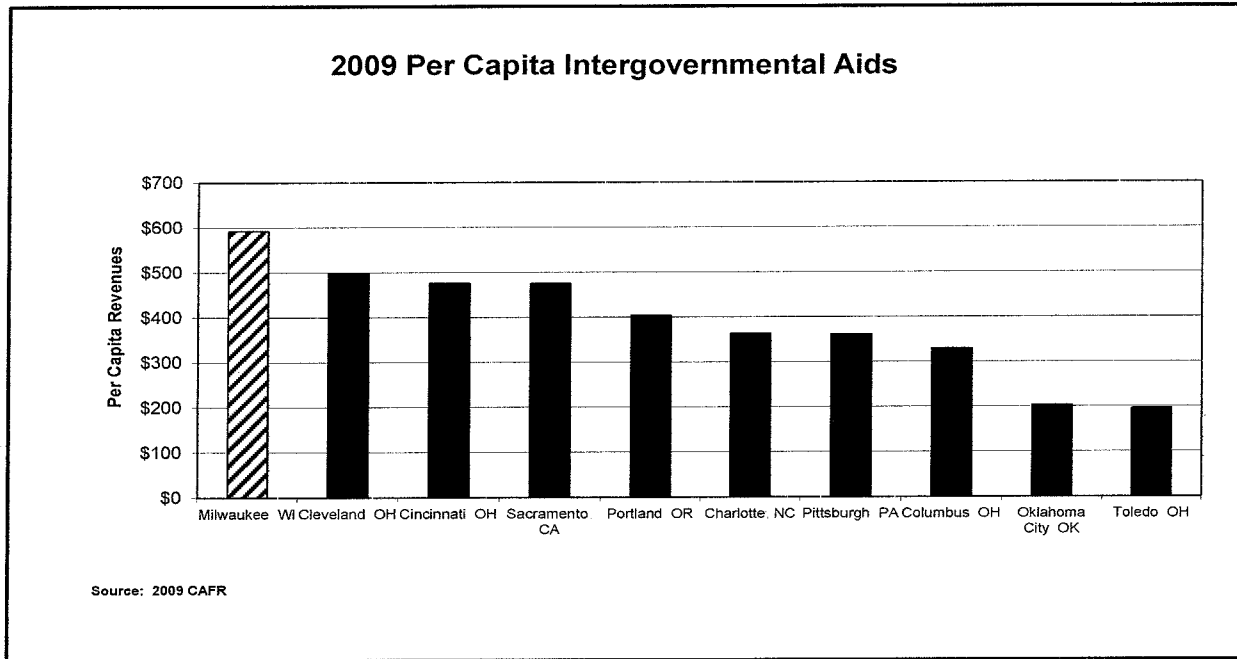
Local Taxes

	Amount	Prior Year Ranking
Cincinnati, OH	\$ 1,250	1
Pittsburgh, PA	1,061	2
Oklahoma City, OK	911	7
Charlotte, NC	888	4
Portland, OR	821	5
Cleveland, OH	819	6
Columbus, OH	809	3
Toledo, OH	511	8
Sacramento, CA	472	9
Milwaukee, WI	467	10
Average of 10 Comparable Cities	\$ 801	

Source: 2009 CAFR

C. Intergovernmental Aids

In Wisconsin, municipalities do not have the ability to institute sales or income taxes. Instead, the Wisconsin tax system was designed for these taxes to be assessed and collected by the State, with a portion redistributed back to municipalities in the form of State Shared Revenue payments. This is the primary reason why Milwaukee ranks first in funding from intergovernmental revenues, 52% higher than the average of comparable cities. However, the dollar amount available to the City of Milwaukee has declined over the years.

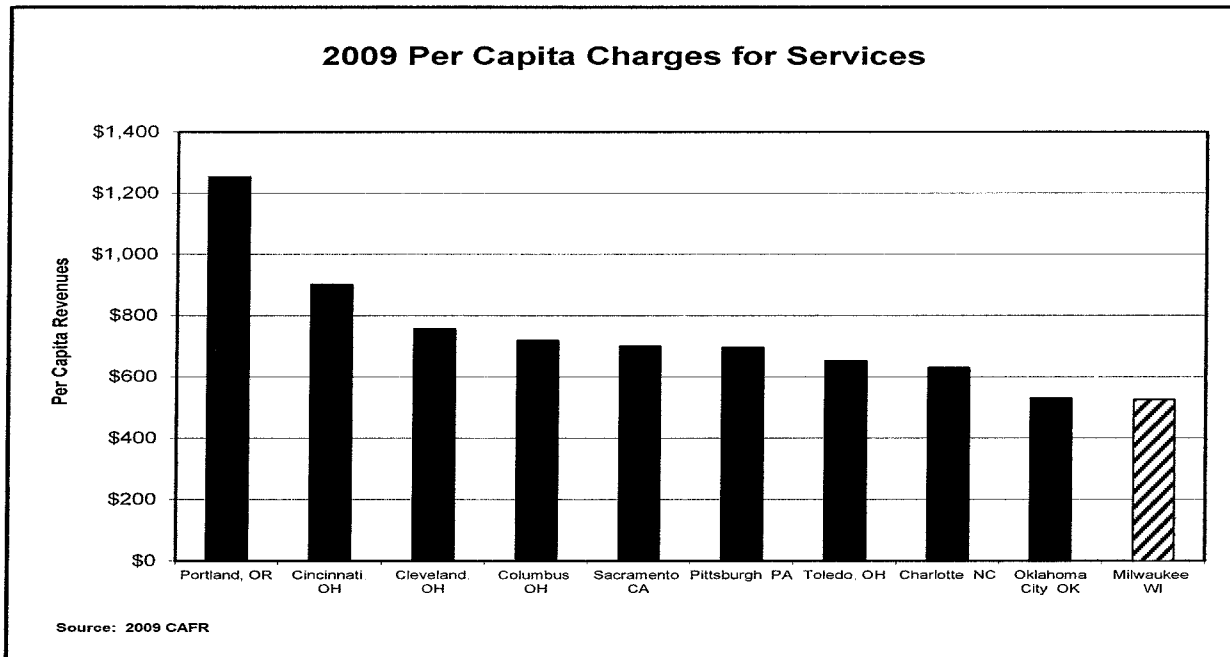


2009 Per Capita Revenues Intergovernmental Aids		
	Amount	Prior Year Ranking
Milwaukee, WI	\$ 593	2
Cleveland, OH	498	4
Cincinnati, OH	476	5
Sacramento, CA	475	3
Portland, OR	404	9
Charlotte, NC	363	1
Pittsburgh, PA	361	7
Columbus, OH	329	6
Oklahoma City, OK	203	8
Toledo, OH	196	10
Average of 10 Comparable Cities	\$ 390	

Source: 2009 CAFR

D. Charges for Services

The City of Milwaukee's efforts to control the growth in property taxes and accommodate decreasing State aid has resulted in a need to look for alternative sources of revenue. In recent years the City has adopted a variety of user charges to provide local revenue alternatives to the property tax. These recently enacted revenue changes notwithstanding, Milwaukee's \$525 per capita charges for services is 29% less than the average of comparable cities.



2009 Per Capita Revenues Charges for Services		
	Amount	Prior Year Ranking
Portland, OR	\$ 1,254	1
Cincinnati, OH	902	2
Cleveland, OH	757	3
Columbus, OH	719	4
Sacramento, CA	700	5
Pittsburgh, PA	696	6
Toledo, OH	652	7
Charlotte, NC	630	8
Oklahoma City, OK	530	10
Milwaukee, WI	525	9
Average of 10 Comparable Cities	\$ 736	

Source: 2009 CAFR

III. Expenditures by Purpose

Like its peer cities, the City of Milwaukee provides a variety of services to its citizens, businesses, and visitors. City services are critical to supporting a quality of life in Milwaukee which meets basic citizen needs and expectations. Maintaining City service sufficient to provide for a safe, clean environment is critical to the long term vitality of a city.

2009 Per Capita Expenditures by Purpose				
	City of Milwaukee	Average of Comparable Cities	Variance Milwaukee versus Comparable City Average	
Public Safety	\$725	\$697	\$28	4%
Public Works	638	738	(100)	-14%
General Government	143	154	(11)	-7%
Conservation and Development *	90	136	(46)	-34%
Interest Expenses	41	69	(28)	-41%
Culture and Recreation	49	104	(55)	-53%
Health **	46	40	6	15%
Total Expenditures	\$1,732	\$1,938	(\$206)	-11%

* Nine cities including the City of Milwaukee report Conservation & Development expenditures.
 **Five cities including the City of Milwaukee report health expenditures.
 Source: 2009 CAFR

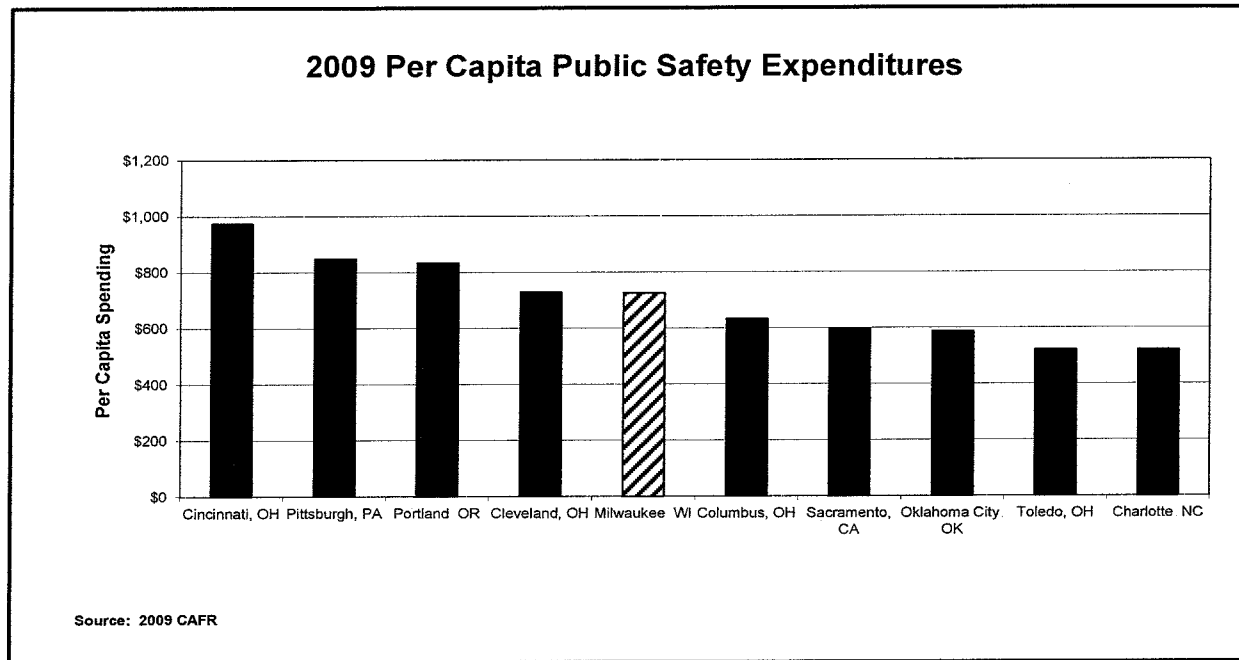
Total expenditures in 2009 for the City of Milwaukee are \$1,732 per capita. This is 11% less than the \$1,938 ten-city per capita average. Milwaukee spends less per capita than the average of comparable cities in all categories except for Health Services and Public Safety. Milwaukee's lower than average per capita expenditures range from 7% less in the General Government category to 53% less in the Culture and Recreation category. Milwaukee's per capita spending is 4% higher than the comparable cities' average in the Public Safety category, and 15% above the per capita average in the Health Services category.

2009 Per Capita Expenditures		
Total Expenditures		
	Amount	Prior Year Ranking
Portland, OR	\$ 2,679	1
Cincinnati, OH	2,670	2
Cleveland, OH	2,199	3
Pittsburgh, PA	2,178	4
Columbus, OH	1,790	5
Milwaukee, WI	1,732	7
Sacramento, CA	1,670	6
Charlotte, NC	1,669	8
Oklahoma City, OK	1,453	10
Toledo, OH	1,341	9
Average of 10 Comparable Cities	\$ 1,938	

Source: 2009 CAFR

A. Public Safety

Public safety services protect people and property within a city. These services are essential to the health, safety, and well-being of city residents. Public safety includes police, fire, and building inspection services. Milwaukee spends \$725 per capita on Public Safety, which is 4% higher than the comparable cities' per capita average.



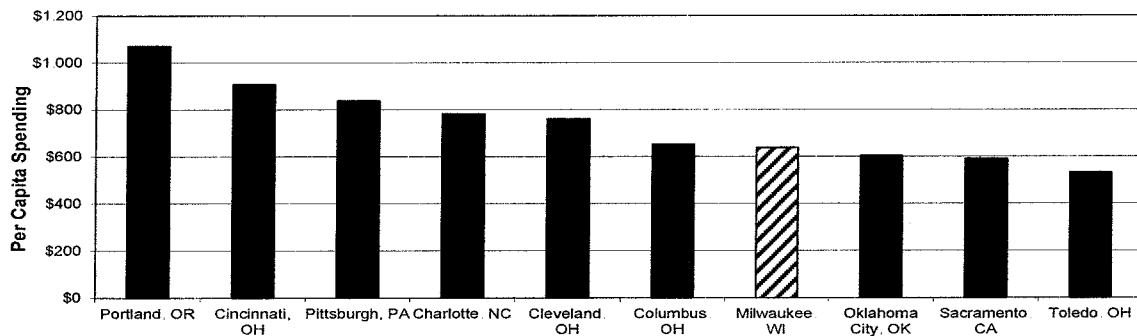
2009 Per Capita Expenditures Public Safety		
	Amount	Prior Year Ranking
Cincinnati, OH	\$ 974	1
Pittsburgh, PA	849	4
Portland, OR	834	2
Cleveland, OH	729	3
Milwaukee, WI	725	5
Columbus, OH	633	6
Sacramento, CA	598	7
Oklahoma City, OK	587	8
Toledo, OH	521	9
Charlotte, NC	521	10
Average of 10 Comparable Cities	\$ 697	

Source: 2009 CAFR

B. Public Works

An efficient and well-maintained infrastructure is important to the economic vitality and attractiveness of a city. Maintaining safe and efficient sewers, streets, and other public ways furnish residents with access to employment, goods and services, while also providing businesses with an effective way to transport their products to customers. Milwaukee spends \$638 per capita, approximately 14% less, than the average of comparable cities on streets, sewers, and other public works' expenditures.

2009 Per Capita Public Works Expenditures



Source: 2009 CAFR

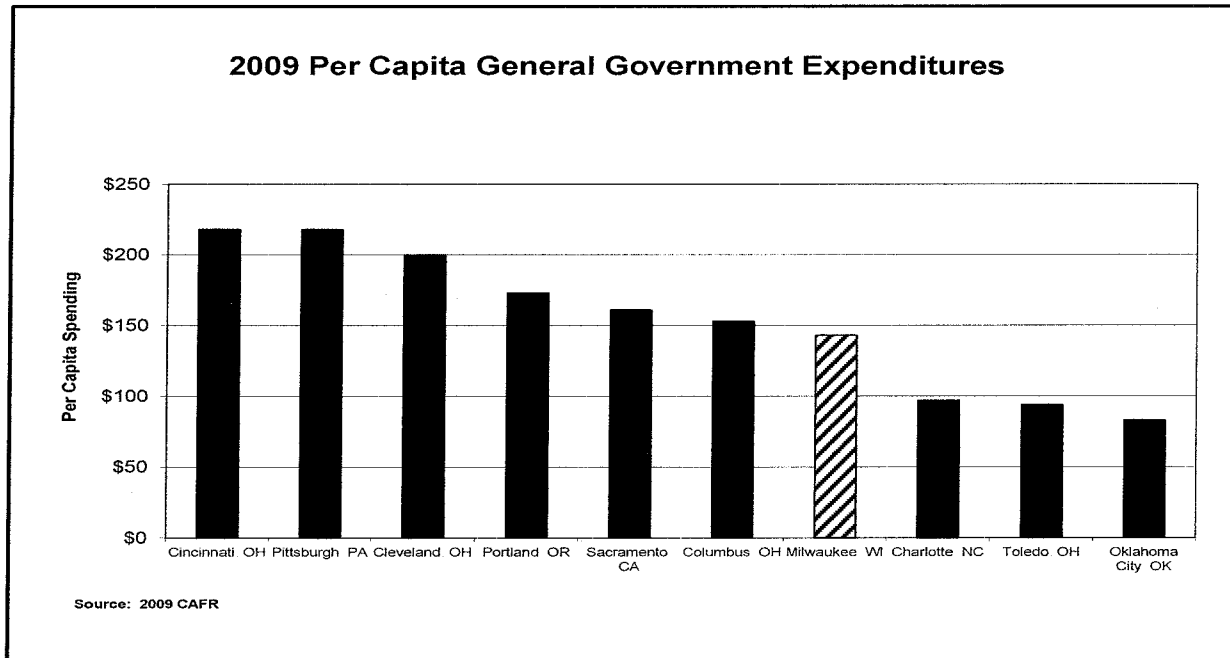
**2009 Per Capita Expenditures
Public Works**

	Amount	Prior Year Ranking
Portland, OR	\$ 1,071	1
Cincinnati, OH	907	2
Pittsburgh, PA	838	3
Charlotte, NC	782	4
Cleveland, OH	761	5
Columbus, OH	653	6
Milwaukee, WI	638	8
Oklahoma City, OK	603	9
Sacramento, CA	591	7
Toledo, OH	532	10
Average of 10 Comparable Cities	\$ 738	

Source: 2009 CAFR

C. General Government

General government and administration costs are necessary for the operation of any organization. Milwaukee's general government and administration costs are comparable to those of its peer cities. These include expenditures for the Mayor's Office, Common Council, Municipal Court, legal and financial services, elections, property assessments, employee relations, and other city management overhead. Milwaukee spends \$143 per capita, which is approximately 7% less, than the average of comparable cities on general government or administrative functions.

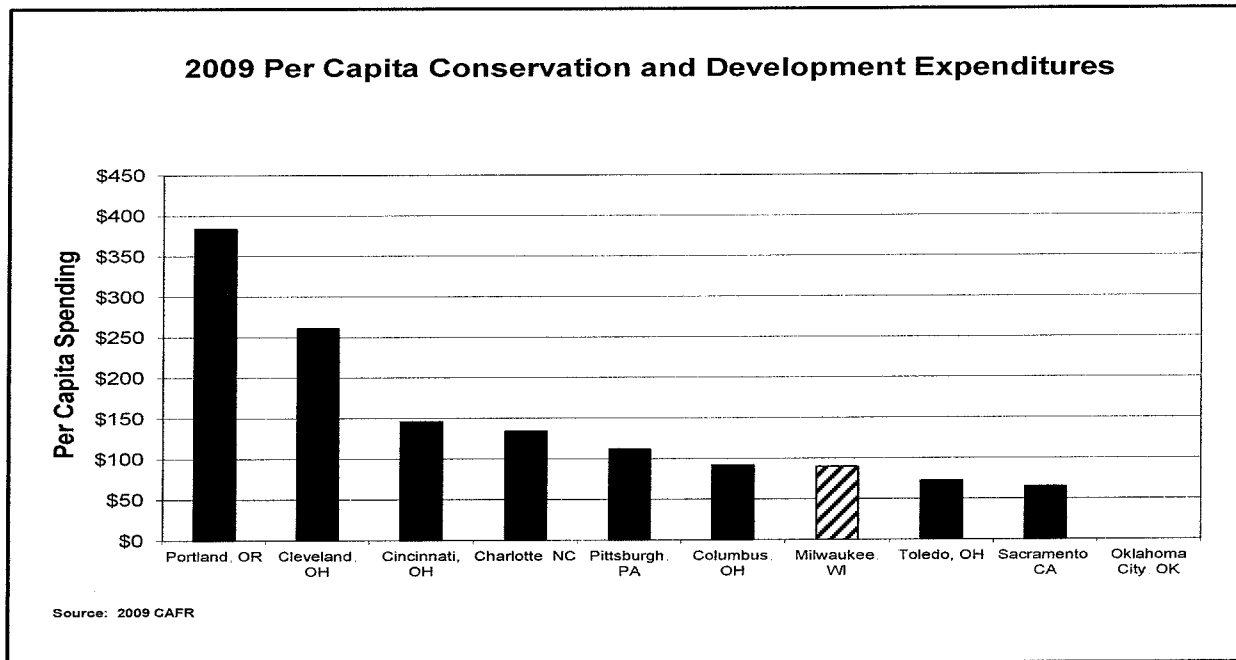


2009 Per Capita Expenditures General Government		
	Amount	Prior Year Ranking
Cincinnati, OH	218	2
Pittsburgh, PA	218	3
Cleveland, OH	200	4
Portland, OR	173	1
Sacramento, CA	161	6
Columbus, OH	153	5
Milwaukee, WI	143	7
Charlotte, NC	97	8
Toledo, OH	94	9
Oklahoma City, OK	83	10
Average of 10 Comparable Cities	\$ 154	

Source: 2009 CAFR

D. Conservation and Development

The promotion of economic development and job creation is provided under this category of expenditures. These expenditures include planning, economic development and community development activities. The City of Milwaukee's per capita expenditures for conservation and development are 34% less than the ten city average. Oklahoma City does not report any expenditures under primary government Conservation and Development activities.



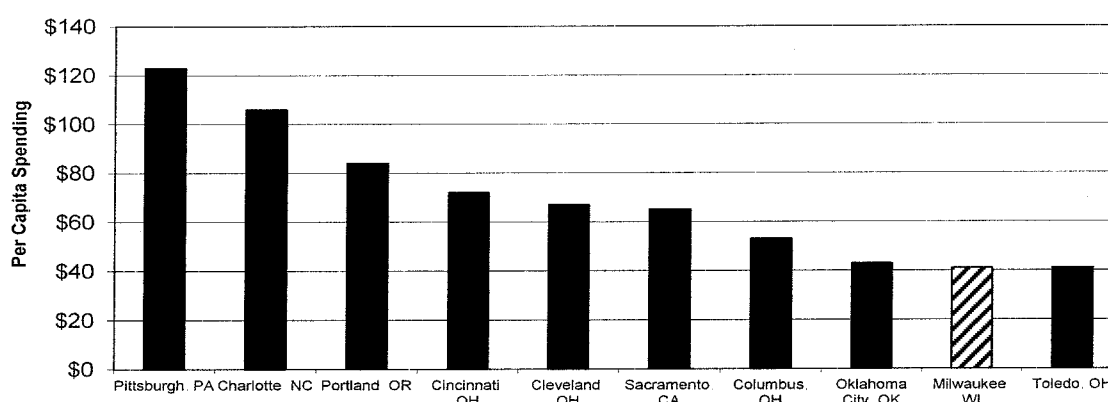
2009 Per Capita Expenditures Conservation and Development			Prior Year Ranking
	Amount		
Portland, OR	\$ 384		2
Cleveland, OH	261		1
Cincinnati, OH	146		3
Charlotte, NC	134		4
Pittsburgh, PA	112		6
Columbus, OH	92		7
Milwaukee, WI	90		5
Toledo, OH	72		9
Sacramento, CA	65		8
Oklahoma City, OK	-		10
Average of 10 Comparable Cities	\$ 136		

Source: 2009 CAFR

E. Interest Expense

Milwaukee has long been recognized by bond rating agencies for its effective debt management program. Milwaukee currently has a manageable debt burden and its annual per capita interest expense is \$28 below the average of comparable cities. One factor affecting the amount of interest expense is the credit quality. The credit rating for each municipality is reported below. Moody's "investment grade" ratings range from Aaa, the highest rating, to Baa. In addition, Moody's assigns "1", "2" or "3" based on the strength of the issue within each category, with "Aa1" the strongest group of Aa securities and "Aa3" the weakest of Aa securities.

2009 Per Capita Interest Expense



Source: 2009 CAFR

**2009 Per Capita Expenditures
Interest Expense**

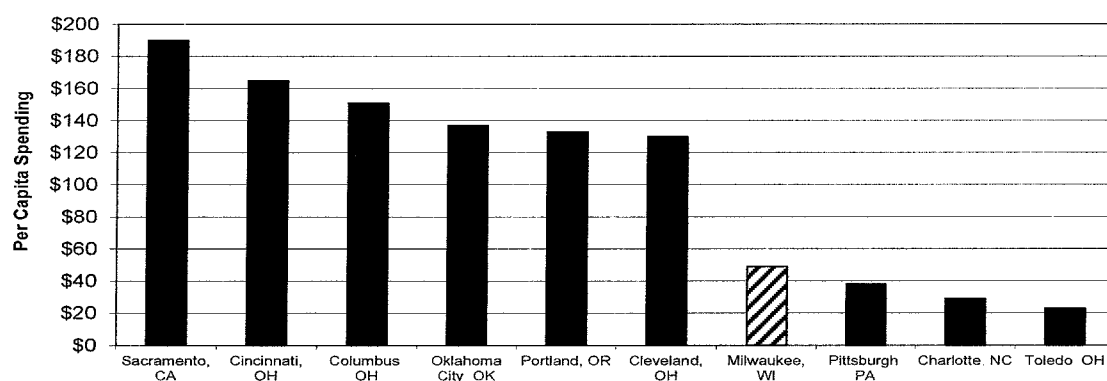
	Current Rating	Amount	Prior Year Ranking
Pittsburgh, PA	A1	\$ 123	1
Charlotte, NC	Aaa	106	3
Portland, OR	Aaa	84	2
Cincinnati, OH	Aa1	72	5
Cleveland, OH	A1	67	4
Sacramento, CA	Aa2	65	6
Columbus, OH	Aaa	53	7
Oklahoma City, OK	Aaa	43	10
Milwaukee, WI	Aa1	41	8
Toledo, OH	A2	41	9
Average of 10 Comparable Cities		\$ 69	

Source: Moody's Investors Service and 2009 CAFR

F. Culture and Recreation

The services provided in the Culture and Recreation category vary significantly by city. Milwaukee is one of only five cities that report library services. Parks, which in Milwaukee are maintained by Milwaukee County, have reported expenditures in six of the peer cities.

2009 Per Capita Culture & Recreation



Source: 2009 CAFR

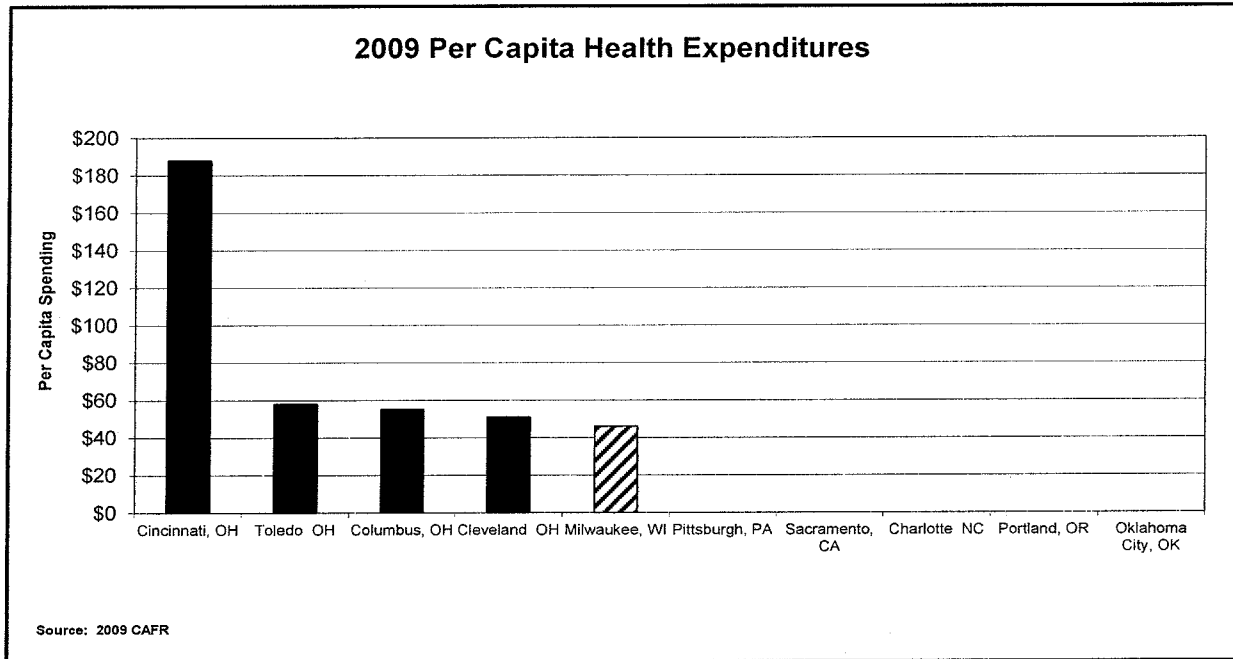
**2009 Per Capita Expenditures
Culture and Recreation**

	Amount	Prior Year Ranking
Sacramento, CA	\$ 190	1
Cincinnati, OH	165	2
Columbus, OH	151	4
Oklahoma City, OK	137	5
Portland, OR	133	3
Cleveland, OH	130	6
Milwaukee, WI	49	7
Pittsburgh, PA	38	8
Charlotte, NC	29	10
Toledo, OH	23	9
Average of 10 Comparable Cities	\$ 104	

Source: 2009 CAFR

G. Health

Health services provided to individuals and families promote and safeguard the health of a community. The range of health services provided at different levels of government varies by community. Five of the ten comparable cities do not report any health service expenditures.



2009 Per Capita Expenditures Health		
	Amount	Prior Year Ranking
Cincinnati, OH	\$ 188	1
Toledo, OH	58	2
Columbus, OH	55	3
Cleveland, OH	51	4
Milwaukee, WI	46	5
Pittsburgh, PA	-	
Sacramento, CA	-	
Charlotte, NC	-	
Portland, OR	-	
Oklahoma City, OK	-	
Average of Comparable Cities	\$ 40	

Source: 2009 CAFR

IV. Capital Replacement Cycles

As mentioned earlier in this report, an efficient and well-maintained infrastructure is important to the vitality and attractiveness of a city. Maintaining safe and efficient sewers, usable streets and roadways and other public infrastructure enables access to employment and goods and services, while providing businesses with an effective way to transport their products to consumers.

While comparing Milwaukee's per capita public works expenditures to its peers (page 13) is one indicator of Milwaukee's overall capital maintenance effort, this comparison does not determine whether infrastructure is actually being maintained at appropriate levels. For this reason, this section has been added to the report to address capital replacement cycles.

Maintaining the tremendous public investment that has been made in transportation infrastructure requires a large investment of money and manpower. The term "capital replacement cycle" is used to illustrate the average time period elapsed between the construction of an infrastructure asset and its replacement, understanding that there is periodic maintenance performed to help to prolong its useful life. Ideally, this "life cycle" would correspond with the estimated engineering life of the asset. While there are no standards for calculating the useful lives of the many types of infrastructure assets, Milwaukee's former Capital Improvements Committee (CIC) estimated the useful life of streets to average 40 to 50 years and the useful life of alleys to average 50 to 60 years. Adjusting the CIC street estimate for the removal of major arterial and collector streets, the estimated useful life for the remaining local streets is 45 to 60 years. This year's report has added sewers to the capital assets analyzed, with an estimated useful life of 90 years.

For the City of Milwaukee, the actual City capital replacement cycle for streets, sewers, bridges and alleys exceeds the estimated useful life of these assets. Historically there simply have not been sufficient dollars made available to keep up with all City infrastructure needs. As a result, the City prioritizes its infrastructure funding in order to meet its most pressing needs first.

The purpose of this section is to promote more meaningful capital reporting and accountability through the reporting of capital replacement cycles. Capital replacement cycles longer than the estimated useful life of an asset indicate a deferral of maintenance and replacement, which, if left unaddressed, increases the City's future liability for infrastructure maintenance as functional performance declines. Replacement cycles in this report are determined based on three and five year averages of the City's capital contracts. This year's report includes four infrastructure types – the City's local street, sewer, bridge and alley infrastructure. The replacement cycles calculated in this section are not intended to represent the actual time it takes to replace city streets, alleys and bridges. Rather, these replacement cycles are indicators of how well the City is able to keep pace with its infrastructure needs.

A. Local Streets in the City of Milwaukee

For the 987 mile local street system, the annual miles resurfaced and replaced ranged from 3.4 miles in 2007 to 15 miles in 2009. The 3-year average replacement cycle is 105 years. The assumed life expectancy of regular streets is 45 to 60 years. Based on a 3-year average, the cost per mile of local streets replaced and resurfaced is approximately \$800,000.

Miles of Streets	987.0	
Asset Value @ 12/31/2009*	\$665,193,708	
Estimated Useful Life (Years)	60.0	
	<u>5 YR AVG</u>	<u>3 YR AVG</u>
Average Preservation Effort (Miles)	8.6	9.4
Estimated Cost per Mile	\$848,656	\$818,632
Replacement Cycle (Years)	115.3	105.0
Ratio Replacement to Useful Life	1.9	1.8

<u>Year</u>	<u>Annual Miles Replaced/ Resurfaced</u>	<u>Annual Cost</u>	<u>Cost/Mile</u>
2005	8.7	\$7,561,027	\$869,084
2006	5.9	\$5,676,028	\$962,039
2007	3.4	\$5,565,475	\$1,636,904
2008	9.8	\$8,149,714	\$831,604
2009	15	\$9,370,226	\$624,682

*Asset value represents construction cost (excluding depreciation) of local streets as included in the Capital Infrastructure category of the City's annual financial report.

B. Sewers in the City of Milwaukee

For sewers, the miles replaced and lined ranged from a low of approximately 11 miles in 2006 to a high of approximately 29 miles in 2009. The 3-year average replacement cycle is approximately 116 years. The assumed life expectancy of sewers is 90 years. Based on a 3-year average, the cost per mile of sewers relayed and lined is approximately \$1.3 million.

Miles of Sewers	2447	
Asset Value @ 12/31/2009*	\$341,318,000	
Estimated Useful Life (Years)	90	
	<u>5 YR AVG</u>	<u>3 YR AVG</u>
Average Preservation Effort (Miles)	17.6	21.1
Estimated Cost per Mile	\$1,419,866	\$1,259,583
Replacement Cycle (Years)	139.4	116.0
Ratio Replacement to Useful Life	1.5	1.3

<u>Year</u>	Annual Miles		<u>Cost/Mile</u>
	Replaced/ <u>Lined</u>	Annual <u>Cost</u>	
2005	13.4	\$27,226,489	\$2,031,828
2006	11.1	\$17,706,173	\$1,595,151
2007	16.4	\$20,835,536	\$1,270,460
2008	17.4	\$26,419,416	\$1,518,357
2009	29.5	\$32,476,630	\$1,100,903

* Asset value represents construction cost (excluding depreciation) of sewers as included in the Sewer Maintenance Infrastructure category of the City's annual financial report.

C. Bridges in the City of Milwaukee

For bridges, the square feet replaced/maintained ranged from a low of 17,073 in 2009 to a high of 121,220 in 2005. The assumed life expectancy of a bridge structure is 50 years. The 3-year average replacement cycle for bridge structures is approximately 55 years. The cost to the City per square foot of bridge replaced is approximately \$71 based on a 3-year average. This estimated cost per square foot reflects only the City's share of project costs. Project expenditures made by the State, funded with Federal grants, are not included in the estimated cost per square foot.

Number of City Maintained Bridges	179	
Total Square Feet	2,635,263	
Asset Value @ 12/31/2009*	\$219,338,394	
Estimated Useful Life (Years)	50	
	<u>5 YR AVG</u>	<u>3 YR AVG</u>
Average Preservation Effort (Sq Ft)	58,820	47,672
Estimated City Cost per Square Ft	\$58.12	\$71.11
Replacement Cycle (Years)**	44.8	55.3
Ratio Replacement to Useful Life	0.9	1.1

<u>Year</u>	<u>Sq Feet Replaced/ Resurfaced</u>	<u>Annual Cost</u>	<u>City Cost per Square Ft</u>
2005	121,220	\$4,274,056	\$35.26
2006	29,865	\$2,649,078	\$88.70
2007	66,713	\$2,154,259	\$32.29
2008	59,231	\$5,495,893	\$92.79
2009	17,073	\$2,519,133	\$147.55

*Asset value represents construction cost (excluding depreciation) of bridges as included in the Capital Infrastructure category of the City's annual financial report.

**Periodic large bridge projects may cause significant differences between the 3 and 5 year average replacement cycle.

D. Alleys in the City of Milwaukee

For alleys, the miles replaced ranged from a low of 0.4 miles in 2008 to a high of 3.4 miles in 2005. As a result, the 3-year average replacement cycle is 731 years, or more than 10 times the life expectancy of alleys. The assumed life expectancy of alleys is 60 years, but DPW notes that useful life of alleys could be as high as 80 years. Based on a 3-year average, the cost per mile of alleys replaced is approximately \$900,000.

Miles of Alleys	414.2	
Asset Value @ 12/31/2009*	\$13,027,599	
Estimated Useful Life (Years)	60	
	<u>5 YR AVG</u>	<u>3 YR AVG</u>
Average Preservation Effort (Miles)	1.3	0.6
Estimated Cost per Mile	\$730,450	\$910,652
Replacement Cycle (Years)	328.7	730.9
Ratio Replacement to Useful Life	5.5	12.2

<u>Year</u>	<u>Annual Miles Replaced/ Resurfaced</u>	<u>Annual Cost</u>	<u>Cost/Mile</u>
2005	3.4	\$1,946,574	\$572,522
2006	1.2	\$1,107,152	\$922,627
2007	0.5	\$745,911	\$1,491,822
2008	0.4	\$380,207	\$950,518
2009	0.8	\$421,990	\$527,488

*Asset value represents construction cost (excluding depreciation) of alleys as included in the Capital Infrastructure category of the City's annual financial report.

Appendix I

Per Capita Revenue and Expenditure Trends

(Reports 2004 through 2011, Data from 2002 through 2009)

REVENUES									
	2004 Report	2005 Report	2006 Report	2007* Report	2008* Report	2009* Report	2010 Report	2011 Report	2004-2011 % Change
Property Taxes									
Milwaukee	348	357	365	389	408	421	444	467	34.2%
Average of Comparable Cities	249	259	267	272	294	304	308	317	27.3%
Other Local Taxes (other taxes, sales, income)									
Milwaukee	0	0	0	0	0	0	0	0	N/A
Average of Comparable Cities	430	425	472	494	487	502	494	484	12.6%
Intergovernmental Aids									
Milwaukee	590	601	564	582	598	572	582	593	0.5%
Average of Comparable Cities	399	414	430	385	435	412	427	390	-2.3%
Local Taxes and Intergovernmental Aids									
Milwaukee	938	958	929	971	1,006	993	1,026	1,060	13.0%
Average of Comparable Cities	1,078	1,098	1,169	1,151	1,216	1,218	1,229	1,191	10.5%
Charges for Services									
Milwaukee	387	396	399	418	438	456	501	525	35.7%
Average of Comparable Cities	558	551	590	641	675	681	741	736	31.9%
Other Revenue									
Milwaukee	98	96	96	170	155	149	109	82	-16.3%
Average of Comparable Cities	76	62	77	78	111	100	92	65	-14.5%
Total Revenue									
Milwaukee	1,423	1,450	1,424	1,559	1,599	1,598	1,636	1,667	17.1%
Average of Comparable Cities	1,712	1,711	1,836	1,870	2,002	1,999	2,062	1,992	16.4%
EXPENDITURES									
	2004 Report	2005 Report	2006 Report	2007* Report	2008* Report	2009* Report	2010 Report	2011 Report	2004-2011 % Change
Public Safety									
Milwaukee	487	538	511	585	594	646	687	725	48.9%
Average of Comparable Cities	506	544	567	597	620	661	687	697	37.7%
Public Works									
Milwaukee	478	495	509	552	554	573	630	638	33.5%
Average of Comparable Cities	524	547	609	633	667	684	732	738	40.8%
General Government									
Milwaukee	115	127	147	123	115	166	153	143	24.3%
Average of Comparable Cities	161	156	148	158	167	172	180	154	-4.3%
Conservation and Development									
Milwaukee	87	109	98	100	116	122	108	90	3.4%
Average of Comparable Cities	115	153	158	114	127	124	126	136	18.3%
Interest Expense									
Milwaukee	50	45	40	39	56	53	49	41	-18.0%
Average of Comparable Cities	67	66	64	63	67	69	71	69	3.0%
Culture, Recreation and Health									
Milwaukee	90	86	107	100	101	92	89	95	5.6%
Average of Comparable Cities	123	111	114	124	131	139	146	144	17.1%
Total Expenditures									
Milwaukee	1,307	1,400	1,412	1,499	1,536	1,652	1,716	1,732	32.5%
Average of Comparable Cities	1,496	1,577	1,660	1,689	1,779	1,849	1,942	1,938	29.5%

*2007-2009 per capita figures reflect updated census population estimates and therefore are adjusted from figures previously reported.

Appendix II

The Revenue Structure of Wisconsin Municipal Governments Versus U.S. Average

Comparing City of Milwaukee revenues and expenditures to those of nine similar municipalities throughout the country, shows Milwaukee collects lower taxes and other revenue, and incurs lower expenditures on average than its peer cities. However, Milwaukee's property tax is higher than the average of comparable cities. This is due to the fact that Wisconsin local governments rely on the property tax as its primary local revenue source. Local governments outside Wisconsin utilize local sales, income and other non-property taxes to supplement the property tax. The limited taxing authority for local governments in Wisconsin has resulted in a greater reliance on property taxes and state aids.

Towns, Cities, Villages, and Special Districts Per Capita Revenues by Type

	USA Average	Wisconsin	Disparity Between US Average & Wisconsin	% Above or % Below US Average
Property Taxes	\$ 410	\$ 410	\$ -	0%
State Aids	324	287	\$ (37)	-11%
Other Taxes	341	46	\$ (295)	-87%
Subtotal: Local Taxes & State Aids	\$ 1,075	\$ 743	\$ (332)	-31%
Charges for Services	432	222	\$ (210)	-49%
Other Revenues	265	179	\$ (86)	-32%
Federal Aids	142	43	\$ (99)	-70%
Total Revenues:	\$ 1,914	\$ 1,187	\$ (727)	-38%

Source: US Census Bureau State & Local Government Finance - 2007 Census of Governments Table 2

Based on Census information, municipal governments and special districts in Wisconsin have significantly less revenue, \$1,187 per capita versus \$1,914 for the national average. This finding largely supports the comparative cities analysis finding on Page 6 which shows the City of Milwaukee's revenues lower than its peer cities. As with Milwaukee's peer city analysis, Wisconsin's taxes and charges for services lag the national average. Also, state aids do not fully compensate municipal governments in Wisconsin for the limits on using other taxes to support municipal services. Local taxes and state aids for municipal services in Wisconsin are \$332 per capita less than the national average.

The Wisconsin tax system was designed to centrally collect sales taxes and income taxes and then redistribute these monies to local units of government. However, the State of Wisconsin is redistributing a declining share of this revenue to municipal governments, significantly limiting the funds needed to provide municipal services in Wisconsin compared to that of other states.

The Census of Governments is produced by the U.S. Bureau of the Census every 5 years since 1957, in years ending in "2" and "7" and provides periodic and comprehensive statistics about governments and governmental activities for all state and local governments.

Appendix III

Data Source and Limitations

Data used in this report is from Comprehensive Annual Financial Reports (CAFR) from the City of Milwaukee and nine comparable cities. This data consists of actual revenue and expenditure figures, and unlike budgeted figures, revenues and expenditures for each of the reported governments may not be equal. The next section of this report titled Comparable Cities Methodology explains how the comparable cities were selected. Local governments use similar classification of expenditures and revenue in their CAFR but there may be some differences in the categorization of this financial data between cities. An example is some cities categorize infrastructure expenditures as Public Works while other cities call this category Public Services. Also, some cities directly finance and administer activities or services that in other municipal governments are undertaken by county government, state government, or the private sector. However, CAFR data is the best and most currently available audited financial data and provides a reasonable basis for comparing cities to get a general understanding of differences between spending and funding of city services. In this report, the Comptroller's Office compares revenue data (local taxes, property taxes, charges for service, etc.) and expenditure by type (administration, public safety, public works, etc.). This Report excludes data from the following categories to enhance the comparability of other cities to the City of Milwaukee:

Electric Power Generation, Public Transit, Airports & Aviation, Cemeteries, Convention Centers, Golf Courses, Sport Facilities, Pass-Through Costs for Employee Retirement Systems, and Public School Education & School Capital Contributions.

The City of Milwaukee provides services that are not provided by all other comparable cities. The largest of these expenditures included in the City of Milwaukee's data, but not all other cities data, are health services and the Port of Milwaukee.

This report utilized 2005 population figures to calculate per capita values for 2009. The population data is from the U.S. Census Bureau's County and City Data Book: 2007.

Appendix IV

Comparable City Methodology

In selecting comparable cities to Milwaukee all US cities with 2000 census populations between 300,000 and 900,000 were chosen. Of these cities, those that are not central cities within their respected MSAs were discarded.

The remaining cities were then classified as either “sunbelt” or “snowbelt”. “Sunbelt” cities are predominately located in the South and Southwest, while “snowbelt” cities are predominately located in the Northeast and Midwest. An anomaly is Portland, which is neither a “sunbelt” nor “snowbelt” city. Located in the Northwest, Portland made the final selection of comparable cities when classified as either “sunbelt” or “snowbelt”. The importance of the classification process is that it allows a variety of cities to be compared to Milwaukee and also ensures that comparable cities are not clustered in one region of the Country.

After assigning “sunbelt” and “snowbelt” classifications, each city’s population figure was compared to the population figure of its MSA. For instance, Milwaukee has a 2000 census population of 596,974 and a MSA population of 1,648,199. This means that the city’s population comprises 36% of the MSA population. Five of the closest “snowbelt” cities and four of the closest “sunbelt” cities in terms of city to MSA population were chosen. The cities of Denver and Baltimore were excluded from this selection process, because these cities have municipal governments with combined county and city functions, which would not provide good spending comparisons to the City of Milwaukee.

When this report commenced, financial statements prepared under the new reporting model required by GASB 34, were not available for the cities of Kansas City, New Orleans, and Las Vegas. These cities were replaced with Charlotte, Oklahoma City and Toledo, which were the next closest in terms of city to MSA population percentage. To provide consistency with prior reports, no change was made in comparable cities. The Comptroller’s Office plans to review the methodology used to determine comparable cities for the next issue of the Comparative Revenue and Expenditure Report, utilizing 2010 Census data.

Overall, the methodology used generates a list of comparably sized cities located throughout the US that are the population centers in terms of their city to MSA populations and are similar in terms of their government function. (i.e. The list excludes combined city/county governments.)

The comparable cities to the City of Milwaukee included in this report are as follows: Pittsburgh, PA; Cincinnati, OH; Portland, OR; Columbus, OH; Charlotte, NC; Sacramento, CA; Oklahoma City, OK; Toledo, OH; Cleveland, OH.

PW FILE NUMBER: 110736

[illegible]



Legislation Details (With Text)

File #: 110411 **Version:** 0
Type: Communication **Status:** In Committee
File created: 7/26/2011 **In control:** FINANCE & PERSONNEL COMMITTEE
On agenda: **Final action:**

Effective date:

Title: Communication from Comptroller's Office transmitting a report titled Audit of Milwaukee Police Department Cash Handling Procedures.

Sponsors: THE CHAIR

Indexes: AUDITS, POLICE DEPARTMENT

Attachments: Audit, Hearing Notice List

Date	Ver.	Action By	Action	Result	Tally
7/26/2011	0	COMMON COUNCIL	ASSIGNED TO		
9/30/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/5/2011	0	FINANCE & PERSONNEL COMMITTEE	HELD TO CALL OF THE CHAIR	Pass	4:0
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
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Version

ORIGINAL

Sponsor

THE CHAIR

Title

Communication from Comptroller's Office transmitting a report titled Audit of Milwaukee Police Department Cash Handling Procedures.

Requestor

Drafter

Comptroller

WMM Ltr

7/13/11



**Audit of
Milwaukee Police Department
Cash Handling Procedures**

W. MARTIN MORICS
City Comptroller
City of Milwaukee, Wisconsin

July 2011

Table of Contents

Transmittal Letter	1
I Audit Scope and Objectives	2
II Background	3
III Audit Conclusions and Recommendations	3
A. MPD Cash Handling Controls and Procedures	3
B. Timeliness and Accuracy of MPD Deposits and Records	5
Recommendation 1: Verify that Treasurer’s Cash Receipts Agree with Revenue Reported by MPD	6
C. Controls over Parking Permit Sales and Stock	6
Recommendation 2: Reconcile Parking Permits Sold	7
Recommendation 3: Return Unsold Permit Stock to DPW with Reconciliation	8
Recommendation 4: MPD Budget & Finance Division Review for Accuracy	8
MPD Response	9



Office of the Comptroller
July 8, 2011

W. Martin Morics, C.P.A.
Comptroller

Michael J. Daun
Deputy Comptroller

John M. Egan, C.P.A.
Special Deputy Comptroller

Craig D. Kammholz
Special Deputy Comptroller

To the Honorable
The Common Council
City of Milwaukee

Dear Council Member:

The attached report summarizes the results of our Audit of the Milwaukee Police Department (MPD) Cash Handling Procedures. The objectives of the audit were to evaluate: cash handling procedures, controls and safeguards utilized by MPD; the timeliness and accuracy of deposits and accounting records related to cash receipts processed by MPD; and controls over parking permit stock, purchases, and revenue reporting.

The audit determined that cash handling procedures and controls at MPD are for the most part sufficient and effective; and, with the exception of night parking permits, deposits and accounting records related to MPD cash receipts appear to be timely and accurate. The audit makes four recommendations to strengthen controls. Audit findings are discussed in the Audit Conclusions and Recommendations section of this report, followed by MPD's response.

Appreciation is expressed for the cooperation extended to the auditors by members of the Milwaukee Police Department.

Sincerely,

W. MARTIN MORICS
Comptroller

I Audit Scope and Objectives

This is an Audit of the City of Milwaukee Police Department (MPD) Cash Handling Procedures. The audit examined procedures and controls for over-the-counter cash receipt transactions at seven district stations, the Prisoner Processing Center, Open Records (District 9), and for night parking permit applications mailed through U.S. Postal Service and processed by Traffic (District 9a). Cash held by MPD as evidence, MPD payments made through the Internet, and grant funds received by MPD were not included in the scope of the audit.

The audit was conducted in accordance with generally accepted government auditing standards. Those standards require that the audit obtain sufficient, appropriate evidence to provide a reasonable basis for the findings and conclusions based on the audit objectives. The Office of the Comptroller believes that the evidence obtained provides a reasonable basis for the audit's findings and conclusions based on the audit objectives.

The audit focused on cash transactions processed between October 1 and December 31, 2010. The audit documented MPD cash handling procedures from walkthroughs and observations conducted at three district stations in December 2010 and January 2011. The audit then tested sample cash transactions to verify that identified controls were in place and operating effectively. Finally, to document how cash reports and records prepared by MPD are processed by other departments, the audit observed the Municipal Court and the Treasurer's Office processing records from MPD.

The objectives of the audit were to:

- Evaluate cash handling controls and procedures utilized by MPD.
- Evaluate timeliness and accuracy of deposits and accounting records related to cash receipts processed by MPD.
- Evaluate controls over parking permit stock and purchases.

II Background

The Milwaukee Police Department (MPD) accepts over-the-counter payments of cash, check and credit card transactions at nine locations – seven district stations, the Prisoner Processing Center (PPC), and Open Records (District 9). MPD's Traffic (District 9a) also processes payments mailed through U.S. Postal Service for night parking permits. Payments are processed for witness fees (received by police officers for court appearances), court fees, bail service fees, night and residential day parking permits, record and copy services, and fingerprint and miscellaneous services. In 2010, MPD processed \$764,000 for these services, eight percent of which was MPD revenue. Most of the cash collected by MPD, 92 percent, is collected for other City departments or forwarded to other jurisdictions. The two largest departments are the Parking Enterprise Fund in the Department of Public Works and the Milwaukee Municipal Court, which consist of 44 percent and 33 percent, respectively, of MPD collections. Fifteen percent of MPD collections are for other courts and jurisdictions.

During the past seven years, MPD and the Parking Enterprise Fund in the Department of Public Works (Parking Fund) have worked together to provide overnight parking permits (night permits) to City residents. This cooperative relationship began in 2004, when the Parking Fund began to install self-service kiosks in MPD district stations. Before 2004, MPD sold all parking permits. During the first three months of 2011, MPD collected less than four percent of the revenue from the sale of parking permits, with 96 percent of parking permit revenue collected by the Parking Fund.

III Audit Conclusions and Recommendations

A. MPD Cash Handling Controls and Procedures

Cash handling controls and procedures provide assurance that cash is adequately safeguarded and deposited, and that transactions are properly processed and recorded. Effective controls also protect employees from charges of misappropriating or misreporting cash. The audit identified key MPD cash handling controls, procedures that implement those controls, and reports and records that document MPD cash transactions. The audit evaluated the sufficiency of existing procedures, and tested a sample of transactions to verify key controls were in place and followed.

The audit found the following cash handling controls and procedures:

Written Procedures: MPD has well designed written cash handling procedures and standard forms for reporting cash collections. These procedures and forms provide consistent documentation of cash collections and establish employee accountability. With the exception of night parking permits, which are discussed in Section C below, the audit found MPD procedures have been implemented effectively and are sufficient.

Physical Security: To minimize the risk of loss or misuse of cash collected, MPD has implemented physical security procedures over cash that provide effective safeguards over cash collections and reporting. When a cash register is closed out at the end of the day, collected cash and checks are placed in a locked bank bag with a signed form that identifies the amount collected. These bank bags are stored in a secure location until an officer brings them to the Treasurer's Office each day, which limits the risk of theft.

Cash Registers: Cash registers accurately record transactions and provide controlled access, which minimizes the risk of inaccurately recorded transactions or misappropriated cash. Each over-the-counter sale is rung up on a cash register, and each sales transaction receives a cash receipt printed by the cash register. Cash registers are located in areas accessible to the cashier but not the public, and they are locked when not in use, with register keys stored in secure locations that are not accessible to the public. Each cash register is closed out daily, and register tapes are printed that capture all cash transactions for the day. MPD replaced its cash registers in 2010 with new registers that are more reliable and easier to operate than the old registers. Each of the three stations visited during the audit had written operating procedures for the new cash registers, which is important because the cashier role changes frequently.

Management Review & Reconciliation: MPD Budget and Finance staff provide management review and reconciliation controls, which further ensures transactions are accurately reported. They review daily reports to verify that revenues reported on detailed reports reconcile both to the daily summary reports and to reported cash collections. The Treasurer's Office, discussed in Section B below, also reconciles cash received to reported revenues.

Separation of Duties and Consistent Documentation: Standard summary cash forms are prepared daily by each MPD location, and key supporting documents are attached to the appropriate forms. The standard summary forms provide consistent and timely documentation to accurately record the various types of revenue collected by MPD, and supporting documents provide the details required by each affected jurisdiction for them to record the applicable court and/or bail activity that MPD processed. These daily reports are completed by the Night Desk Sergeant, who supervises the night shift but does not operate the cash register, which provides effective separation of duties.

Employee Accountability: Each district station maintains written officer shift assignment logs for each daily shift. These written logs identify the officer responsible for the cash register during each shift, which establishes accountability for accurately recorded cash transactions. MPD also requires any cash overage or shortage to be explained in a “matter of” memo written by the officer who was responsible for the cash register when the error occurred. This memo is submitted to the supervising Desk Sergeant, the Night Desk Sergeant, and MPD Budget & Finance. These procedures reduce the risk of cash being misappropriated.

In conclusion, with the exception of Parking Permits, discussed in Section C below, the audit found MPD cash handling controls and procedures to be sufficient and effective.

B. Timeliness and Accuracy of MPD Deposits and Records

The audit found MPD cash receipts are deposited timely and revenues are recorded timely in the City’s financial system (FMIS). Each MPD location places cash, checks and records of credit card payments in a locked bank bag, and bank bags are stored in a secure location until a sworn officer delivers them to the Treasurer’s Office on a daily basis.

The Treasurer’s Office unlocks each bag, recounts the cash and checks, and records the revenue received from each district station. The Treasurer’s Office then prints a cash receipt for each report received from MPD and returns the receipts to MPD Budget and Finance. The Treasurer’s Office deposits the cash and checks with their daily bank deposit and uploads summary revenue information into the City’s general ledger (FMIS).

A key control in the cash handling process is the management oversight provided by MPD Budget and Finance. The audit determined that MPD Budget & Finance receives the cash receipt from Treasury, but it does not compare the cash receipts from Treasury to the revenue reported by each MPD location.

Recommendation 1: Verify that Treasurer's Cash Receipts Agree with Revenue Reported by MPD

MPD Budget and Finance should reconcile the Treasurer's cash receipt to the revenues reported by each MPD location. Given that MPD Budget and Finance reviews the detailed support for cash collections, it is important that an additional step be added to match what Treasury actually deposited to MPD Budget and Finance's records, ensuring that they agree. This simple procedure would verify that revenues reported by MPD were accurately recorded and deposited by the Treasurer.

C. Controls over Parking Permit Sales and Stock

The third audit objective relates to an internal control weakness that KPMG, the City's independent auditing firm, reported to Common Council that the City does not reconcile "the number of permits distributed *to police stations*, number of permits sold, and the number of unsold permits as of year-end.¹"

All revenue from the sale of parking permits, including those sold by MPD, is credited to the Parking Enterprise Fund in the Administrative Services Division of the Department of Public Works (DPW). At this time, most night permits are sold by DPW. Between 2004 and 2010, parking permit revenue increased 35 percent from \$2.9 Million to \$3.9 Million.

Since DPW installed the first kiosks in 2004, MPD has sold fewer night permits from year to year. Over the counter sales at MPD district stations now occur only if the kiosk in the station is out of order. Night permit applications received through the mail, which are processed by District Station 9a, also has dropped significantly; before kiosks were installed, District 9a processed more than 12,000 permits each year, in 2010 they

¹ KPMG Management Letter, dated July 30, 2009, pg 8, *italics added*

processed fewer than 800 permits. For the quarter ended March 31, 2011, MPD sold less than four percent of total parking permit revenue.

The audit verified that MPD does not accurately reconcile sold or unsold pre-numbered permits. The audit also found several district stations do not return expired night permit stock to DPW. Rolls of expired night permit stock from 2009 and 2010 were found in two of the three district stations visited. When asked about the rolls of expired permits, officers explained they did not know how or where to return them.

While the audit focused on parking permits distributed to and sold by MPD district stations, only a small percent of night permits are purchased from MPD. Because night permits are designed, ordered, and distributed by DPW, we asked DPW about reconciling parking permits. The audit determined that no night parking permit stock is reconciled, not only those permits distributed to police stations. A letter of findings dated June 15, 2011, to the Commissioner of Public Works, discusses the need for a City-wide reconciliation of night parking permits; a copy of this letter was sent to the Chief of Police.

While MPD sells only a small percentage of night parking permits, in 2010, MPD collected more than \$325,000 from the sale of night permits. It is important to agree night permit revenues to parking permit numbers distributed to the public, to return unused permit stock to DPW, and to reconcile the number of permits sold, replaced, and returned to permits originally distributed by DPW. These controls will provide assurances both that permits were not given away and that revenues from the sale of permits were not misappropriated.

The recommendations below provide guidance on how MPD might reconcile night permit stock to reported permit revenues. After DPW develops written procedures and forms for night permits, we suggest MPD develop a memorandum of understanding with DPW to implement the procedures and forms they will develop for agreeing night permit stock to revenues and for returning unsold permits.

Recommendation 2: Reconcile Parking Permits Sold

To verify daily revenues for the sale of parking permits is accurate and complete, MPD

should require district stations to reconcile the number of permits reported sold with their reported revenue. The audit recommends that permit revenues reported on MPD Form PD-5 be reconciled to the permit numbers districts report distributed to the public.

Recommendation 3: Return Unsold Permit Stock to DPW with Reconciliation

When a night permit period ends and annual permit prices change at the end of every four months, each district station should return expired unsold permits to DPW, with a report that agrees permit stock originally delivered by DPW with permits sold, replaced and returned. A district station also may elect to reconcile permit numbers sold to reported permit revenue for the four months.

Recommendation 4: MPD Budget & Finance Division Review for Accuracy

MPD procedures should include a management review by MPD Budget and Finance to verify reported daily revenue is consistent with the permit numbers reported distributed by each district station, and verify returned permit stock is reconciled to permits distributed by DPW, sold, and replaced. This management review will ensure internal reconciliations are performed timely and accurately.



Police Department

Edward A. Flynn
Chief of Police

June 27, 2011

W. Martin Morics
City Comptroller
200 East Wells, 4th Floor
Milwaukee, WI 53202

RE: Audit of MPD Cash Handling
Response to Audit

Dear Mr. Morics:

This letter is in response to your Department's audit of the Milwaukee Police Department's (MPD) Cash Handling Procedures. This audit included the review of cash handling procedures and controls used at our District Stations and within the Budget and Finance Section.

In general, it appears that the MPD's cash handling procedures and controls are sufficient and effective. The audit does make several recommendations to improve our processes:

Recommendation No. 1: The MPD should verify that the Treasurer's Cash Receipts agree with our reported revenue.

The MPD agrees to have the Budget & Finance Section verify the City Treasurer's cash receipt entries for accuracy against the MPD's records.

Recommendation No. 2: The MPD should reconcile Parking permits sold.

Recommendation No. 3: The MPD should return unsold permit stock to DPW with reconciliation.

Recommendation No. 4: Budget & Finance should review permit data for accuracy.

The MPD will work with the DPW-Parking Division to address the issues raised in these recommendations. With less than 4% of the overall night parking permits being sold by the MPD, we will strive to make changes that are effective and reasonable to the overall process.

I would like to thank you and your audit staff for your very helpful analysis of this important function of our Department.

Sincerely,

EDWARD A. FLYNN
CHIEF OF POLICE

EAF:DFR

PW FILE NUMBER: 110411

[illegible]



Legislation Details (With Text)

File #: 110513 **Version:** 0
Type: Communication-Report **Status:** In Committee
File created: 9/1/2011 **In control:** FINANCE & PERSONNEL COMMITTEE
On agenda: **Final action:**

Effective date:

Title: Communication from Comptroller's Office transmitting a report titled Audit of Milwaukee Water Works Cashiering Controls.

Sponsors: THE CHAIR

Indexes: AUDITS, WATER WORKS

Attachments: Audit, Hearing Notice List

Date	Ver.	Action By	Action	Result	Tally
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9/30/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/5/2011	0	FINANCE & PERSONNEL COMMITTEE	HELD TO CALL OF THE CHAIR	Pass	5:0
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10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

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THE CHAIR

Title
Communication from Comptroller's Office transmitting a report titled Audit of Milwaukee Water Works Cashiering Controls.

Requestor

Drafter
Comptroller
WMM Ltr
8/5/11



**Audit of
Milwaukee Water Works
Cashiering Controls**

W. MARTIN MORICS

City Comptroller
City of Milwaukee, Wisconsin

August 2011

Table of Contents

Transmittal Letter	1
I. Audit Scope and Objectives	2
II. Organization and Fiscal Impact.....	2
III. Audit Conclusions and Recommendations	3
A. Daily Cashiering Controls.....	3
Recommendation 1: Cashiers should not be allowed to authorize voids.....	4
Recommendation 2: Reasons for each voided transaction should be documented	4
Recommendation 3: There should be formal documentation of supervisory review of voids.....	4
Recommendation 4: Surprise cash counts should be formally documented	4
B. enQuesta Cashiering & Billing System Controls	5
Recommendation 5: Customer Service Representatives and Cashiers not have the same access rights	5
Recommendation 6: Water Revenue Manager should not have access to perform daily cashiering functions.....	5
C. Deposit to City Treasurer and City General Ledger.....	6
Departmental Response	7



Office of the Comptroller

August 5, 2011

W. Martin Morics, C.P.A.
Comptroller

Michael J. Daun
Deputy Comptroller

John M. Egan, C.P.A.
Special Deputy Comptroller

Craig D. Kammholz
Special Deputy Comptroller

To the Honorable
The Common Council
City of Milwaukee

Dear Council Members:

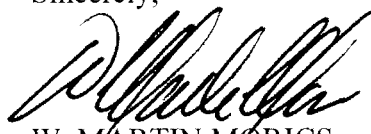
The attached report summarizes the results of our audit of the Milwaukee Water Works Cashiering Controls. The objectives of the audit were to evaluate internal controls over cash and reconciliation procedures at Milwaukee Water Works, evaluate timeliness and accuracy of deposits to the Treasurer, and to evaluate the timeliness and accuracy of recording revenue to the City's General Ledger.

The audit determined that cash controls at Milwaukee Water Works are overall strong with minor improvements needed. The audit makes six recommendations to strengthen controls.

Audit findings are discussed in the Audit Conclusions and Recommendations section of this report, which is followed by Milwaukee Water Works' response.

Appreciation is expressed for the cooperation extended to the auditors by the staff of Milwaukee Water Works.

Sincerely,



W. MARTIN MORICS
Comptroller

I. Audit Scope and Objectives

The audit examined procedures and controls for cash collections at Milwaukee Water Works (MWW). This includes all forms of payments, mail-in payments, walk-in payments of cash and check, bank lockbox, and ACH web payments. The audit examined daily cashiering and close procedures, timeliness of entry into the City's general ledger system, and timeliness of deposit to the City Treasurer.

This audit was conducted in accordance with generally accepted government auditing standards. Those standards require that the audit obtain sufficient, appropriate evidence to provide a reasonable basis for the findings and conclusions based on the audit objectives. The Office of the Comptroller believes that the evidence obtained provides a reasonable basis for the audit's findings and conclusions based on the audit objectives.

Audit procedures for this report were conducted in June and July of 2011. Initially, walkthroughs and observations were completed in order to gain an understanding of all procedures and controls within MWW. The auditor also observed daily close procedures performed by the two supervisors.

The objectives of the audit were to:

- Evaluate the internal controls over cash collections and reconciliation procedures
- Evaluate the timeliness and accuracy of deposits to the City Treasurer and bank
- Evaluate the timeliness and accuracy of recording of revenue to City's General Ledger

II. Organizational and Fiscal Impact

Milwaukee Water Works' main goal is to provide safe drinking water and fire protection to the residents of the City and in 15 surrounding communities. The business office of the department is responsible for collecting and handling all balances due from account holders of the City.

From January 1, 2011 to June 30, 2011, the revenues of Milwaukee Water Works totaled \$85.8 million. Of this amount, approximately \$1.2 million were collections in the form of cash. Payments for water bills are received through multiple payment methods. These include customers who walk-in to pay, mail-in their payments, pay online or through lockbox at the bank. Cash, check, and credit card are all payment types accepted for walk-in payments. There

are generally two cashiers on a daily basis collecting payments from customers.

III. Audit Conclusions and Recommendations

Cash controls are designed to provide management with assurance that cash is received and disbursed accurately; that cash transactions are processed and recorded properly; and that cash is adequately safeguarded and deposited.

Cash controls are strong with minor room for improvement. MWW has a number of cashiering controls in place. The cash collection functions are similar to that of the City Treasurer since the department has a computerized cashiering and billing system. The system provides strong audit evidence that can be utilized for reconciliation and monitoring controls. Since MWW has a system with these capabilities, it is essential that the functions are used to the highest capability to provide strong automated cashiering controls.

This audit makes six recommendations to improve cashiering controls for Milwaukee Water Works.

A. Daily Cashiering Controls

Internal controls over cash are designed to: assure that cash is received and disbursed accurately; that cash transactions are recorded properly; and that remaining cash, or inventory, is safeguarded from loss, including theft. Strong controls also provide protection to employees from charges of inappropriate handling or misreporting of cash.

Milwaukee Water Works has many working controls. One important control is that the Cashiering Supervisor performs the daily close-out and recount of the cash. This allows for an independent employee to recount the cash and ensure that cash on hand is accurate. The Water Revenue Manager also performs periodic surprise cash counts of the cash drawers. This is considered a monitoring control. Physical cash is also secured timely by a daily exchange of cash for check that is performed with the City Treasurer. There are also other monitoring controls that exist, which will be discussed further with the recommendations.

The audit disclosed the following observations as areas for improvement over daily cashiering functions.

- Cashiers are allowed to void transactions without supervisory approval.
- The reasons for voids made are not being documented consistently by the cashiers performing the function.
- Voids are being monitored, but without appropriate documentation.
- Surprise cash counts are occurring, but without formal documentation.

The following recommendations aim to improve these observations to further strengthen controls.

Recommendation 1: Cashiers should not be allowed to void transactions without supervisory approval

Cashiers should not be allowed to void their own transactions without prior approval. Currently, the system enables the cashiers to void their own transactions. Access should be removed that would require a supervisor to step in and authorize a void. If the system does not have this capability, regular monitoring of void reports should occur. See the following recommendations for further elaboration.

Recommendation 2: Cashiers should document the reason for each void performed

Some cashiers are documenting the reasons for voids. However, this needs to be more strictly enforced to allow for a more efficient and effective review when the supervisor is monitoring daily voids.

Recommendation 3: Formal documentation of supervisory review of voids needs to occur

Currently, the Cashiering Supervisor is reviewing voids on a daily basis. However, there is no formal documentation of this review. Formal documentation provides for an audit trail since system limitations exist to remove access of cashiers performing voids.

Recommendation 4: Surprise cash counts should be formally documented

The Water Revenue Manager currently conducts surprise cash counts of the cash drawers on a regular basis. However, there is no formal record of this activity. Having formal documents from the system with signature and date provides for a formal audit trail to show that the monitoring was conducted and no exceptions were found.

B. enQuesta Cashiering & Billing System Controls

The audit examined the system controls of the enQuesta cashiering and billing system used by the department for billing and cash collections for water accounts. Audit procedures included analyzing user groups and assigned access rights relevant to cashiering controls. The audit disclosed a strong level of automated controls.

However, there were two noteworthy areas for improvement.

- Customer Service Representatives and Cashiers are part of the same user group. Thus, they have the same access rights that create a lack of separation of duties and lack of accountability.
- The Water Revenue Manager has access to perform day to day cashiering functions that are not part of the job responsibilities.

The following are recommendations to alleviate these issues above and strengthen controls within the divisions and accounting section of the department.

Recommendation 5: Customer Service Representatives and Cashiers should be separated into two user groups

Customer Service Representatives and Cashiers have different job functions. The Customer Service Representatives are responsible for handling customer account issues and performing adjustments to customer accounts. The Cashiers are responsible for collecting payments from customers that walk in to pay their account balances. Separate system user groups should be established to properly separate these job duties so that Cashiers cannot adjust account balances and Customer Service Representatives cannot process customer payments. This would strengthen internal controls.

Recommendation 6: Water Revenue Manager should not have access to perform daily cashiering functions

The Water Revenue Manager monitors the cashiering function but does not process actual payments. Therefore, internal controls would be strengthened if the Water Revenue Manager did not have system access to payment processing.

C. Deposit to City Treasurer and General Ledger

Deposits to the City Treasurer need to occur timely in order to ensure the physical security of cash. Milwaukee Water Works receives cash payments daily. Thus, it is necessary to secure this cash as quickly as possible. First, MWW performs a daily exchange by delivering cash to the Treasurer and receiving a check in return. The following morning after the journal entry is entered into the general ledger, the deposit slip with supporting documentation is taken to the Treasurer and MWW deposits all checks directly to the bank.

The audit examined the deposit of cash to the Treasurer as well as the daily journal entry to the City's general ledger. The audit tested a sample of daily receipts including all cash, check, lockbox and internet payments. This test disclosed that cash is being timely and properly secured and deposits are accurately and timely posted to the City's general ledger and the Treasurer's cashiering system. There are no recommendations in regards to these procedures and controls.

Milwaukee Water Works

COMPTROLLER
2011 AUG -2 AM 9:18

July 29, 2011

W. Martin Morics
Comptroller
City Hall, Room 404

RE: Response to Audit of Milwaukee Water Works Cashiering Controls

Dear Mr. Morics:

Thank you for the opportunity to work with your staff to review cashiering controls of Milwaukee Water Works (MWW). We have reviewed the report and offer the following responses to the recommendations.

Recommendation 1: Cashiers should not be allowed to void transactions without supervisory approval.

MWW Response to Recommendation 1:

In order to facilitate payment processing and not unduly burden customers who are attempting to make a payment, cashiers need to be able to reverse cash register transactions processed at the counter. There are times when managers are not available to authorize a reversal. MWW has a daily report which lists all reversals processed at the cash register. These reports are reviewed by management twice: on the same day prior to cash close out, and on the following day prior to posting the Cash Deposit to FMIS. With the implementation of Recommendation 2 (see below), additional information will be available to the reviewing manager. With the implementation of Recommendation 3 (see below), the manager's review will be formally documented. These are additional compensating controls to address the concern.

Recommendation 2: Cashiers should document the reason for each void performed.

MWW Response to Recommendation 2:

MWW concurs with this recommendation and has implemented it. Cashiers now document the reason for each void performed.

841 N. Broadway
Zeidler Municipal Building
Room 409
Milwaukee, Wisconsin 53202
www.water.mpw.net

Recommendation 3: Formal documentation of supervisory review of voids needs to occur.

MWW Response to Recommendation 3:

MWW concurs with this recommendation and has implemented it. The supervisor documents the review of all voids.

Recommendation 4: Surprise cash counts should be formally documented.

MWW Response to Recommendation 4:

MWW concurs with this recommendation and has implemented it. Surprise cash counts are being formally documented.

Recommendation 5: Customer Service Representatives and Cashiers should be separated into two user groups.

MWW Response to Recommendation 5:

MWW concurs with the recommendation and has established separate user groups for the Cashiers and the Customer Service Representatives.

Recommendation 6: Water Revenue Manager should not have access to perform daily cashiering functions.

MWW Response to Recommendation 6:

MWW concurs with the recommendation and has implemented it. The Water Revenue Manager no longer has access to payment processing.

Thank you again for the time your staff put into this audit.

Very truly yours,



Carrie M. Lewis, Superintendent
Milwaukee Water Works

CML:sls

PW FILE NUMBER: 110513

[illegible]



Legislation Details (With Text)

File #: 110661 **Version:** 0
Type: Communication **Status:** In Committee
File created: 9/20/2011 **In control:** FINANCE & PERSONNEL COMMITTEE
On agenda: **Final action:**

Effective date:

Title: Communication from Comptroller's Office transmitting a report titled Audit of Health Department Cashiering Controls.

Sponsors: THE CHAIR

Indexes: AUDITS, HEALTH DEPARTMENT

Attachments: Audit, Hearing Notice List

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9/20/2011	0	COMMON COUNCIL	ASSIGNED TO		
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10/5/2011	0	FINANCE & PERSONNEL COMMITTEE	HELD TO CALL OF THE CHAIR	Pass	4:0
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THE CHAIR

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Requestor

Drafter
Comptroller
WMM Ltr
9/1/11



**Audit of
Health Department
Cashiering Controls**

W. MARTIN MORICS

City Comptroller
City of Milwaukee, Wisconsin

September 2011

Table of Contents

Transmittal Letter	1
I. Audit Scope and Objectives	2
II. Organization and Fiscal Impact.....	2
III. Audit Conclusions and Recommendations	3
A. Daily Cashiering Controls	4
Recommendation 1: Generate a receipt for each transaction processed	4
Recommendation 2: Record application, permit or license number for each transaction	5
Recommendation 3: Physical security of cash needs to be enhanced	5
B. Daily Reconciliation and Accounting Procedures.....	5
Recommendation 4: Either division supervisors or accounting should review cashiers' transactions.....	6
Recommendation 5: Duties should be segregated among accounting staff.....	6
C. Deposits to City Treasurer	6
Recommendation 6: Verify receipt from Treasurer matches deposit slip	7
Departmental Response	8



Office of the Comptroller
September 1, 2011

W. Martin Morics, C.P.A.
Comptroller

Michael J. Daun
Deputy Comptroller

John M. Egan, C.P.A.
Special Deputy Comptroller

Craig D. Kammholz
Special Deputy Comptroller

To the Honorable
The Common Council
City of Milwaukee

Dear Council Members:

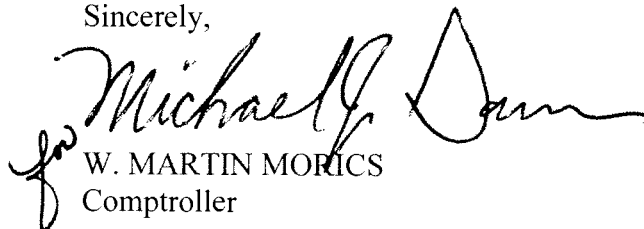
The attached report summarizes the results of our Audit of the Health Department Cashiering Controls. The objectives of the audit were to evaluate internal controls over cash in the Health Department, evaluate reconciliation and deposit activities, and to evaluate the safeguarding of receipts prior to deposit with the City Treasurer.

The audit determined that cash controls in the Health Department are reasonable with room for improvement. The audit makes six recommendations to strengthen controls.

Audit findings are discussed in the Audit Conclusions and Recommendations section of this report, which is followed by the Health Department's response.

Appreciation is expressed for the cooperation extended to the auditors by the staff of the Health Department.

Sincerely,


for W. MARTIN MORICS
Comptroller

I. Audit Scope and Objectives

The audit examined procedures and controls for cash collections in the Health Department, including the collections at the Consumer Environmental Health Division, Vital Statistics Division, and Lead Abatement Division. The audit also examined daily close procedures of these collections when transferred to central accounting for entry into the City's general ledger system and then deposit to the City Treasurer.

This audit was conducted in accordance with generally accepted government auditing standards. Those standards require that the audit obtain sufficient, appropriate evidence to provide a reasonable basis for the findings and conclusions based on the audit objectives. The Office of the Comptroller believes that the evidence obtained provides a reasonable basis for the audit's findings and conclusions based on the audit objectives.

Audit procedures for this report were conducted in April 2011. Initially, walkthroughs and observations were completed in order to gain an understanding of all collections occurring within the Health Department. The auditor observed the accounting function prepare deposit slips and FMIS journal entries from a day's collections. The audit then selected samples from each division to test if the controls identified were operating effectively.

The objectives of the audit were to:

- Evaluate the internal controls over collection of receipts
- Evaluate the reconciliation and deposit activities of cash receipts
- Evaluate the safeguarding of the receipts prior to deposit with the City Treasurer

II. Organizational and Fiscal Impact

The mission of the Health Department is to assure that the City of Milwaukee is the healthiest city in the nation, with the best personal health care, environmental health, and population-based preventive services possible. The Health Department engages in the following activities in order to accomplish its mission. These services include the division of Consumer Environmental Health which inspects licenses and enforces health codes to various types of restaurants and businesses. They also provide health education initiatives and disease control by providing immunizations at various health clinics around the City. The Department also provides lead abatement initiatives to prevent childhood poisoning. Finally, the division of Vital Statistics

issues copies of birth and death certificates to residents.

In 2010, the revenues of the Health Department for services it provided totaled \$2,479,971. Of this amount, approximately \$2 million were for food and restaurant licenses. The 2011 City budget adopted that food and restaurant licenses be transferred to the City Clerk. The Health Department continues to conduct the inspections, but licenses are being issued at the City Clerk and payments for the licenses are collected at the City Treasurer. Approximately \$348,000 of these revenues are accounted for by the weights and measures licenses, \$86,000 for vital statistic fees, \$17,000 for lead abatement permits, and \$11,000 to tattoo licenses.

Payments for these services and licenses are made through mail and walk-in payments. Cash, check, and credit card are all accepted for licenses, permits and certificates issued and sold by Consumer Environmental Health, Lead Abatement and Vital Statistics.

III. Audit Conclusions and Recommendations

Cash controls are designed to provide management with assurance that cash is received and disbursed accurately; that cash transactions are processed and recorded properly; and that cash is adequately safeguarded and deposited.

Cash controls are reasonable; however, there is room for improvement. The Health Department's divisions have varying levels of cashiering controls. The cash collection functions are more manual at the Health Department, but with some adequate practices in place. Some divisions have a lack of separation of duties due to staffing levels while other divisions have sufficient staffing with different opportunities for improvement. The audit focused on the cash collections for Consumer Environmental Health, Vital Statistics and Lead Abatement permits. Flu vaccinations at the clinics around the City were not tested as the revenue from this was minimal in 2010 and there were no actual collections in 2011, since vaccinations were provided free of charge.

This audit makes six recommendations to improve cash controls for the divisions and accounting of the Health Department.

A. Daily Cashiering Controls

Internal controls over cash are designed to: assure that cash is received and disbursed accurately; that cash transactions are recorded properly; and that remaining cash, or inventory, is safeguarded from loss, including theft. Strong controls also provide protection to employees from charges of inappropriate handling or misreporting of cash.

When a transaction occurs, certain procedures and controls need to be in place to provide for a solid audit trail. Evidence of the existence of a transaction is the most important documentation needed.

The audit disclosed the following observations as areas for improvement over daily cashiering functions.

- Receipts are not generated or given for all transactions regardless of division processed in.
- Current records maintained do not link the payments with the transaction related documents such as with application, permit or license numbers.
- Physical security of cash may be at heightened risk before deposit to the City Treasurer.

The following recommendations aim to improve these observations to further strengthen controls.

Recommendation 1: Generate a receipt for each transaction processed

Receipts need to be given for each transaction processed within each division issuing a license, permit, or selling a death or birth certificate.

- Lead Abatement permits - the cashier needs to generate and give a manual receipt from a receipt book.
- Vital Statistics and Consumer Environmental Health - generate and hand out a receipt for each transaction.

Recommendation 2: Record application, permit or license number for each transaction

For each sale or issuance of license, permit or birth/death certificate, the application, permit or license number should be recorded within the manual log of receipts. This process will ensure a link between the payment and the document issued or sold for the transaction.

Recommendation 3: Physical security of cash needs to be enhanced

Physical security of cash needs to be strengthened within the divisions as well as when it is secured at the departmental level before deposit to the City Treasurer. The divisions need to ensure that cash and checks are secured within their offices if it is not transferred immediately at the end of the day to accounting. Once transferred, physical security procedures need to be strengthened in order to ensure that only a limited number of staff have access to the cash deposits before deposit to the City Treasurer.

- Keys to cash drawers within the divisions need to be secured in areas where limited staff has access to them.
- Accounting staff needs to secure cash and checks so a minimum number of staff have access to it including other accounting personnel who have no direct involvement with the cash and checks (such as payroll clerks).

B. Daily Reconciliation and Accounting Procedures

When cash is collected for the day, close out and reconciliation procedures need to be completed in order to ensure that there are payments for all licenses, permits, and certificates sold. Transaction related documents need to be accounted for and matched up against the payments collected in order to ensure accuracy. Supervisory control is also necessary in order to review the cashiers' processing of transactions.

The audit disclosed the following observations of the cash close out procedures for the three divisions and the accounting section.

- There are no verification procedures conducted on a daily basis by the supervisors in the division. The cashiers close out and take all relevant documents to accounting themselves.

- The cash log provided to the accounting clerk is used to verify the physical cash. The accounting clerk does not check to make sure that the transactions recorded are complete. This staff member only counts the cash to ensure that it matches to the log.
- There is a lack of segregation of duties within accounting in regards to recording revenue, verifying cash, and depositing to the City Treasurer.

The following are recommendations to alleviate these issues above and strengthen controls within the divisions and accounting section of the department.

Recommendation 4: Supervisors within divisions or accounting should review cashiers transactions at daily close out for proper completion

The Department should determine if it is more practical for the supervisor within each division or for accounting to review each day's transactions for completeness. Each division's daily collections should be agreed to the transaction related documents and the total payments received (cash, checks, and credit card receipts). Each payment should be able to be matched up against each license, birth/death certificate, or lead permit issued or sold for the day.

Recommendation 5: Duties should be segregated among the accounting staff in order to ensure proper deposit and recording of daily cash collections

The procedures within accounting need to be redesigned to ensure that daily deposit, reconciliation, and revenue recording activities are properly segregated among employees. Ideally, the same employee should not record the revenue, maintain custody of the assets, (cash and checks) prepare, and make the deposit to the City Treasurer.

C. Deposits to City Treasurer

Deposits to the City Treasurer need to occur frequently in order to ensure timely deposit of the cash to the bank. Cash can then be invested more quickly so that interest income can begin to accumulate as early as possible. Accuracy of the cash being deposited is also important. The City Treasurer tellers need to verify the cash that the departments take over for deposit.

The Treasurer issues receipts to the departments and taxpayers when a transaction is processed through their office. This process is essential for the departmental deposits since the cash and checks represent the department's revenue collections. A receipt should always be given when the department (customer) walks in to make a deposit. Currently, the Treasurer is taking the deposit slips and currency from the Health Department and not issuing a receipt until later when they have time to process the deposits and recount the cash. They do not count the cash in front of the departmental employee. This is a procedural weakness of the City Treasurer that needs to be remedied within their office.

Consequently, if the Treasurer does not implement any changes to the current procedure, the Health department needs to consider the following recommendation to mitigate this matter.

Recommendation 6: Verify that deposit slip and Treasurer receipt match

Since the Treasurer is not issuing a receipt to the accounting clerk at the time of drop off, the amounts listed on the receipt should be matched up to the deposit slip by the Health Department. This should also be reported to the divisions to inform them that their cash has been deposited accurately. These procedures will ensure that all cash, checks and documentation submitted by the accounting clerk on the deposit slip were deposited and recorded accurately by the Treasurer.



Health Department

Tom Barrett
Mayor

Bevan K. Baker, FACHE
Commissioner of Health

Raquel M. Filmanowicz
Health Operations Administrator

Family and Community Health Services

web site: www.milwaukee.gov/health

August 31, 2011

W. Martin Morics
Comptroller
City Hall, Room 404

RE: 2011 Audit of the Health Department Cashiering Controls

Dear Mr. Morics:

This is the written response to the Audit of the Health Department's Cashiering Controls dated July 2011. Below are the specific responses to the six (6) recommendations cited in the audit.

Recommendation 1 – Generate a receipt for each transaction processed.

We agree with this recommendation as it relates to our Lead Abatement permits and Vital Statistics Section. The Consumer Environmental Health Section already generates a receipt for each transaction which is reconciled with the invoice and license generated through the CHILI's software program.

New procedures have been implemented in the Lead Section that connects the permit number with the receipt provided as well as the log of permits issued.

The Vital Statistics Section will be purchasing a new cash register to implement several of the recommendations of this audit. We will look for a cash register that has the capability to handle the more complex receipt specifications necessary to provide the details of each transaction as specified in this recommendation.

Recommendation 2 – Record application, permit or license number for each transaction.

We agree with the recommendation as it relates to our Lead Abatement permits and Vital Statistics Section. The Consumer Environmental Health Section already links the payment for each transaction which is reconciled with the invoice and license generated through the CHILI's software program.

Think Health. Act Now!

New procedures have been implemented in the Lead Section that links the permit number with the receipt provided as well as the log of permits issued.

As described previously, the Vital Statistics Section will be looking for a new cash register that has the capability to handle the link between the payment and a unique local file number of the Birth or Death Certificate being issued.

Recommendation 3 – Physical security of cash needs to be enhanced.

We agree with the recommendation and will ensure that additional security measures are taken within each division. This may include but not limited to the issuance of keys or installation of keyed cash drawers, purchase of new cash registers, moving of cash registers, and purchase of separate cash boxes for each section to store in the central safe. Each section will be assessed individually and measures will be implemented to meet their specific operations and physical space issues as well as our budgetary limitations.

Recommendation 4 – Supervisors within divisions or accounting should review cashiers transactions at daily close out for proper completion.

We agree with the recommendation and will implement a procedure in each section that will require a supervisor or manager to review daily transactions at the daily close.

Recommendation 5 – Duties should be segregated among the accounting staff in order to ensure proper deposit and recording of daily cash collections.

We do not entirely agree with this recommendation due to impracticability, but will implement partial compensating controls. We are investigating the option of having each section make its own deposit to the Treasurer's Office, while the accounting section maintains the responsibility for recording the revenue in FMIS and maintain all records. We feel confident that the records kept by each section are still complete enough to provide a reconciliation of the deposits. We also feel that the custody of the assets (cash and checks) is should be maintained centrally in the safe on the 3rd Floor of the ZMB upon close each day, however with the implementation of recommendation 3 the physical security will be enhanced and segregated within the safe.

Recommendation 6 – Verify that deposit slip and Treasurer receipt match

We agree with this recommendation and will implement a new procedure to ensure that once the Treasurer's receipt is received the Accounting Assistant II will verify that it matches the deposit slip and then provide confirmation to the affected program. This was already implemented in Vital Statistics and is part of the monthly reconciliation of the deposit summary. As a result of the audit this has already been implemented in the Consumer Environmental Health Food Licensing Division and Lead Section.

Please direct any questions on the above responses to Yvette Rowe, Business Operations Manager, at X3997.

Sincerely,

A handwritten signature in black ink that reads "Bevan K. Baker (RUF)". The signature is written in a cursive, flowing style.

Bevan K. Baker, FACHE
Commissioner of Health

PW FILE NUMBER: 110661

[illegible]



Legislation Details (With Text)

File #: 110784 **Version:** 0

Type: Communication **Status:** In Committee

File created: 10/11/2011 **In control:** FINANCE & PERSONNEL COMMITTEE

On agenda: **Final action:**

Effective date:

Title: Communication from the Wisconsin Division of Transportation Investment Management relating to the 2012 General Transportation Aids and Connecting Highway Aids Estimates.

Sponsors: THE CHAIR

Indexes: BUDGET, HIGHWAYS, TRANSPORTATION, WISCONSIN DEPARTMENT OF TRANSPORTATION

Attachments: Wisconsin Division of Transportation Investment Management Notice, Hearing Notice List

Date	Ver.	Action By	Action	Result	Tally
10/11/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number

110784

Version

Original

Reference

Sponsor

THE CHAIR

Title

Communication from the Wisconsin Division of Transportation Investment Management relating to the 2012 General Transportation Aids and Connecting Highway Aids Estimates.

Drafter

dkf

9/29/11



Division of Transportation Investment
Management
Bureau of Transit, Local Roads, Railroads & Harbors
PO Box 7913
Madison, WI 53707-7913

Scott Walker, Governor
Mark Gottlieb, P.E., Secretary
Internet www.dot.wisconsin.gov

CITY OF MILWAUKEE
2011 SEP 29 AM 11:04
Telephone: 608-267-7350
Facsimile (FAX): 608-266-0658
Email: lori.richter@dot.wi.gov

RONALD D. LEONHARDT
CITY CLERK

September 23, 2011

RONALD D LEONHARDT
CITY OF MILWAUKEE
200 E WELLS ST RM #205
MILWAUKEE WI 53202-3515

Ref. No 40251

Dear Clerk:

Subject: 2012 General Transportation Aids and Connecting Highway Aids Estimates

Our current estimates of 2012 General Transportation Aids (GTA) and Connecting Highway Aids (CHA) for your municipality are shown below. We estimate your GTA figure based on the most recent transportation-related cost data from the Department of Revenue. Connecting highways are marked State Trunk Highways through your community. Your estimate of 2012 Connecting Highway Aids reflects the lane mileage data as of December 31, 2010.

Please review the data carefully. This is your opportunity to correct any errors prior to the calculation of the final figures, which will be sent to you on December 15, 2011. Please contact me with any questions and/or changes no later than November 8, 2011.

Your General Transportation Aids will be distributed in four equal payments to be mailed on the first Monday of each quarter (January 3, April 2, July 2, and October 1, 2012). Your January payment will be electronically deposited (ACH). Please keep your bank routing number updated to reflect your current checking account number.* For questions regarding your GTA/CHA estimate or payments, please contact us at the Department of Transportation.

2012 General Transportation Aids Estimate	\$22,915,696.01
2012 Connecting Highway Aids Estimate	\$1,764,839.38

Sincerely,

Lori A. Richter, GTA/CHA Program Manager
Telephone: (608) 266-0254

*For changes in: banks or bank accounts, name, address, daytime phone number, email address, and/or fax number, please contact Carol Doran (608-266-2569) at the Department of Revenue.

2012 GTA ESTIMATE

CALCULATION PROCESS

**NOTE: Counties
are not eligible
for the Rate Per
Mile payment.**

1. GTA INPUT FIGURES:

CVT CODE: 40251

NAME: MILWAUKEE

MILEAGE as of 1/1/2010 1348.90

MILEAGE as of 1/1/2011 1349.40

2011 AIDS: \$25,461,884.45

6 YEAR COSTS:

2005 COSTS: \$149,033,500.00

2006 COSTS: \$134,997,260.00

2007 COSTS: \$141,969,720.00

2008 COSTS: \$150,560,420.00

2009 COSTS: \$144,254,640.00

2010 COSTS: \$147,310,020.00

6 YEAR AVERAGE COSTS: \$144,687,593.30

3 YEAR AVERAGE COSTS: \$147,375,026.70

2. DETERMINE METHOD OF CALCULATION (GREATER OF SOC or RPM):

SHARE OF COSTS (SOC) CALCULATION:

6 YEAR AVERAGE COSTS: \$144,687,593.30

SOC PERCENTAGE: 13.3088%

SOC AMOUNT: \$19,256,165.06

RATE PER MILE (RPM) CALCULATION:

MILEAGE as of 1/1/2011: 1349.40

RATE PER MILE: \$2,117.00

RPM AMOUNT: \$2,856,679.80

3. APPLY APPROPRIATE MIN/MAX CUSHIONS (SOC or RPM):

SHARE OF COSTS CUSHIONS:

2011 AIDS: \$25,461,884.45

MINIMUM 2012 AIDS: \$22,915,696.01

MAXIMUM 2012 AIDS: \$29,281,167.12

CUSHION ADJUSTMENT: \$3,659,530.95

RATE PER MILE CUSHIONS:

MILEAGE as of 1/1/2011: 0.00

MILEAGE as of 1/1/2010: 0.00

MILEAGE CHANGE: 0.00

% CHANGE: 0.0000%

2011 AIDS: \$0.00

2012 ADJUSTED BASE: \$0.00

MINIMUM 2012 AIDS: \$0.00

CUSHION ADJUSTMENT: \$0.00

4. APPLY AIDS CAP TO MUNIS:

85% RESTRICTION:

3 YEAR AVERAGE COSTS: \$147,375,026.70

CAP FOR MUNIS: 85%

MAX PAYMENT DUE TO CAP: \$125,268,772.70

REDUCTION DUE TO CAP: \$0.00

5. CALCULATE FINAL PAYMENT:

COMPONENTS OF PAYMENT:

SHARE OF COSTS AMOUNT: \$19,256,165.06

RATE PER MILE AMOUNT: \$0.00

SOC/RPM CUSHION ADJUSTMENT: \$3,659,530.95

REDUCTION DUE TO MUNI CAP: \$0.00

COST FILING PENALTY: \$0.00

TOTAL GTA AMOUNT: \$22,915,696.01

An expanded
description of
the calculation
process and
data definitions
is provided on
the GTA
homepage at:

**Due to
rounding, the
TOTAL GTA
AMOUNT on
this worksheet
may differ
slightly from the
GTA total on
your 2012
estimate letter.*

PW FILE NUMBER: 110784

[illegible]



Legislation Details (With Text)

File #:	110878	Version:	0
Type:	Communication	Status:	In Committee
File created:	10/11/2011	In control:	FINANCE & PERSONNEL COMMITTEE
On agenda:		Final action:	
Effective date:			
Title:	Communication from the Wisconsin Department of Revenue relating to state aid payments due to exempted business computers from being subject to property taxes.		
Sponsors:	THE CHAIR		
Indexes:	BUDGET, TAXATION, WISCONSIN DEPARTMENT OF REVENUE		
Attachments:	Communication, Communication Dated 10-7-11, Hearing Notice List		

Date	Ver.	Action By	Action	Result	Tally
10/11/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number

110878

Version

Original

Reference

Sponsor

The Chair

Title

Communication from the Wisconsin Department of Revenue relating to state aid payments due to exempted business computers from being subject to property taxes.

Drafter

CC-CC

dkf

10/13/10



STATE OF WISCONSIN • DEPARTMENT OF REVENUE

Notice of Value of Property Tax Exempt Computers

OFFICE LOCATED AT
2135 RIMROCK ROAD

MAILING ADDRESS
POST OFFICE BOX 8971
MADISON, WISCONSIN 53708

October 7, 2011

RONALD D LEONHARDT
200 E WELLS ST RM #205
MILWAUKEE, WI 53202-3515

MUNICIPALITY MILWAUKEE
COUNTY OF MILWAUKEE
COUNTY CODE 40
MUNICIPAL CODE 251

CITY OF MILWAUKEE
2011 OCT 12 AM 11:30
RONALD D. LEONHARDT
CITY CLERK

Dear Municipal Clerk:

1997 Wisconsin Act 237 exempted business computers from being subject to property taxes beginning with the 1999 property tax levy collected in 2000. It also provides for a state aid payment to your municipality to offset the loss of this taxable property. This notice gives you the 2011 equalized value of tax exempt computers in your municipality not in a TID and additional information you need to estimate how much state computer aid your taxation district will receive in 2012. You should then include this estimated state aid payment as a revenue in your 2012 budget to determine your required 2011 property tax levy. If you follow the steps below and on the back of this notice, your estimated aid will be the same as the amount calculated by the Department of Revenue.

REQUIRED INFORMATION:

2011 equalized value of exempt computers in your munc. (TID OUT)	\$ 445,801,400
2011 equalized value of your municipality (TID OUT)	\$ 27,935,088,200
2011 equalized value plus exempt computer value (TID OUT)	\$ 28,380,889,600

PROCEDURE TO CALCULATE EXEMPT COMPUTER AID:

Step 1: Finish your municipality's normal budget procedures and calculate a proposed property tax levy without consideration of any state exempt computer aid as a budget revenue.

Step 2: Divide this proposed property tax levy by the sum of your 2011 equalized value (TID OUT) plus your exempt computer value to calculate a mill rate. Please calculate this rate out to at least 8 decimal places.

Step 3: Multiply the rate from Step 2 by the value of your exempt computers. This is the estimated amount of your state exempt computer aid for your 2012 budget.

Step 4: Include this amount of computer aid as a revenue in your 2012 budget and recalculate your municipality's required property tax levy. Your required tax levy should equal the proposed levy from Step 1 above less your amount of estimated state aid for exempt computers.

If you have any questions about this notice, please call Sue Nelson at 608-266-8618.

Eileen Mallow Local Government Services Bureau Director 608 261-5360

EXEMPT COMPUTER AID WORKSHEET

(Step 2) Calculate the appropriate rate:

Your proposed property tax levy (before computer aid) _____

2011 adjusted value = 2011 equalized value plus
exempt computer value. (TID OUT) 28,380,889,600

Rate. Divide tax levy by adjusted value. _____

(Step 3) Calculate amount of state aid for exempt computers:

Rate from step 2. _____

Value of exempt computers from your Oct. 7, 2011 notice. 445,801,400

Computer aid. Multiply rate times value of exempt
computers. _____

(Step 4) Calculate amount of property tax levy:

Your proposed tax levy (from step 2) _____

Subtract computer aid (from step 3) _____

Required property tax levy _____



STATE OF WISCONSIN • DEPARTMENT OF REVENUE

Notice of Value of Property Tax Exempt Computers
Tax Exempt Computers in Tax Incremental Finance Districts

OFFICE LOCATED AT
2135 RIMROCK ROAD

MAILING ADDRESS
POST OFFICE BOX 8971
MADISON, WISCONSIN 53708

October 7, 2011

RONALD D LEONHARDT
200 E WELLS ST RM #205
MILWAUKEE, WI 53202-3515

MUNICIPALITY OF MILWAUKEE
MUNICIPALITY CODE 40251

CITY OF MILWAUKEE
 2011 OCT 17 AM 9:44
 RONALD D. LEONHARDT
 CITY CLERK

Dear Village or City Official:

1997 Wisconsin Act 237 exempted business computers from being subject to property taxes beginning with the 1999 property tax levy, collected in 2000. It also provided for a state aid payment to your taxation district to offset the loss of this taxable property. This notice gives you the 2011 equalized value of tax exempt computers in your tax district that are located within a Tax Incremental District (TID). You will receive exempt computer aid from the state in 2012. Include this state aid payment along with the tax increment you will generate in each TID as a revenue for that TID. If you follow the steps below, your estimated aid will be the same as the amount calculated by the Department of Revenue. If you have many TIDs, please check the back of this notice for data.

PROCEDURE TO CALCULATE EXEMPT COMPUTER AID:

Step 1: Finish your tax district's tax increment worksheet and determine the interim rate in column C.

Step 2: Multiply the value of exempt computers in each TID by the corresponding interim rate. If you do not have TIDs in more than one school district or special district then you should only have one interim rate. If only one rate, it can be applied to all TIDs.

Step 3: The amount calculated for each TID should be included as a tax increment when you determine if a TID has generated enough tax increment to equal the qualified expenses. When you close a TID any excess tax increment that was generated must be distributed to the other taxing jurisdictions. The excess amount will include the exempt computer aid.

If you have any questions about this notice, please call Sue Nelson at 608-266-8618.

Eileen Mallow Local Government Services Bureau Director 608 261-5360

2011 value of exempt computers TID# 15 MILWAUKEE	\$ 18,200
2011 interim tax rate from Tax Increment Worksheet	
2011 value of exempt computers TID# 17 MILWAUKEE	\$ 35,300
2011 interim tax rate from Tax Increment Worksheet	
2011 value of exempt computers TID# 18 MILWAUKEE	\$ 77,900
2011 interim tax rate from Tax Increment Worksheet	
2011 value of exempt computers TID# 20 MILWAUKEE	\$ 10,900
2011 interim tax rate from Tax Increment Worksheet	

2011 value of exempt computers TID# 22	MILWAUKEE	\$ 2,290,900
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TIO# 23	MILWAUKEE	\$ 406,200
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TID# 27	MILWAUKEE	\$ 215,900
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TID# 28	MILWAUKEE	\$ 0
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TIO# 30	MILWAUKEE	\$ 72,400
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TID# 35	MILWAUKEE	\$ 3,300
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TIO# 37	MILWAUKEE	\$ 3,740,600
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TIO# 39	MILWAUKEE	\$ 98,100
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TIO# 40	MILWAUKEE	\$ 137,200
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TIO# 41	MILWAUKEE	\$ 3,613,600
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TIO# 42	MILWAUKEE	\$ 169,800
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TIO# 44	MILWAUKEE	\$ 33,900
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TIO# 46	MILWAUKEE	\$ 215,100
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TID# 47	MILWAUKEE	\$ 9,298,200
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TID# 48	MILWAUKEE	\$ 747,000
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TID# 49	MILWAUKEE	\$ 962,200
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TID# 50	MILWAUKEE	\$ 0
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TIO# 51	MILWAUKEE	\$ 45,000
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TID# 52	MILWAUKEE	\$ 1,267,800
2011 interim tax rate from Tax Increment Worksheet		
2011 value of exempt computers TID# 53	MILWAUKEE	\$ 1,112,900
2011 interim tax rate from Tax Increment Worksheet		



STATE OF WISCONSIN • DEPARTMENT OF REVENUE

OFFICE LOCATED AT
2135 RIMROCK ROAD

MAILING ADDRESS
POST OFFICE BOX 8971
MADISON, WISCONSIN 53708

2011 value of exempt computers TID# 54	MILWAUKEE	\$	701,100
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 55	MILWAUKEE	\$	333,700
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 56	MILWAUKEE	\$	33,500
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 57	MILWAUKEE	\$	1,641,900
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 58	MILWAUKEE	\$	0
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 59	MILWAUKEE	\$	162,300
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 60	MILWAUKEE	\$	12,100
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 61	MILWAUKEE	\$	9,800
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 62	MILWAUKEE	\$	1,421,200
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 63	MILWAUKEE	\$	300,600
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 64	MILWAUKEE	\$	1,744,900
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 65	MILWAUKEE	\$	200
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 66	MILWAUKEE	\$	2,700
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 67	MILWAUKEE	\$	0
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 68	MILWAUKEE	\$	70,000
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 70	MILWAUKEE	\$	2,335,800
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 71	MILWAUKEE	\$	389,300
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 72	MILWAUKEE	\$	0
2011 interim tax rate from Tax Increment Worksheet			

2011 value of exempt computers TID# 73	MILWAUKEE	\$	100
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 74	MILWAUKEE	\$	86,100
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 75	MILWAUKEE	\$	107,900
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 76	MILWAUKEE	\$	51,300
2011 interim tax rate from Tax Increment Worksheet			
2011 value of exempt computers TID# 76	MILWAUKEE	\$	51,300
2011 interim tax rate from Tax Increment Worksheet			



STATE OF WISCONSIN • DEPARTMENT OF REVENUE

CITY OF MILWAUKEE

Notice of Value of Property Tax Exempt Computers

RONALD D. LEONHARDT
CITY CLERK

OFFICE LOCATED AT
2135 RIMROCK ROAD

MAILING ADDRESS
POST OFFICE BOX 8971
MADISON, WISCONSIN 53708

October 20, 2011

RONALD D LEONHARDT
200 E WELLS ST RM #205
MILWAUKEE, WI 53202-3515

MUNICIPALITY MILWAUKEE
COUNTY OF MILWAUKEE
COUNTY CODE 40
MUNICIPAL CODE 251

Dear Municipal Clerk:

1997 Wisconsin Act 237 exempted business computers from being subject to property taxes beginning with the 1999 property tax levy collected in 2000. It also provides for a state aid payment to your municipality to offset the loss of this taxable property. This notice gives you the 2011 equalized value of tax exempt computers in your municipality not in a TID and additional information you need to estimate how much state computer aid your taxation district will receive in 2012. You should then include this estimated state aid payment as a revenue in your 2012 budget to determine your required 2011 property tax levy. If you follow the steps below and on the back of this notice, your estimated aid will be the same as the amount calculated by the Department of Revenue.

REQUIRED INFORMATION:

2011 equalized value of exempt computers in your munc. (TID OUT)	\$ <u>445,801,400</u>
2011 equalized value of your municipality (TID OUT)	\$ <u>26,841,396,400</u>
2011 equalized value plus exempt computer value (TID OUT)	\$ <u>27,287,197,800</u>

PROCEDURE TO CALCULATE EXEMPT COMPUTER AID:

Step 1: Finish your municipality's normal budget procedures and calculate a proposed property tax levy without consideration of any state exempt computer aid as a budget revenue.

Step 2: Divide this proposed property tax levy by the sum of your 2011 equalized value (TID OUT) plus your exempt computer value to calculate a mill rate. Please calculate this rate out to at least 8 decimal places.

Step 3: Multiply the rate from Step 2 by the value of your exempt computers. This is the estimated amount of your state exempt computer aid for your 2012 budget.

Step 4: Include this amount of computer aid as a revenue in your 2012 budget and recalculate your municipality's required property tax levy. Your required tax levy should equal the proposed levy from Step 1 above less your amount of estimated state aid for exempt computers.

If you have any questions about this notice, please call Sue Nelson at 608-266-8618.

Eileen Mallow Local Government Services Bureau Director 608 261-5360

EXEMPT COMPUTER AID WORKSHEET

(Step 2) Calculate the appropriate rate:

Your proposed property tax levy (before computer aid) _____

2011 adjusted value = 2011 equalized value plus
exempt computer value. (TID OUT) 27,287,197,800

Rate. Divide tax levy by adjusted value. _____

(Step 3) Calculate amount of state aid for exempt computers:

Rate from step 2. _____

Value of exempt computers from your Oct.20, 2011 notice. 445,801,400

Computer aid. Multiply rate times value of exempt
computers. _____

(Step 4) Calculate amount of property tax levy:

Your proposed tax levy (from step 2) _____

Subtract computer aid (from step 3) _____

Required property tax levy _____

PW FILE NUMBER: 110878

[illegible]



Legislation Details (With Text)

File #: 110723 **Version:** 1
Type: Ordinance **Status:** In Committee
File created: 9/20/2011 **In control:** FINANCE & PERSONNEL COMMITTEE
On agenda: **Final action:**
Effective date:
Title: A substitute ordinance to further amend the 2011 rates of pay of offices and positions in the City Service.
Sponsors: THE CHAIR
Indexes: SALARY ORDINANCE
Attachments:

Date	Ver.	Action By	Action	Result	Tally
9/16/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number

110723

Version

Substitute 1

Reference

100413

Sponsor

THE CHAIR

Title

A substitute ordinance to further amend the 2011 rates of pay of offices and positions in the City Service.

Analysis

This substitute ordinance changes the rates of pay in the following departments:

Department of Public Works

Body

The Mayor and Common Council of the City of Milwaukee do ordain as follows:

Part 1. Part I, Section 2 of ordinance File Number 100413 relative to rates of pay of offices and positions in the City Service is hereby amended as follows:

Under Salary Grade 04, delete the title "Technical Services Supervisor".

Under Salary Grade 09, add the title "Urban Forestry Technical Services Manager" with footnote designation "3/".

Part 2. All ordinances or parts of ordinances contravening the provisions of this ordinance are hereby repealed.

The provisions of all parts of this ordinance are deemed to be in force and effect from and after its passage and publication.

Part 3. This ordinance will take effect and be in force from and after its passage and publication.

Drafter
City Clerk's Office
tb
10-24-11



Legislation Details (With Text)

File #: 110855 **Version:** 1
Type: Ordinance **Status:** In Committee
File created: 10/11/2011 **In control:** FINANCE & PERSONNEL COMMITTEE
On agenda: **Final action:**
Effective date:
Title: A substitute ordinance to further amend the 2011 offices and positions in the City Service.
Sponsors: THE CHAIR
Indexes: POSITIONS ORDINANCE
Attachments: Administrative Correction to the Positions Ordinance

Date	Ver.	Action By	Action	Result	Tally
10/11/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number
110855
Version
Substitute 1
Reference
100414
Sponsor
THE CHAIR
Title

A substitute ordinance to further amend the 2011 offices and positions in the City Service.

Analysis

This substitute ordinance changes positions in the following departments:

Health Department and Police Department

Body

The Mayor and Common Council of the City of Milwaukee do ordain as follows:

Part 1. Section 1 of ordinance File Number 100414 relative to offices and positions in the City Service is hereby amended as follows:

Under "Health Department, Disease Control and Environmental Health Services Division, Lead-Based Paint Hazard Control Grant and Healthy Homes Grants (HUD)", delete one position of "Lead Grant Monitor (X)(Y)(PP)(SS)(UU)" and add one position of "Lead Grant Manager (X)(Y)(PP)(SS)(UU)".

Under "Police Department, Operations Decision Unit", amend footnote "(F)" to read as follows: "Truancy Abatement Grant: Six positions to expire 6/30/2012 unless grant funding from the Milwaukee Public Schools continues."

Part 2. All ordinances or parts of ordinances contravening the provisions of this ordinance are hereby repealed.

The provisions of this ordinance are deemed to be in force and effect from and after the first day of the first pay period following passage and publication.

Part 3. This ordinance will take effect and be in force from and after its passage and publication.

Drafter
City Clerk's Office
tb
10-24-11

From: Wragg, Faranda
Sent: Friday, October 07, 2011 9:33 AM
To: Black, Tobie
Cc: MacDonald, Terry; Weitz, Raymond
Subject: Administrative Correction to the Positions Ordinance

Importance: High
Hello Tobie,

The Common Council Committee approved File Number 100166 at the meeting of June 15, 2010. This file included a City Service Commission report for the Health Department. The report should have included both Salary and Positions Ordinance changes; however, the Position Ordinance change was inadvertently omitted. Please amend the Positions Ordinance as follows: In the Positions Ordinance, under Health Department, Disease Control and Environmental Health Services Division, Lead-Based Paint Hazard Control Grant and Healthy Homes Grants (HUD), delete one position of "Lead Grant Monitor (X)(Y)(PP)(SS)(UU)" and add one position of "Lead Grant Manager (X)(Y)(PP)(SS)(UU)".

*Faranda (Faye) Wragg
City of Milwaukee
Dept of Employee Relations
(414)286-3143*



Legislation Details (With Text)

File #: 110303 **Version:** 0

Type: Communication **Status:** In Committee

File created: 7/6/2011 **In control:** FINANCE & PERSONNEL COMMITTEE

On agenda: **Final action:**

Effective date:

Title: Communication from the Department of Administration relating to the fiscal impact of the City's Local Business Enterprise Contracting Program.

Sponsors: THE CHAIR

Indexes: DEPARTMENT OF ADMINISTRATION, EMERGING BUSINESS ENTERPRISES

Attachments:

Date	Ver.	Action By	Action	Result	Tally
7/6/2011	0	COMMON COUNCIL	ASSIGNED TO		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		
10/25/2011	0	FINANCE & PERSONNEL COMMITTEE	HEARING NOTICES SENT		

Number
110303
Version
ORIGINAL
Reference

Sponsor
THE CHAIR
Title

Communication from the Department of Administration relating to the fiscal impact of the City's Local Business Enterprise Contracting Program.

Requestor

Drafter
DOA
rk
6/23/11