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Office of the Comptroller
August 29, 2013

To the Honorable
The Common Council
City of Milwaukee

Dear Council Members:

Pursuant to Common Council Resolution 051485, the Audit Division has prepared this report summarizing all of its work products including audits and non-audit projects completed and issued in 2013 thus far, current audits and projects in progress with anticipated issuance dates, and anticipated future audits to begin in 2013 and early 2014. Some audits listed below may have most of the work completed in 2013, but may not be issued until the first quarter 2014. These projects will be notated as such.

In addition to this report, follow up on recommendations from audits has begun and the implementation status of any open recommendation from audits issued prior to 2013 and 2013 audits will be summarized as part of the Report on Audit Activities released in first quarter 2014. Current and future audits for 2014 will also be included in the Audit Activities Report in a similar manner as listed below.

Reports Issued 2013

1. Recruitment Process Flowcharts Mapped for Department of Employee Relations (1.17.2013)
2. Hotline Annual Report (2.01.13)
3. Report of Audit Activities (2.20.13)
4. Review of Business Processes for DPW-Infrastructure Services Division (2.20.13) – *review conducted by outside consultant*
5. Audit of Procurement Services Division – Procard Program (issued 03.04.13)
6. Review of Public Debt Processes (05.21.13) – *review conducted by outside consultant*
7. Review of Payroll Processing (6.05.13)
8. Audit of Data Center Controls (6.05.13)
9. Audit of Treasurer Cash Controls (6.25.13)
10. Audit of Enterprise Virus Protection (07.31.13)
11. Audit of Fire Department Fixed Asset Controls (08.01.13)



Audits and Projects in Progress (estimated issuance date)

1. Audit of Tuition Reimbursement Program (September 2013)
2. Audit of Library Cash Controls (September 2013)
3. Audit of Library Expenditures (September 2013)
4. Audit of Cell Phone Usage and Controls (October 2013)
5. Enterprise Wide Risk Assessment (October 2013)
6. Audit of DPW- Parking Cash Controls Follow-Up (October 2013)
7. Audit of DPW – Self Help Center Cash Controls (October 2013)
8. Audit of City's Medical and Prescription Drug Claims (November 2013) – *audit conducted by outside consultant*
9. Audit of Procurement Services – Contract Processing (December 2013)
10. Audit of Travel Reimbursement Program (December 2013)
11. Review of Duty Disability Program/Benefits (January 2014) – *performed by outside consultant*

Audits Anticipated (start date of 2013 and 2014)

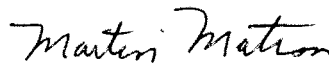
1. Audit of AIX Database (Health Department) – start date September-October 2013
2. Audit of IT Asset Management (ITMD) – start date April 2014

The enterprise wide risk assessment will result in a risk prioritization in order to serve as a basis for audit plans in 2014 and beyond. These results will be communicated to the Common Council yet this year and audits for 2014 will be specified at the completion of this project.

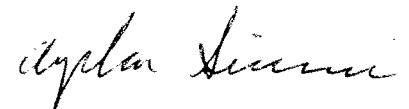
All audits and non-audit projects to be issued in 2013 have started or are in the planning stages of the audit. Audits and issuance dates are subject to change as they are dependent upon external factors.

We would be happy to discuss this report with you at the appropriate committee hearing.

Sincerely,



Martin Matson
Comptroller



Aycha Sirvanci, CPA
Audit Manager