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Office of the Comptroller

August 26, 2014

Honorable Tom Barrett, Mayor
The Members of the Common Council
City of Milwaukee
Milwaukee, WI 53202

Dear Mayor Barrett and Council Members:

Internal Audit has prepared this report summarizing all of its work products including audits and non-audit projects completed and issued in 2014 thus far, current audits and projects in progress with anticipated issuance dates, and anticipated future audits to begin in 2014 and early 2015. Some audits planned for 2014 listed in Attachment 1 will have had most of the work completed in 2014, but may not have been issued until the first quarter of 2015. These projects are notated with fall or winter 2014 start dates.

In addition to this report, follow up on recommendations from audits has begun and the implementation status of any open recommendations from audits issued prior to 2014 and 2014 audits will be summarized as part of the Report on Audit Activities released in the first quarter of 2015.

The status of all audits to be issued in 2014 is included on the enclosed attachment. Audits and issuance dates are subject to change as they are dependent upon external factors.

We would be happy to discuss this report with you at the Finance & Personnel committee meeting.

Sincerely,

A handwritten signature in black ink that reads "Aycha Sirvanci".

Aycha Sirvanci, CPA
Audit Manager

AS:pad



Attachment 1: Status of 2014 Planned Audits

Rank	Audit or Project Title	In Progress	Planned Start Date	Issued	Estimated Issue Date
	Audit of Travel Expenditures Reimbursement*			2/4/14	
	Audit of the Cell Phone Device Management and Utilization Controls *			2/10/14	
	Fraud, Waste, and Abuse Hotline Report*			2/11/14	
	Report of Audit Activities - 2014*			2/14/14	
	Audit of Medical Claims Processed by United Healthcare, Inc. (Conducted by Consultant)*			3/3/14	
	Audit of Prescription Drug Claims Processed by Express Scripts, Inc. (Conducted by Consultant)*			3/3/14	
	Audit of DPW Parking Structure Cash Controls *			4/17/14	
1	Audit of the Health Department - Public Health Laboratory- AIX and SoftLab System Controls			3/25/14	
2	Review of Duty Disability Retirement (Conducted by Consultant)	X			September 2014
3	Audit of Citywide Back-Up Tape Processing			7/31/14	
4	Audit of IT Asset Management	X			November 2014
5	Audit of CRM System Application		2015		
6	Audit of Citywide Payroll Approval & Controls			7/30/14	
7	Audit of Citywide External & Internal Security (Conducted by Consultant)	X			September 2014
8	Audit of DER Staffing Services Planning & Posting for Vacancies		Fall 2014		
9	Audit of the City Treasurer Foreclosure Management	X			October 2014
10	Audit of the City Treasurer iNovah System Application		Fall 2014		
11	Audit of the DPW Administrative Services Procurement Contracts Audit	X			October 2014
12	Audit of the Office of the Comptroller Citywide Policy Compliance		Winter 2014		
13	Audit of Performance Measures Monitoring and Management	X			December 2014
14	Audit of the DCD Foreclosed Property Management	X			October 2014
15	Audit of Open Records Requests - City Attorney		Deferred		
16	Audit of the DNS Inspections & Compliance with State Statues	X			October 2014
17	Audit of TRACS Application - Police Department		Deferred		
18	Audit of Area Plans Execution - Department of City Department		Deferred		
19	Audit of Homeland Security - City-Wide Disaster Planning		Winter 2014		
20	Audit of Operations Planning - Fire Department		Deferred		
21	Audit of Field Inspections and Controls - Police Department (Office of the Chief)		Deferred		
22	Audit of the Election Commission Segregation of Duties & Protocol		Winter 2014		

* Audit work performed in 2013, but report issued in first quarter 2014.