

FINANCE & PERSONNEL COMMITTEE
CONTINGENT FUND REQUEST INFORMATION FORM

DEPT.: DOA-Budget CONTACT PERSON & PHONE NO.: Katherine O'Sullivan, ext. 8553

A. REASON FOR REQUEST (Refer to File 921360 for definitions)

- CHECK ONE: EMERGENCY CIRCUMSTANCES
 OBLIGATORY CIRCUMSTANCES
 FISCAL ADVANTAGE/COMPLIANCE WITH FISCAL MANAGEMENT PRINCIPALS

B. SUPPORTING INFORMATION

1. State the action requested, including the dollar amount and specific departmental accounts(s) to which the Contingent Fund appropriation would be made.

Adoption of this resolution would transfer the remainder of the 2002 Common Council Contingent Fund (0001-9990-C001-006300) to the following 2002 accounts:

- City Attorney Operating Expenditures account (0001-1490-R999-006300) – up to \$24,000
- Workers' Compensation Special Purpose Account (0001-1650-S176-006100) – up to \$55,000
- Department of Employee Relations Operating Expenditures account (0001-1650-R999-006300) – up to \$800
- Assessor's Office Operating Expenditures account (0001-2300-R999-006300) – up to \$200
- Library's Reciprocal Borrowing (MCFLS) account (0001-8610-R862-006300) – up to \$1,000,000
- City Attorney Equipment Purchases account (0001-1490-R999-006800) – up to \$9,000
- Police Department Salary account (0001-3310-R999-006000) – Remainder of Common Council Contingent Fund

2. State the purpose of the action requested which includes the program, service or activity to be supported by the funding, as well as the objective(s) to be accomplished.

This transfer will facilitate the closing of the 2002 financial books.

3. Describe the circumstances which prompt the request.

This request was prompted by higher than anticipated expenditures in these ~~two~~ accounts.

4. What are the consequences of not providing the program, service, or activity which is funded by this request?

If this transfer is not approved, the City will not be able to close its 2002 financial books.

5. Explain why funds authorized in the Budget are insufficient to provide for the program, service, or activity in question.

Budgeted funds were insufficient for these accounts. The majority of these shortfalls are due to higher than anticipated expenditures. The shortfall in the Reciprocal Borrowing (MCFLS) account is due to the accrual of the 2002 payment, which was not anticipated in the deliberations of either the 2002 or 2003 budgets. The shortfall in the Police Department salary account is primarily due to a \$5.9 million shortage in the overtime account leading to a net shortage of \$3.9 million in the salary account. The Contingent Fund will fund part of this \$3.9 million shortage (approximately \$1.1 million) and the Wages Supplement Fund will fund the remainder.

- 5a. Are there any unexpended funds in the departmental control account for which this appropriation is requested, that could be used to fund this request?

There are no funds available in any of these department accounts to absorb this shortfall.

- 5b. What are the consequences of using budgeted operating funds for this request?

No funds are available to use.

6. State why funding was not included in the Budget.

Funding for these accounts was provided in the 2002 Budget. These Contingent Fund appropriations are due to higher than anticipated levels of expenditures in these two accounts.

7. Will the conditions prompting the request be limited to the current year, or will they continue into the following year?

The 2003 Budget should contain adequate funds for these expenditures.

8. Has your department made a similar Contingent Fund request in previous years? YES NO

*If yes, what is the most recent year the request was made?

This same type of Contingent Fund request was made in 2002 to facilitate the close of the 2001 financial books.

9. Will this funding be used to implement provisions of a collective bargaining agreement? YES NO

10. Will the funding being requested provide a level of service authorized by the Budget? YES NO

*If yes, why can't your department accomplish the authorized service level with the authorized funding level?

11. Will the requested funding provide a level of service higher than that authorized by the Budget? YES NO

*If yes, why is a higher service level necessary?

*What is the estimated amount of additional service units to be provided if the entire Contingent Fund request is approved?

12. What performance measures and sub-measures are affected by this request, and what are the anticipated changes if the entire Contingent Fund request is approved?

N/A

13. What reductions to performance measures are expected if the request is not approved?

N/A

14. Is any grant funding associated with the program service, or activity pertaining to the request? YES NO

*If yes, name the grant and current year amount.

15. Will the program, service, or activity affect any electronic data processing system? YES NO

The following questions only apply to Contingent Fund requests which transfer appropriations into capital purpose accounts:

16. Does this request transfer an appropriation into a capital purpose subaccount? YES NO

*If yes, are similar projects planned and funding available in a capital purpose (parent) account for the current year?

17. Why is the project for which Contingent Funds are requested more important than other similar projects?

18. Does this request fund a project outside the normal order of planned projects of a kind which are funded through a capital purpose (parent) account for the current year? YES NO

*If yes, what is the consequence of deferring the lowest priority planned project until next year?

19. Was this project included in the Department's Budget request? YES NO

*If not, why not?

**If you have any questions about the completion of this form, you may call the
Fiscal Research Manager at extension 8686.**

**C. THANK YOU FOR YOUR COOPERATION. PLEASE SEND COPIES OF YOUR RESPONSE
TO:**

Staff Assistant, Finance & Personnel Committee, Room 205, City Hall (6 COPIES)
Special Assistant, Finance & Personnel Committee, Room 205, City Hall (1 COPY)
Fiscal Research Manager, LRB-Common Council, Room B-11, City Hall (2 COPIES)
Budget & Management Director, DOA, Room 307, City Hall (2 COPIES)