



**YEAR 2011**

**REQUEST FOR PROPOSALS FOR  
COMMUNITY DEVELOPMENT  
FUNDING FOR  
YOUTH SERVICES**

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**City of Milwaukee  
Community Development Grants Administration  
In Conjunction with the Youth Council of the Milwaukee Common Council**

**City Hall – 200 East Wells Street; Room 606  
Milwaukee, Wisconsin**

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**Funds Provided by the U.S. Dept. of Housing & Urban Development**

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## **Appendix**

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Conflict of Interest Regulations of the U.S. Dept. of Housing & Urban Development

## **INTRODUCTION & HISTORY**

### **MEMBERS OF THE YOUTH COUNCIL OF THE MILWAUKEE COMMON COUNCIL**

<b>Aldermanic District</b>		<b>Youth Council Member</b>
<b>1</b>	<b>Ashanti Hamilton</b>	<b>Hikeem Williams-Davis</b>
<b>2</b>	<b>Joe Davis, Sr.</b>	<b>Marissa Steinbach</b>
<b>3</b>	<b>Nik Kovac</b>	<b>Francis Klein</b>
<b>4</b>	<b>Robert Bauman</b>	<b>Ben Scotty</b>
<b>5</b>	<b>James A. Bohl, Jr.</b>	<b>Shavon Rudolph</b>
<b>6</b>	<b>Milele A. Coggs</b>	<b>Takiya Eiland</b>
<b>7</b>	<b>Willie C. Wade</b>	<b>Deja' Hoskin</b>
<b>8</b>	<b>Robert G. Donovan</b>	<b>Vacant</b>
<b>9</b>	<b>Robert W. Puente</b>	<b>Danielle Sherrer</b>
<b>10</b>	<b>Michael Murphy</b>	<b>Zachary Komes</b>
<b>11</b>	<b>Joseph A. Dudzik</b>	<b>Kayla Schmeling</b>
<b>12</b>	<b>James N. Witkowiak</b>	<b>Dyamond Lewis</b>
<b>13</b>	<b>Terry L. Witkowski</b>	<b>Vacant</b>
<b>14</b>	<b>Tony Zielinski</b>	<b>Amanda Wood</b>
<b>15</b>	<b>Willie L. Hines, Jr.</b>	<b>Shiquita Freeman</b>

The Milwaukee Youth Council was formed as a way for youth to voice their opinions on matters affecting them and to also involve them in public service and local government processes.

Legislation establishing the Youth Council, of which each Aldermanic district would have a representative, was approved unanimously by the Milwaukee Common Council and Mayor in July 2004. A Selection Committee was subsequently appointed by both the Mayor and Common Council President to establish rules and procedures for the Youth Council and potential Youth Council members.

The Youth Council, which is attached to the office of the City Clerk, has as its' mission, to serve and advance the interests of City youth as a representative body in City government through a working partnership with the Milwaukee Common Council and Mayor.

The current members, as listed above, serve one-year terms and were approved by the Milwaukee Common Council on October 12, 2010.



## **PROPOSAL CHECKLIST - YEAR 2011**

**Complete Applications must be received no later than 4:00 pm on Tuesday, July 26, 2011.**

As a final step before submitting your application, use this checklist to ensure that your application is complete. **Failure to include any of the following items will result in disqualification of your application.**

All organizations applying for grant funds, complete and submit **one original** and **5 copies** of the following Year 2011 documents:

- Application Executive Summary, signed and dated
- RFP Program Application
- Agency Budget-Revenue Form
- Agency Budget-Expenses Form
- Corporate Documentation as needed (**one copy only**)
- Board of Directors Roster

**CORPORATE DOCUMENTATION to be included with the Application** (**ONLY** for groups not currently under contract with the City or for groups currently funded who are submitting changes/updates to corporate documents).

Submit *one copy* of the following documents:

1. Articles of Incorporation
2. Corporate By-Laws
3. A roster of the current board of directors (form included in Appendix)
4. Corporate Organizational Chart
5. Federal Tax Exemption determination letter
6. State Sales Tax Exemption Status Letter with Tax Exempt number indicated
7. Accounting policies and procedures

**NOTE: This information is necessary for evaluation by CDGA and the City Comptroller of the ability of the City of Milwaukee to enter into a contract with the applicant.**

**If your Application is funded, some additional Financial documents will be required to execute a contract between the City of Milwaukee and your organization.**

Proposals may be hand delivered or mailed. **Faxed, electronic and incomplete applications will not be accepted.** All proposals which are received after the closing date of **Tuesday, July 26, 2011** will not be reviewed and will be returned to the applicant. **Do not ask, as no exceptions will be granted.**

**COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM  
YEAR 2011 REQUEST FOR PROPOSALS**

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**APPLICATION PROCEDURES**

As designated by the Community and Economic Development Committee of the Milwaukee Common Council, service providers for Funding Year 2011 **must meet the following legal requirements:**

- A municipal governmental agency; *or*
- A nonprofit organization organized under Chapter 181 of the Wisconsin Statutes, qualified as a Section 501(c)(3) organization, ***at the time of grant application submission.***

**In addition, all funded activities must be eligible and meet one of the three (3) National Objectives of the U.S. Department of Housing & Urban Development (HUD):**

- Principally benefits low/moderate income persons
- Prevents or eliminates slum or blight
- Addresses an urgent need or problem in the community (e.g., natural disaster)

Due to the high demand for services, all activities will be conducted in the CDBG Target Area. ***(See Maps in Appendix).***

The date of issue for this RFP is **Friday, July 8, 2011.** All proposals and supporting documents must be complete upon initial submission, and must be typed ---- **NO HANDWRITTEN, ELECTRONIC, FAXED OR INCOMPLETE APPLICATIONS WILL BE ACCEPTED.**

**Completed proposals and required attachments must be received at the Community Development Grants Administration office no later than 4:00 pm on Tuesday, July 26, 2011.**

**No extensions will be granted.**

**Submit 1 original and 5 copies to:**

Mr. Steven L. Mahan, Director  
Community Development Grants Administration  
City Hall, Room 606  
200 East Wells Street  
Milwaukee, Wisconsin 53202

Questions may be directed to the office of Community Development Grants Administration at (414) 286-3647.

## **APPLICATION PROCEDURES CONT'D**

- Follow the prescribed format for Application preparation closely. Present information in the order indicated. Submit all requested information or indicate not applicable (N/A), where appropriate.
  
- Do not submit materials other than those specifically requested. Letters of Support and Appendices submitted under separate cover will be discarded.
  
- If you decide to replicate the application, make certain it is consistent in all respects with the Community Block Grant Application.

**Otherwise, a total of 25 points will be deducted for applications that do not follow the pre- formatted application.**

## **APPLICATION PROCEDURES** *cont'd*

### **NOTICES**

The City of Milwaukee reserves the right to reject all proposals. Contract awards based on submitted proposals shall further be subject to receipt by the City of Milwaukee of sufficient community development entitlement funds from the U.S. Dept. of Housing and Urban Development. Should the availability of federal funds for this RFP be reduced, the City's Community & Economic Development Committee can modify and reduce either the subrecipient's award and/or the program year or both. In the event of such a modification or reduction, the subrecipient shall be notified in advance of the pending Community & Economic Development Committee meeting where such action shall take place.

All materials submitted shall become public records retained by the City of Milwaukee, with the following exceptions: late and/or incomplete applications or requests for funding for projects that are not a part of this solicitation, will be returned to the applicant without further review, and materials not requested as part of the application packet will be discarded.

If Applicant makes a false statement or misrepresentation in this Application to obtain Federal funds and funds are awarded, the funds and contract will be in default and the City may declare all of any part of the funds paid out immediately due and repayable to the City and the contract voided.

### **FUNDING DECISION**

The actual decision to award funds is considered first by the City of Milwaukee Community and Economic Development Committee and forwarded to the Common Council and Mayor for final review and approval before the final submission to HUD. Funding recommendations by the CDGA staff are advisory to the Community and Economic Development Committee. Applicants that are not recommended for funding, will be notified by mail.

In addition, the Community and Economic Development Committee may designate a non-profit agency to act as a subrecipient in any manner it deems appropriate to carry out an eligible activity, per HUD Regulations 24CFR 570.200(f); 24 CFR Part 85; OMB Circular A-110.



## **DEFINITIONS**

1. **CDGA** - Community Development Grants Administration
2. **Outcomes** - are the benefits derived from program activities. Typical examples:
  - After School Recreation - participating youth improve in school and reduce their involvement with the criminal justice system.
3. **Outcome Measurements** are the specific items of information that track a program's success on outcomes. They describe observable, measurable characteristics or changes that represent achievement of an outcome.
4. **Short Term Outcomes** - are benefits derived from program activities that can usually be observed within a one year period.
5. **Mid Term Outcomes** - are benefits derived from program activities that usually occur within two - three years.
6. **Long Term Outcomes** - are benefits derived from program activities that are more global in scope and impact and are usually observable within three - five years.

Most planning groups reported that their neighborhoods would experience these long term outcomes as a result of the expenditure of Federal funds. These outcomes are:

- Reduce Crime
- Increase Property Values
- Increase Economic Vitality (Jobs & Businesses)
- Improve Neighborhood Quality of Life

All proposals for funding in 2011 will be required to show how their activities and their short and mid-term outcomes will eventually lead to the above noted long term outcomes.

**YEAR 2011 FUNDING APPLICATION FOR YOUTH SERVICES**

**Each of the following items is required as part of your application for funding:**

- Request for Proposals for Youth Services.....Pages 10-13**
- Application Executive Summary & Year 2011 Application.....Pages 14-25**
- Agency Budget Forms: Revenue and Expenses.....Pages 26-27**
- Agency Board Roster.....Page 28**

**NOTE: You must use the application which starts on page 14 to respond to the narrative information as listed on the RFP.**

**Year 2011**

**Milwaukee Youth Council  
REQUEST FOR PROPOSALS**

**HUD CATEGORY: YOUTH SERVICES**

## YOUTH SERVICES

Total Available: \$25,000  
(CDBG Funds)

### Key Activities – Program Description:

To provide programming that will increase access and awareness of youth development opportunities through education, crime prevention & personal development, civic engagement, community service, and recreational programs. All programs must be available to youth in the CDBG target areas. *(See maps in Appendix).*

Licensed group homes and community based residential facilities are ineligible to apply.

Funding Guidelines: Minimum bid amount: \$5,000

Note: No fees shall be charged to low-income youth for the CDBG-funded portion of the activities.

### Eligible Activities:

#### Education

Programs that have an intrinsic goal to increase educational attainment, academic achievement, literacy and life skills. Examples include GED, math, science, technology programs, workshops on alcohol/drug prevention, intense tutoring and mentoring. A program is not considered educational by simply providing homework assistance or computer access.

#### Crime Prevention and Personal Development

Programs that aim to prevent crime, reduce teen pregnancy and develop leadership skills. Examples include programs that provide a safe haven and adult supervision for youth, after school and during the evenings and weekends.

#### Civic Engagement/Community Service

Programs that focus primarily on volunteering and community services such as those that educate the community on social and neighborhood issues. Community service or education must be the foundation of the activity.

#### Recreation

Recreational programs are those that provide sports, arts and crafts, theater or other similar activities to participants. These programs exist primarily to promote physical, health and wellness and to provide fun, safe, and creative outlets for youth.

#### Employment Services

Programs that are geared towards job training and job placement. The program must impart specific and marketable skills to recipients. This could include resume writing, interview preparation, and job search and placement assistance. The program might also offer job-specific skill development such as food service training or administrative skills through classes, internships or apprenticeships. The programming must comprise a large portion of the organization's youth activities.

Youth Services cont'd

**APPLICATION PROGRAM NARRATIVE:**

Utilizing the enclosed application, which conforms to the following outline, describe the following:

**PART I – PROGRAM DESIGN SPECIFICATIONS – (60 POINTS TOTAL including Method & Budget))**

1. **Households/Clients Served:** a) Describe the specific target youth population to be served, including target low income level persons; b) Specify the proposed number of youth to receive direct services by your program in 2011. **(5 points)**
2. **Outreach:** Describe in a narrative, your agency's outreach and all of the methods that will be used to inform eligible youth about your program. **(5 points)**
3. **Activity:** **(13 points)**

**Describe the following:**

- a) The specific activity to be performed;
  - b) Specify the hours of operation for youth activities, including total hours on a daily basis;
  - c) Specify the facilities to be used to conduct the activity(include address of location);
  - d) Indicate collaborations with existing youth programs serving the same target population that will benefit from the activity.
4. Specify the **timetable** for performing the activity. **(2 points)**
  5. Describe the **goals** of the program. **(5 points)**
  6. Describe the **METHOD** that will be used to implement the specific activities to achieve the goals of the program for which you are applying. **(15 points)**
  7. **Program Outcomes:** **(10 points)**

Describe the expected long term outcomes (results, impact or change) expected to come about as a result of your program and which contribute to one or more of CDGA's stated outcomes which are:

**1) Reduce Crime; 2) Increase Property Values; 3) Increase Economic Vitality; and, Improve Quality of Life.**

**BUDGET (5 points)**

1. Include a proposed budget for your program utilizing the budget form which is enclosed.

Youth Services cont'd

**PART II – EXPERIENCE (40 POINTS TOTAL)**

1. **Agency experience with activity:** Describe the specific experience that your agency has in providing the service for which funding is requested. **(15 points)**
2. **Staff Expertise:** Describe your agency's specific staff experience in providing the service for which funding is requested. Include education, years of experience etc. **(5 points)**
3. **Financial/Organizational/Administration:** Describe your agency's financial, organizational, board and administrative structure. **(5 points)**
4. **Accomplishments:** **(15 points)**

**Existing Agencies (Currently Funded by CDGA):**

Summarize your performance during the past two years including stated goals and actual accomplishments realized to date. Accomplishment numbers are subject to verification by CDGA.

**NOTE:** If you are currently funded and are applying for a new activity for which you are not currently funded, you must provide THIRD PARTY documentation of your accomplishments for the new activity for which you are applying. This may include written statements from current funding sources, agency annual reports, etc.

**NOTE:** A written narrative alone by the applicant does not qualify as documentation of accomplishments.

***Failure to provide the required documentation will result in a score of zero for this section.***

**New Groups (not currently funded by CDGA):**

Summarize your performance during the past two years including stated goals and actual accomplishments realized to date. **New groups must include THIRD PARTY documentation verifying the stated accomplishments.** This may include written statements from current funding sources, agency annual reports, etc.

**NOTE:** A written narrative alone by the applicant does not qualify as documentation of accomplishments.

***Failure to provide the required documentation will result in a score of zero for this section.***

**COMMUNITY DEVELOPMENT GRANTS ADMINISTRATION  
APPLICATION EXECUTIVE SUMMARY – FUNDING YEAR 2011**

**Milwaukee Youth Council – Youth Services Proposal**  
**Total Funds Available: \$25,000**

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**Total Amount Requested (CDBG FUNDS)\$ \_\_\_\_\_**

**(Note: Minimum Allocation is \$5,000)**

Applicant Organization Name: _____	
Organization Address: _____	City _____ Zip _____
Contact Person: _____	Title _____
Contact Person's Telephone Number: _____	Fax Number: _____
E-Mail Address: _____	
Is applicant a 501 (C)(3) organization? Yes _____ No _____	
Is applicant a faith-based organization? Yes _____ No _____	
Federal Employer Identification Number _____	
Executive Director: _____	Phone Number _____
Board President: _____	Phone Number _____

**Proposal submission(s) must be authorized and signed by an official of the Board of Directors.**

**Name and title of Board Official: \_\_\_\_\_**

**Signature of Board Official: \_\_\_\_\_**

**NOTICE:**

**A false statement or misrepresentation in the proposal to obtain grant funds and if funds are awarded, the funds and contract will be in default and the City may declare all of any part of the funds paid out immediately due and repayable to the City and the contract voided.**

**PART 1: PROGRAM DESIGN & SPECIFICATIONS**

**60 POINTS TOTAL**

**If you need more space in any section, you may attach additional pages**

**1. HOUSEHOLDS/CLIENTS SERVED**

**5 pts. maximum**

a) Describe the specific target youth population to be served, including target low income level and special needs populations, as applicable.

b) Specify the total number of youth to receive direct services by your program in 2011.

**DO NOT WRITE BELOW**  
**(For CDGA Use Only)**

**Comments:**

**Score:**

**Households/  
Clients Served  
(5 pts maximum)**



**2. OUTREACH:**

**(5 pts maximum)**

Describe in a narrative, your agency's outreach and all of the methods that will be used to inform eligible youth about your program.

**DO NOT WRITE BELOW**  
**(For CDGA Use Only)**

**Comments:**

**Score:**

**Outreach  
(5 pts maximum)**

Describe in detail **ALL OF THE FOLLOWING:**

3. Activity:

Score: \_\_\_\_\_ (13pts. maximum)

- a. The specific youth activity to be performed
- b. Specify hours of operation for youth activities, including total hours on a daily basis.
- c. Specify the facilities to be used to conduct the activity.(Include address of location)
- d. Indicate collaborations with existing programs serving the same youth target population that will benefit from the proposed activity.

4. The timetable for implementation:

Score: \_\_\_\_\_ (2pts. maximum)

5. The goals of the program:

Score: \_\_\_\_\_ (5pts maximum)

6. The METHOD that will be used to implement the specific activities to achieve the goals of the program for which you are applying. (15 points)

Score: \_\_\_\_\_ (15 pts maximum)

7. Describe the expected outcomes, (results, impact or change) expected to come about as a result of your program and describe how these contribute to one or more of CDGA's long term outcomes which are: 1) Reduce Crime; 2) Increase Property Values; 3) Increase Economic Vitality; and, Improve Quality of Life. (10 pts)

Score: \_\_\_\_\_ (10 pts maximum)

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**D. BUDGET & RESOURCES LEVERAGED**

**(5 pts. maximum)**

1) Include a proposed budget for your program utilizing the budget form located on page 26.

**DO NOT WRITE BELOW**  
**(For CDGA Use Only)**

**Comments:**

**Score:**

**Budget & Resources leveraged  
(5 pts maximum)**

**DO NOT WRITE BELOW**  
**(For CDGA Use Only)**

**Comments:**

**Score:**

Households Served \_\_\_\_\_

Outreach \_\_\_\_\_

Activity \_\_\_\_\_

Goals \_\_\_\_\_

Timeline \_\_\_\_\_

Method \_\_\_\_\_

Outcomes \_\_\_\_\_

Budget \_\_\_\_\_

**(60 pts maximum for Section 1  
only)**

**1. AGENCY EXPERIENCE:**

**(15pts maximum)**

Describe your agency's specific experience in providing the service for which funding is requested.

**DO NOT WRITE BELOW**  
**(For CDGA Use Only)**

**Comments:**

**Score:**

**Agency Experience  
(15 pts maximum)**

**2. STAFF EXPERTISE:**

**(5 pts maximum)**

Describe your agency's specific staff experience in providing the service for which funding is requested. (Include education, years of experience etc.)

**DO NOT WRITE BELOW**  
**(For CDGA Use Only)**

**Comments:**

**Score:**

Staff Expertise  
(5 pts maximum)

**3. FINANCIAL/ORGANIZATIONAL/BOARD &**

**(5 pts maximum)**

**ADMINISTRATIVE STRUCTURE**

**Describe all of the following about your agency:**

a. Financial structure:

b. Organizational & Administrative structure:

c. Board structure:

**DO NOT WRITE BELOW**  
**(For CDGA Use Only)**

**Comments:**

**Score:**

**Financial/Organizational/  
Board & Administrative Structure  
(5 pts maximum)**

**1) Existing Agencies (Currently Funded by CDGA):**



Please summarize your performance during the past two years including stated goals and actual accomplishments realized to date. Accomplishment numbers are subject to verification by CDGA.

**NOTE:** If you are currently funded and are applying for a new activity for which you are not currently funded, you must provide THIRD PARTY documentation of your accomplishments for the new activity for which you are applying. This may include written statements from current funding sources, agency annual reports, etc.

**NOTE:** A written narrative alone by the applicant does not qualify as documentation of accomplishments.

***Failure to provide the required documentation will result in a score of zero for this section.***

**2) New Groups (not currently funded by CDGA):**

Please summarize your performance during the past two years including stated goals and actual accomplishments realized to date. **New groups must include THIRD PARTY documentation verifying the stated accomplishments.** This may include written statements from current funding sources, agency annual reports, etc.

**NOTE:** A written narrative alone by the applicant does not qualify as documentation of accomplishments.

***Failure to provide the required documentation will result in a score of zero for this section.***

**DO NOT WRITE BELOW**  
**(For CDGA Use Only)**

**Comments:**

**Score:**

**Accomplishments  
(15 pts maximum)**

**REVIEWER'S SUMMARY**

SCORE SECTION I (60 Points Max) \_\_\_\_\_

SCORE SECTION II (40 Points Max) \_\_\_\_\_

SUBTOTAL (100 Points Max) \_\_\_\_\_

**DEDUCT FOR WRONG FORMAT** (25 Points) \_\_\_\_\_

Yes \_\_\_\_\_ No \_\_\_\_\_

**TOTAL SCORE:** \_\_\_\_\_

**MAXIMUM POINTS: 100**

**ADDITIONAL NOTES:**

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# AGENCY BUDGET: EXPENSES

Organization: \_\_\_\_\_

Program Name: \_\_\_\_\_

Show a proposed budget for the program for which you are applying. Include all committed and pending funds for your program.

CATEGORY	Requested CDBG Funds	Committed Funds (list source)	Pending Funds (list source)
Personnel			
Fringe Benefits			
Occupancy/Utilities			
General Services (training, travel, printing, advertising, memberships)			
Supplies (office products, postage, computer and cleaning supplies, etc.)			
Contractual Services (accounting, legal, consulting, insurance)			
Equipment(Purchase/Rental)			
Other Costs(Describe)			
<b>TOTAL COSTS</b>			

**TOTAL AGENCY BUDGET: REVENUE**  
(inclusive of **all** programs operated by your agency)

Organization \_\_\_\_\_

Show a three year history of **actual revenue** received by your agency for the three year period shown. If more space is needed continue with another page.

CATEGORY	2008	2009	2010
<b>Government Grants</b> (list sources)			
A.			
B.			
C.			
D.			
<b>Subtotal</b>			
<b>Foundation Grants</b> (list sources)			
A.			
B.			
C.			
D.			
<b>Subtotal</b>			
<b>Other Revenue</b> (list sources)			
A.			
B.			
C.			
D.			
<b>Subtotal</b>			
<b>TOTAL REVENUE</b>			

**Board of Directors Roster for Agencies with Grants Administered  
by Community Development Grants Administration**

Organization Name: \_\_\_\_\_

Organization DUNS Number \_\_\_\_\_

Date Completed: \_\_\_\_\_

Program Year: 2011

NAME & TITLE	RACE	ADDRESS-INCLUDE CITY, STATE & ZIP	TERM EXPIRATION
NAME:			
TITLE:			
NAME:			
TITLE:			
NAME:			
TITLE:			
NAME:			
TITLE:			
NAME:			
TITLE:			
NAME:			
TITLE:			
NAME:			
TITLE:			
NAME:			
TITLE:			
NAME:			
TITLE:			
NAME:			
TITLE:			

The Slate of Officers of the Board Shall Commence on \_\_\_\_\_ and End on \_\_\_\_\_.

**NOTE: THIS FORM MUST BE SUBMITTED WHENEVER THERE ARE BOARD CHANGES.**

# APPENDIX

## INCOME CHARACTERISTICS FOR PROGRAM PARTICIPANTS

Based on the Federal Income Guidelines below, the family size and income level of each beneficiary is determined by the number of members in the household and on the following chart:

*(HUD Income Limits As of June 1, 2011)*

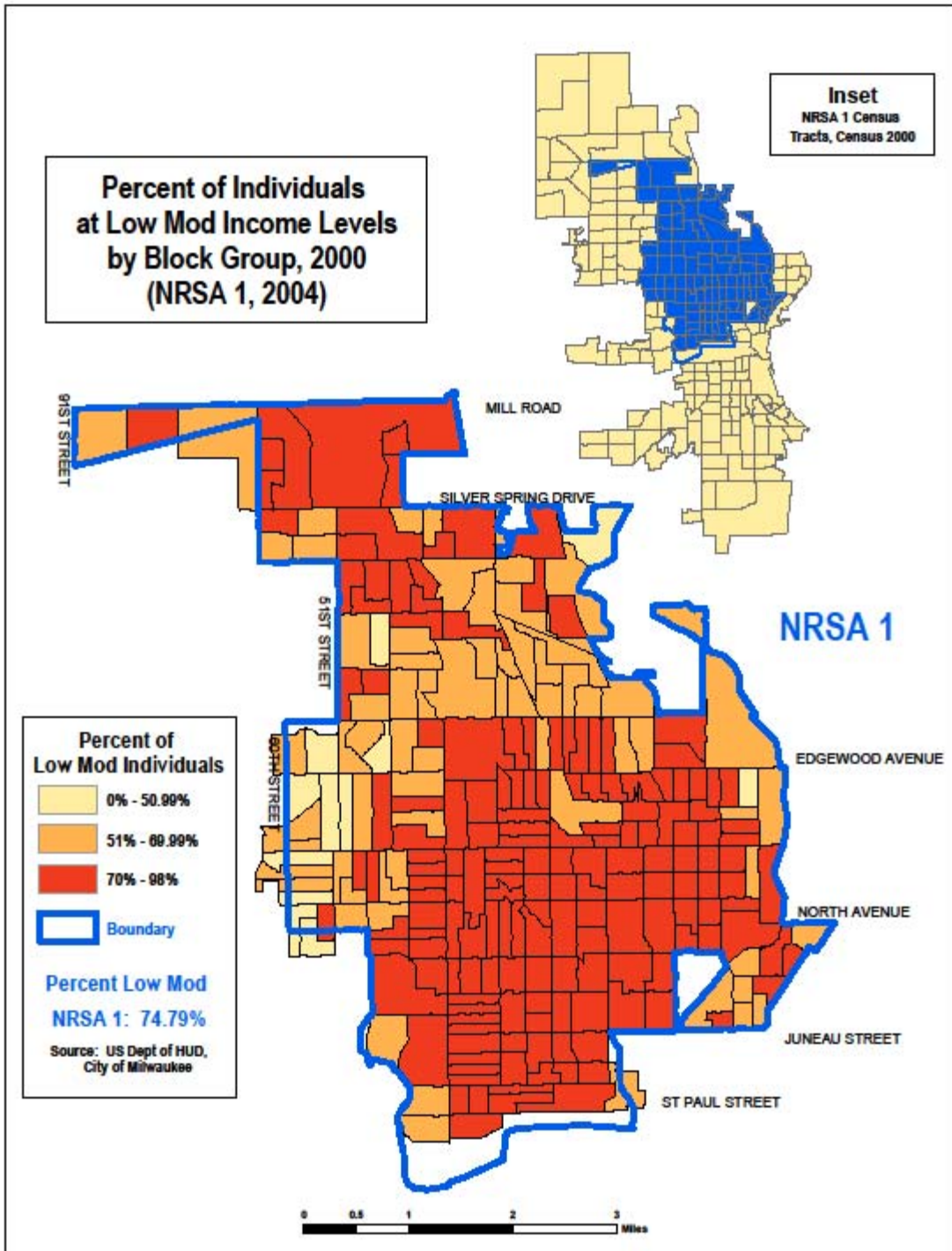
NUMBER IN HOUSEHOLD	EXTREMELY LOW <sup>1</sup> INCOME LEVEL	VERY LOW <sup>2</sup> INCOME LEVEL	LOW INCOME <sup>3</sup> LEVEL
1	\$ 15,200	\$ 15,201 - \$25,350	\$ 25,351 - \$40,500
2	17,400	17,401 - 28,950	28,951 - 46,300
3	19,550	19,551 - 32,550	32,551 - 52,100
4	21,700	21,701 - 36,150	36,151 - 57,850
5	23,450	23,451 - 39,050	39,051 - 62,500
6	25,200	25,201 - 41,950	41,951 - 67,150
7	26,950	26,951 - 44,850	44,851 - 71,750
8	28,650	28,651 - 47,750	47,751 - 76,400

(HUD Federal Income Limits)

### DEFINITIONS:

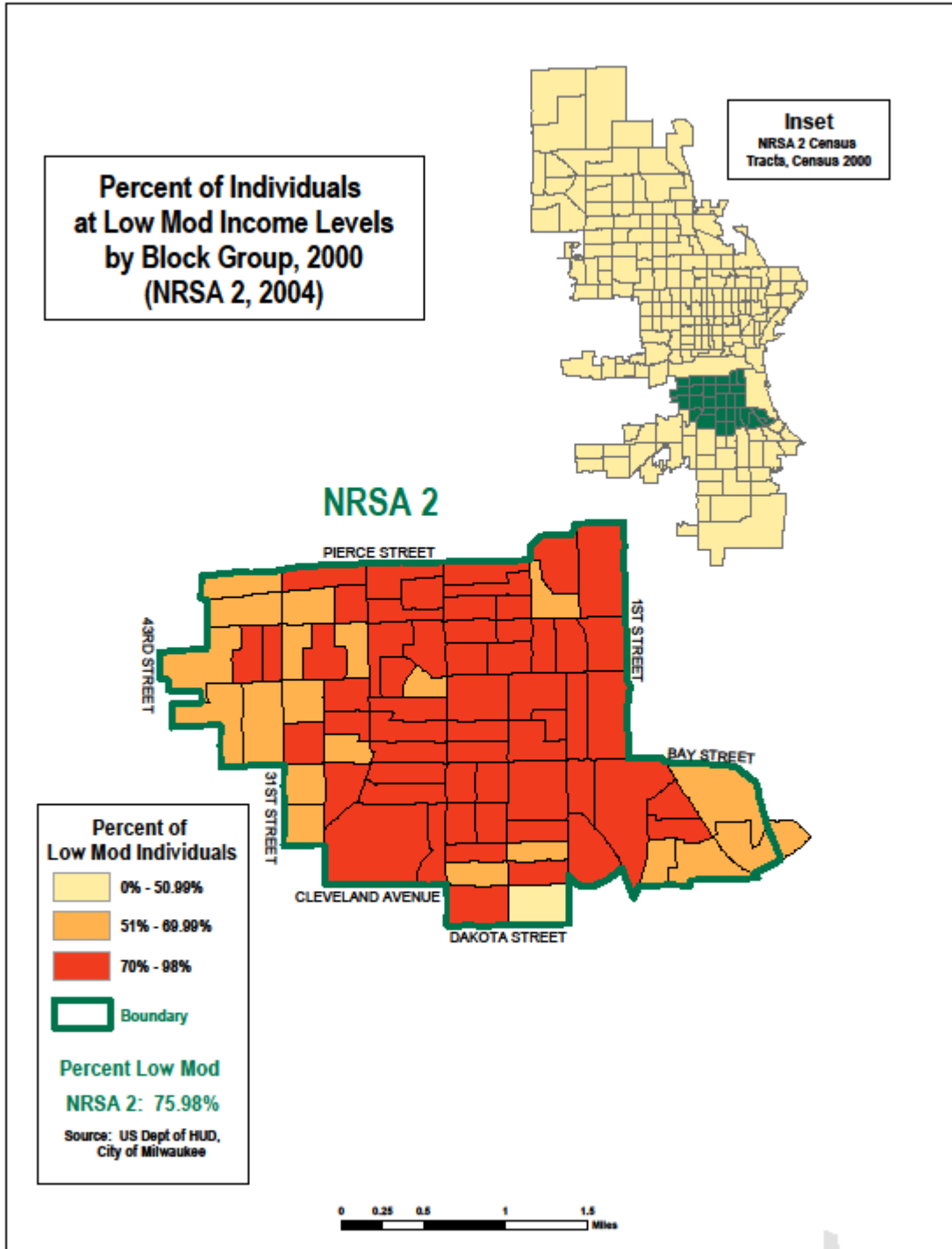
- 1) Extremely Low Income Level. This income level is at or less than 30% of County median income.
- 2) Very Low Income Level. This income level is between 31% and 50% of County median income.
- 3) Low Income Level. This income level is between 51% and 80% of County median income.

# CDBG TARGET AREAS





# CDBG TARGET AREAS



## Conflict of Interest Regulations Applicable to CDBG and HOME Funding

### Persons Covered

1. Employees of the City of Milwaukee
2. Employees of subrecipient organizations (including Board members)
3. Agents of the City
4. Consultants of the City
5. Officers of the City
6. Elected officials of the City
7. Appointed officials of the City
8. Designated public agencies of the City
9. State Recipients (HOME regulations)

### No Persons (identified above)

- who exercise any functions or responsibilities with respect to CDBG or HOME activities, or
- who have exercised any functions or responsibilities with respect to CDBG or HOME activities, or
- who are in a position to participate in a decision making process, or
- who are in a position to gain inside information with regard to such activities

### Prohibition

- may obtain a *financial interest* from a CDBG or HOME assisted activity, or
- obtain a *benefit* from a CDBG or HOME assisted activity, or
- have a *financial interest* in any contract, subcontract, or agreement with respect to a CDBG or HOME assisted activity, or with respect to the proceeds of the CDBG or HOME assisted activity
- either for themselves or
- those with whom they have business or immediate family ties,
- during their tenure or for one year thereafter.

### Employees of both the City and Employees of Subrecipient Organizations that receive CDBG or HOME funds:

Before any employee who exercises or has exercised any functions or responsibilities with respect to CDBG or HOME activities, or who is in a position to participate in a decisionmaking process or gain inside information with regard to CDBG or HOME activities, is permitted to avail himself or herself of a financial interest or benefit from programs funded with those grants, or is permitted to enter into any contract or agreement relating to such activities, the City, on behalf of the employee, is required to first seek an exception to the conflict of interest regulations from HUD.

City Employees: Any City employee who exercises or has exercised any functions or responsibilities with respect to CDBG or HOME activities, or who is in a position to participate in a decisionmaking process or gain inside information with regard to CDBG or HOME activities, and who wishes to participate in a program funded with CDBG or HOME funds, should first seek a confidential advisory opinion from the Ethics Board. In order to seek an exception from the HUD conflict-of-interest regulations, an employee must provide an opinion of the City Attorney that the relationship at issue does not violate state or local law.

Any other City Employee described above whose position involves activities related to a grant-funded program in which he or she wishes to participate should seek a confidential advisory opinion from the Ethics Board *before* participating in the program.