



**JIM DOYLE**  
GOVERNOR  
STATE OF WISCONSIN

FO: OJA  
9/6 ✓ C: Dan Alexander  
Port

August 11, 2006

Mr. Tom Barrett, Mayor  
City of Milwaukee  
200 East Wells Street Room 200  
Milwaukee, WI 53202

Post-it® Fax Note	7671	Date	9/6/06	# of pages	1
To	Port	From	Buxton		
Co./Dept.		Co.	MAYOR'S OFFICE		
Phone #		Phone #	266-3153		
Fax #	266-5060	Fax #			

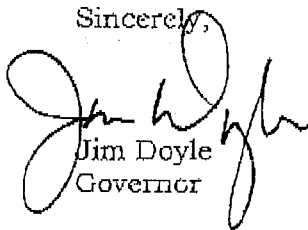
Dear Mayor Barrett:

I am pleased to award the City of Milwaukee with a Homeland Security grant for \$35,000. This funding is going to set up more protection for the port and harbor area of Milwaukee which is critical to the economy of the State of Wisconsin. This grant will create an additional layer of intrusion protection for several maritime infrastructures at the Port of Milwaukee.

This grant is administered by the Office of Justice Assistance. If you have any questions or need further assistance, please feel free to contact the OJA staff at (608) 266-3323.

Thank you for all of your hard work and dedication to keeping Wisconsin safe.

Sincerely,

  
Jim Doyle  
Governor



OFFICE OF JUSTICE ASSISTANCE

JIM DOYLE  
Governor

DAVID STEINGRABER  
Executive Director

131 W Wilson Street  
Suite 610  
Madison WI 53702-0001

Phone: (608) 266-3323  
Fax: (608) 266-6676  
<http://oja.wi.us>

August 9, 2006

Eric Reinelt, Director  
Port of Milwaukee  
2323 S. Lincoln Memorial Drive  
Milwaukee, WI 53207

**RE: UASI/Harbor Equipment  
OJA Grant Number: UI-04-EQ-0273**

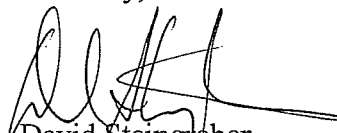
Dear Mr. Reinelt:

Congratulations! On behalf of Governor Doyle, I have approved a grant award to the City of Milwaukee in the amount of \$35,000. These funds are from OJA's Homeland Security Grant Program available through the U.S. Department of Homeland Security Office for Domestic Preparedness. This grant supports the City of Milwaukee's UASI/Harbor Equipment Program.

To accept this award, have the authorized official sign the *Signatory Page, Certified Assurances and Lobbying and Debarment Forms* in addition to initialing the bottom right corner of Attachment A and B, if enclosed. The Project Director should sign the Acknowledgement Notice. One of the two award packets enclosed should be returned to OJA within 30 days. The other should be maintained for your records. Funds can not be released until all signed documents are received.

As Project director, you will be responsible for all reporting requirements outlined in the grant award and seeing that funds are administered according to the approved application materials and certifications enclosed. Please refer to the FAQ sheet enclosed for contact information and grant guidelines. We look forward to a collaborative working relation with you.

Sincerely,



David Steingraber  
Executive Director

Enclosures



**JIM DOYLE**  
Governor

**DAVID STEINGRABER**  
Executive Director

131 W Wilson Street  
Suite 610  
Madison WI 53702-0001

Phone: (608) 266-3323  
Fax: (608) 266-6676  
<http://oja.wi.us>

**FY'04 HOMELAND SECURITY PROGRAM GRANT AWARD**  
**UASI/Harbor Equipment**  
**UI-04-EQ-0273**

The Office of Justice Assistance (OJA), hereby awards to **City of Milwaukee**, (hereinafter referred to as the **Grantee**), the amount of **\$35,000** for programs or projects pursuant to the federal Homeland Security Grant Program.

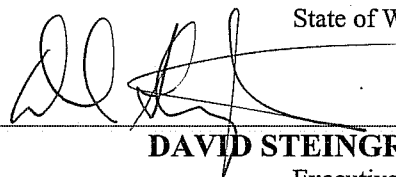
This grant may be used until **October 31, 2006** for the programs consistent with the budget and general conditions in Attachment A, subject to any limitations or conditions set forth in Attachments B and/or C, if included.

The Grantee shall administer the programs or projects for which this grant is awarded in accordance with the applicable rules, regulations, and conditions of the Office of Justice Assistance. The submitted application is hereby incorporated as reference into this award.

*This grant shall become effective, and funds may be obligated (unless otherwise specified in Attachments A and/or B) when the Grantee signs and returns one copy of this grant award to the Office of Justice Assistance.*

**JIM DOYLE**  
Governor  
State of Wisconsin

BY: \_\_\_\_\_

  
**DAVID STEINGRABER**  
Executive Director  
Office of Justice Assistance

8/9/2006

\_\_\_\_\_  
Date

The (Grantee), **City of Milwaukee**, hereby signifies its acceptance of the above-described grant on the terms and conditions set forth above or incorporated by reference therein.

GRANTEE: **City of Milwaukee**

BY: \_\_\_\_\_

NAME: **Tom Barrett**

TITLE: **Mayor**

\_\_\_\_\_  
Date

**OFFICE OF JUSTICE ASSISTANCE  
ATTACHMENT A**

**APPROVED FY'04 HOMELAND SECURITY GRANT PROGRAM BUDGET**

Grantee: City of Milwaukee

Project Title: UASI/Harbor Equipment

CFDA #97.004

Grant Period: From August 1, 2006

To October 31, 2006

Grant Number: UI-04-EQ-0273

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**APPROVED BUDGET**

Equipment	\$	<u>35,000</u>
Travel/Training	\$	<u>          </u>
Unallotted	\$	<u>          </u>
<b>TOTAL APPROVED BUDGET</b>	<b>\$</b>	<b><u>35,000</u></b>

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**AWARD GENERAL CONDITIONS**

1. Federal funds cannot be used to supplant local funds. They must increase the amount of funds that would otherwise be available from local resources.
2. To be allowable under a grant program, costs must be obligated (purchase order issued) or paid for services provided during the grant period. If obligated by the end of the grant period, payment must be made within 60 days of the grant period ending date.
3. Budget changes require prior approval from OJA.
4. Grant funds will be disbursed upon OJA receipt of copies of paid vendor invoices.
5. Recipients and subrecipients shall use their own procurement procedures and regulations, provided that the procurement conforms to applicable Federal law and the standards identified in the Procurement Standards Sections of 28 CFR Parts 66 and 70. Any recipient/subrecipient whose procurement system has been certified by a Federal agency is not subject to prior approval requirements of 28 CFR Parts 66 and 70. The awarding agency's prior approval will be required only for areas beyond limits of the recipient/subrecipient certification.
6. Reimbursement for mileage is limited to a maximum of \$0.425 per mile.
7. Failure to submit and acceptable Equal Employment Opportunity Plan (if required under 28 CFR 42.302) that is approved by the Federal Office of Civil Rights, is a violation of OJA's Certified Assurances and may result in grant termination.
8. All income generated as a direct result of an agency funded project shall be deemed program income (e.g., if the purpose of the grant is to conduct conferences, any registration fees that are generated would be considered program income.) Program income must be used for the purpose and under the conditions applicable to the award. Program income should be used as earned and expended as soon as possible. If the cost is allowable under the Federal grant program, then the cost would be allowable using program income. All program income must be reported to OJA. (See OJA Financial Guide @ <http://oja.state.wi.us>).
9. Program income in the form of interest earned on Homeland Security funds that exceeds \$100 in a 12-month period must be reported to OJA on the G-4 Grant Termination form.
10. All materials publicizing or resulting from award activities shall contain an acknowledgement of the awarding agency assistance. An acknowledgement of supports shall be made through the use of the following or comparable footnote: This project was supported by Award No. 2004-GE-T4-0024 awarded by the Office of Domestic Preparedness."

**Award Special Conditions:**

1. Equipment purchased with this grant must be accompanied by appropriate vendor or Manufacturing training.
2. Equipment purchased with this grant must be installed and deployed within the time restraints of the grant period.

**ACKNOWLEDGEMENT NOTICE**

Date: August 2006

Grantee: City of Milwaukee

Grant No. UI-04-EQ-0273

Project Title: UASI/Harbor Equipment

The following regulations and obligations (referenced below) apply to your grant award.

**FINAL FISCAL REPORT (G-4)** should reflect final financial data in your records. All equipment purchases must be paid for and received before submitting report to OJA. Report is due to OJA on or before:

12/31/2006 FINAL

**FINAL INVENTORY REPORT (G-5)** should reflect final inventory in your records. All equipment purchases must be paid for and received before submitting report to OJA. Report is due to OJA on or before:

12/31/2006 FINAL

Complete and return a *W-9 Taxpayer Identification Number Verification Form* (enclosed).

Complete and return *Follow-Up Information Form* (enclosed).

- OTHER:
1. **Payment will be made upon receipt of paid vendor invoices on a reimbursement basis. All equipment purchases must be obligated by grant end date and paid out within 60-days of grant end date.**
  2. **All local units of government shall account and report program income and interest earned on Federal funds. Local units of government may report and keep interest earned on Federal grant funds up to \$100 PER FEDERAL FISCAL YEAR. This maximum limit is not per award; it is inclusive of all interest earned as a result of all Federal grant program funds received per year. Interest earned in excess of \$100, must be remitted to OJA to be returned to the Federal government.**

**ACKNOWLEDGEMENT**

The materials referenced above were received and reviewed by the appropriate members of this organization. I also acknowledge receipt of the Grant Award and any attached Special Conditions. I understand that this grant is awarded subject to our compliance with all Conditions, Regulations, and Obligations described in the above materials.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Eric Reinelt

\_\_\_\_\_, Project Director

## CERTIFIED ASSURANCES

The Applicant hereby assures and certifies compliance with all applicable Federal statutes, regulations, policies, guidelines, and requirements, including OMB Circulars A-21, A-87, A-102, A-110, A-122, A-133; Ex. Order 12372 (intergovernmental review of federal programs); and 28 C.F.R. pts. 66 or 70 (administrative requirements for grants and cooperative agreements). The applicant also specifically assures and certifies that:

1. It has the legal authority to apply for federal assistance and the institutional, managerial, and financial capability (including funds sufficient to pay any required non-federal share of project cost) to ensure proper planning, management, and completion of the project described in this application.
2. It will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. It will give the awarding agency or the General Accounting Office, through any authorized representative, access to and the right to examine all paper or electronic records related to the financial assistance.
4. It will comply with all lawful requirements imposed by the awarding agency, specifically including any applicable regulations, such as 28 C.F.R. pts. 18, 22, 23, 30, 35, 38, 42, 61, and 63.
5. It will assist the awarding agency (if necessary) in assuring compliance with section 106 of the National Historic Preservation Act of 1966 (16 U.S.C. § 470), Ex. Order 11593 (identification and protection of historic properties), the Archeological and Historical Preservation Act of 1974 (16 U.S.C. § 469 a-1 et seq.), and the National Environmental Policy Act of 1969 (42 U.S.C. § 4321).
6. It will comply (and will require any sub-grantees or contractors to comply) with any applicable statutorily-imposed nondiscrimination requirements, which may include the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. § 3789d); the Victims of Crime Act (42 U.S.C. § 10604(e)); The Juvenile Justice and Delinquency Prevention Act of 2002 (42 U.S.C. § 5672(b)); the Civil Rights Act of 1964 (42 U.S.C. § 2000d); the Rehabilitation Act of 1973 (29 U.S.C. § 7 94); the Americans with Disabilities Act of 1990 (42 U.S.C. § 12131-34); the Education Amendments of 1972 (20 U.S.C. §§1681, 1683, 1685-86); and the Age Discrimination Act of 1975 (42 U.S.C. §§ 6101-07); see Ex. Order 13279 (equal protection of the laws for faith-based and community organizations).
7. If a governmental entity:
  - a. it will comply with the requirements of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (42 U.S.C. § 4601 et seq.), which govern the treatment of persons displaced as a result of federal and federally-assisted programs; and
  - b. it will comply with requirements of 5 U.S.C. §§ 1501-08 and §§ 7324-28, which limit certain political activities of State or local government employees whose principal employment is in connection with an activity financed in whole or in part by federal assistance.

## CERTIFICATION

Chief Executive: I certify that applicant will comply with the above certified assurances.

\_\_\_\_\_  
Signature of Chief Executive (Co. Board Chair, Co.  
Executive, Secretary)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Typed Name/Title

( ) -  
\_\_\_\_\_  
Telephone Number

NOTE: The original signature of the chief executive is required.  
Substitute signing or stamping is not accepted.

**U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER**

**CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND  
OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE  
REQUIREMENTS**

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Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under 28 CFR Part 69, "New Restrictions on Lobbying" and 28 CFR Part 67, "Government-wide Debarment and Suspension (Non procurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered transaction, grant, or cooperative agreement.

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**1. LOBBYING**

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 28 CFR Part 69, the applicant certifies that:

- (a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;
- (b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions;
- (c) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all sub-recipients shall certify and disclose accordingly.

**2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS (DIRECT RECIPIENT)**

As required by Executive Order 12549, Debarment and Suspension, and implemented at 28 CFR Part 67, for prospective participants in primary covered transactions, as defined at 28 CFR Part 67, Section 67.510

A. The applicant certifies that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and (d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

**3. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)**

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 67, Subpart F, for grantees, as defined at 28 CFR Part 67 Sections 67.615 and 67.620

A. The applicant certifies that it will or will continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an on-going drug-free awareness program to inform employees about
  - (1) The dangers of drug abuse in the workplace;
  - (2) The grantee's policy of maintaining a drug-free workplace;
  - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: Department of Justice, Office of Justice Programs, ATTN: Control Desk, 633 Indiana Avenue, N.W., Washington, D.C. 20531. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Check  if there are workplaces on file that are not identified here.

Section 67, 630 of the regulations provides that a grantee that is a State may elect to make one certification in each Federal fiscal year. A copy of which should be included with each application for Department of Justice funding. States and State agencies may elect to use OJP Form 4061/7.

Check  if the State has elected to complete OJP Form 4061/7.

**DRUG-FREE WORKPLACE (GRANTEES WHO ARE INDIVIDUALS)**

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 67, Subpart F, for grantees, as defined at 28 CFR Part 67; Sections 67.615 and 67.620

A. As a condition of the grant, I certify that I will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant; and

B. If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, I will report the conviction, in writing, within 10 calendar days of the conviction, to: Department of Justice, Office of Justice Programs, ATTN: Control Desk, 633 Indiana Avenue, N.W., Washington, D.C. 20531.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certifications.

1. Grantee Name and Address: \_\_\_\_\_

2. Application Number and/or Project Name \_\_\_\_\_ 3. Grantee IRS/Vendor Number \_\_\_\_\_

4. Typed Name and Title of Authorized Representative \_\_\_\_\_

5. Signature \_\_\_\_\_ 6. Date \_\_\_\_\_



**FAQ SHEET – (Frequently Asked Questions)**

**1. What do I do with the award document?**

Read the award document and special conditions right away. If you accept the terms and conditions of the award, have your designated official **sign** a copy of the award documents and **initial** the bottom right corner of Attachment A and B, if enclosed, and **return one full set of the award documents to OJA**. The other copy should be maintained for your records. **If you do not accept the terms/conditions, contact OJA program and planning analyst noted in the award letter immediately. NOTE: OJA will not disburse funds unless we have received these signed documents.**

**3. If I have questions about my grant, who do I contact?**

Program related questions: Contact the program and planning analyst identified in the award letter or through one of the emails below.

Phone: 608-266-3323 Fax: 608-266-6676

Email: [OJACriminalJustice@wisconsin.gov](mailto:OJACriminalJustice@wisconsin.gov)

[OJAJuvenileJustice@wisconsin.gov](mailto:OJAJuvenileJustice@wisconsin.gov)

[OJAHomelandSecurity@wisconsin.gov](mailto:OJAHomelandSecurity@wisconsin.gov)

[OJAVAWA@wisconsin.gov](mailto:OJAVAWA@wisconsin.gov)

[OJASTatisticalAnalysisCenter@wisconsin.gov](mailto:OJASTatisticalAnalysisCenter@wisconsin.gov)

[OJAJusticePlanning@wisconsin.gov](mailto:OJAJusticePlanning@wisconsin.gov)

[OJAPublicInformation@wisconsin.gov](mailto:OJAPublicInformation@wisconsin.gov)

[OJAWIJS@wisconsin.gov](mailto:OJAWIJS@wisconsin.gov)

Financial questions: Contact the grant / fiscal specialist

Phone: 608-266-3323 Fax: 608-266-6676

Email: [OJAFiscalManagement@wisconsin.gov](mailto:OJAFiscalManagement@wisconsin.gov)

[OJAGrantsManagement@wisconsin.gov](mailto:OJAGrantsManagement@wisconsin.gov)

**2. How do I obtain payment?**

The process for requesting reimbursement is through OJA's G-2 Request for Reimbursement Form, unless noted in your grant special conditions. An electronic version can be found at OJA's website <http://oja.wi.gov> Original signatures are required so please obtain appropriate signatures and forward to the address shown in #5. Questions can be forwarded to [OJAFiscalManagement@wisconsin.gov](mailto:OJAFiscalManagement@wisconsin.gov) or at 608-266-3323.

Homeland Security Equipment Grants: Copies of the equipment invoices must accompany the G-2 form.

Digital Recording of Custodial Interrogations (DRCI) grantees will receive payment upon returning the signed grant award document.

**Electronic copies of all of our financial and progress reports can be found at <http://oja.wi.gov>**

**4. What are my reporting responsibilities?**

**Upon initial award:** Sign and return the award document and special conditions to confirm award acceptance, if required. Follow instructions in award package to notify your grant specialist of your compliance with applicable special conditions, if applicable.

**Financial Reports:** Request for Reimbursement forms, "G-2" is required according to the dates shown on Acknowledgement Notice of the award documents. If no reference to Financial Reports on your acknowledgement notice, this does not apply.

All **final** Financial and Progress Reports are due no later than 60 days from the end date of the grant period.

**Audit Reports:** In accordance with the OMB Circular A-133, a single audit report may be required. A special condition is included with your award which details reporting requirements for the audit reports. Please review that special condition.

**EEOP:** Grantees having more than 50 employees and receiving more than \$500,000 of Federal funds in one year are required to submit an Equal Employment Opportunity Plan to OJA for Federal approval submittance.

**5. What is the official address for OJA?**

State of Wisconsin  
Office of Justice Assistance  
131 W. Wilson Street, Suite 610  
Madison, WI 53702-0001