

## **Thomas A. Rick, CFA**

4403 S. Griffin Avenue  
Milwaukee, WI 53207  
(414) 482-3056

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### **PROFESSIONAL SKILLS**

- Proven ability to accept increasing responsibilities in numerous settings.
- Able to plan and complete multiple assignments effectively and on time.
- Excellent analytical, problem solving, and communication skills.
- Chartered Financial Analyst.
- Proficient in Microsoft Office Suite, Zephyr Analytics, Morningstar, Bloomberg, and other investment-related software packages.

### **WORK EXPERIENCE**

12/00-Present

**CHIEF INVESTMENT OFFICER**, City of Milwaukee-Employees' Retirement System (MERS), Milwaukee, WI; Primary responsibilities include oversight and analysis of the investment portfolio, its strategy, and potential changes to the strategy. Manage two pension investment analysts' and their research and analysis of the Fund's investment managers, review and evaluate investment performance, ensure compliance with investment policy and guidelines, prepare analytical reports, and provide recommendations on the management of a multi-billion dollar public pension fund.

- Experienced with actuarial studies, asset liability modeling, asset allocation studies and investment manager search processes.
- Worked closely with investment consultants and investment managers to develop strategic plan and investment guidelines for MERS' equity, fixed income, private equity, and real estate portfolios.
- Provide ongoing investment education to the Investment Committee including economic and market updates.
- Manage the ongoing research and analysis of underperforming investment managers, securities and other investments.
- Ensure the routine monitoring of investments and prepare monthly compliance reports to ensure portfolios conform to investment policy and guidelines.
- Represent MERS at local and state legislative committee hearings.
- Developed performance calculation model to provide daily, monthly, quarterly and annual rate of return information for the Fund and individual investment managers.
- Designed and implemented cash flow model to assist in the management of the Fund's cash position.
- Performed comparative attribution analysis of MERS' equity, fixed income, and real estate portfolios versus their respective benchmarks.
- Performed investment analyst duties prior to promotion to Chief Investment Officer in 2006.

5/98-12/00

**MANAGEMENT & BUDGET ANALYST**, Milwaukee Metropolitan Sewerage District, Milwaukee, WI; Primary responsibilities included developing and administering capital and operating budgets for five operating and support divisions totaling over \$57 million.

- Assisted in the design and implementation of a performance-based budgeting system in conjunction with the annual budget process to provide direct linkages between resource allocations and the achievement of organizational goals.
- Designed and conducted an employee reimbursement and fleet utilization study which resulted in lower operation and maintenance costs through redistribution and reduction of fleet vehicles.
- Designed and implemented a monthly management reporting system for operating units to evaluate and report on their progress in achieving District objectives and goals.

4/94-5/98

**FISCAL ANALYST - SENIOR**, Legislative Fiscal Review Section, City of Milwaukee, WI; Analyze, evaluate, and monitor City departments' programs and budget proposals containing nearly \$200 million in operating, capital, and debt service funding. Conduct policy-oriented research pertaining to issues with substantial ongoing fiscal impact such as employee health care benefits, employee retirement systems, capital financing, and fund reserve policies. Analyze short and long-term fiscal impacts, budget and revenue implications and development of alternatives for legislation pending before any of seven Standing Committees of the Milwaukee Common Council.

- Assisted Fiscal Review Manager in analyzing several employee retirement plan studies and benefit improvement proposals for the Policeman's Annuity and Benefit Fund and the Employees' Retirement System.
- Researched and analyzed various employee benefit improvements including implementation of an enhanced Flexible Benefits Program, Point of Service Plans, Prescription Drug carve-outs and Long-Term Disability Insurance.

2/93-4/94

**OPERATIONS SHIFT MANAGER**, Avis-Rent-a-Car, Milwaukee, WI; Managed car rental and service operations of a multi-million dollar revenue generating corporate airport location. Supervised over 20 full-time rental and service agents and nearly 70 part-time shuttle drivers.

7/84-10/92

**NAVAL FLIGHT OFFICER**, Lieutenant, U.S. Navy, San Diego, CA; In addition to flight operations as a Radar Intercept Officer on the F-14 A/D aircraft, performed various administrative and management assignments.

**EDUCATION**

Chapman University, Orange, CA

**MBA**

GPA: 3.97 on a 4.0 scale

University of Wisconsin-Whitewater, Whitewater, WI

**BBA in Finance**

GPA: 3.4 on a 4.0 scale; graduated Cum Laude

**REFERENCES**

References available upon request.